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## THEORETICAL MODEL OF RIVER ICE JAMS

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### BACKGROUND

**Introductory Remarks.**—Ice jams (embacles) confront river engineers with a variety of problems, including flooding caused by blockage of channels, damage to structures, interference with navigation, and obstruction of diversion intakes, to mention but a few. An Army Corps of Engineers survey (4) places the reported national annual average loss due to ice jams during the period 1963-1973 at \$16,600,000, and estimates the actual annual loss to be in the vicinity of \$80,000,000. Ice jamming is such a complex phenomenon, or to be more precise, ensemble of phenomena, that it is difficult even to frame a concise definition of an ice jam. Detailed descriptions of specific ice jams have been reported by the Corps of Engineers (7), Frankenstein (5), and Frankenstein and Assur (6). The writers (12) previously proposed a definition which, with slight modification, reads as follows. An ice jam is an accumulation of ice on a stream which produces extensive blockage of the channel; the accumulation generally is initiated by an obstruction, which may consist of arrested ice, a change in channel alignment or cross section, or natural or man-made obstacles in the stream. Fig. 1 shows an ice jam initiated by bridge piers. The writers (12) have presented a compilation of circumstances that can produce embacles.

The analytical study reported herein had as its principal goal the development of a mathematical model for the prediction and presentation of data on the velocity of upstream propagation of jams, the streamwise distributions of flow depth and jam thickness, the normal and shear stresses in the jammed ice, and the time required for evolution of jams to equilibrium (quasi-steady) conditions. The differential equations underlying the analysis developed in the next section are the static force equilibrium formulation for the ice cover, the unsteady nonuniform momentum relation for the flow beneath and approaching the jam, and the unsteady continuity equations for the liquid and frozen water.

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Relations between cover thickness and the compressive and shear strengths of floating fragmented ice covers are then introduced into the force and momentum equations to obtain a set of simultaneous equations which was solved numerically. A fairly complete set of results for a specific example is presented graphically.

**Genesis and Evolution of Ice Jams.**—In order to gain a better appreciation of the several constituent phenomena that result in ice jamming and further

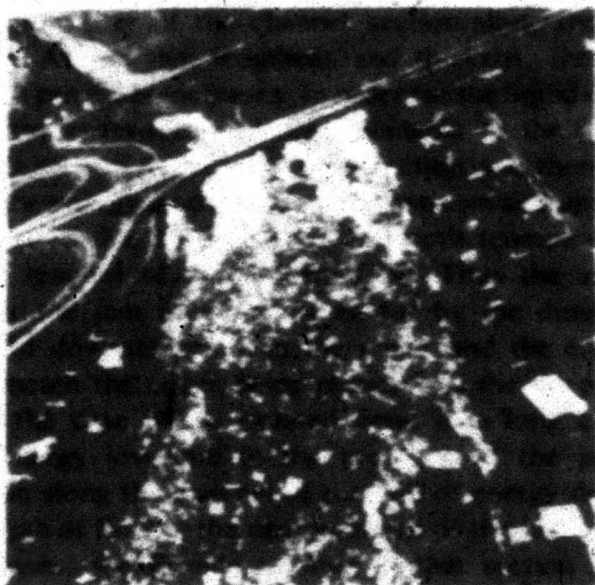


FIG. 1.—Aerial Photograph of March 1972, Ice Jam Initiated by I-80 Bridge, on Rock River Just Upstream from its Confluence with Mississippi River, near Rock Island, Ill.

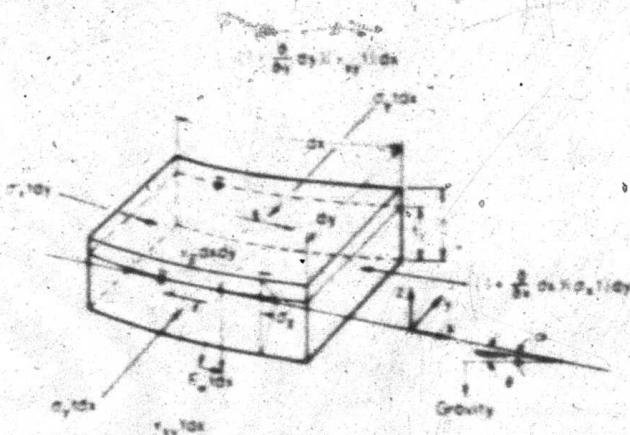


FIG. 2.—Control Volume Showing Forces Exerted on and within Ice Jam

insight into the mechanics of jams, a rather complete description of the genesis and evolution of a typical jam will be presented herein. The analysis will be limited to a uniform rectangular channel of very great depth (the large depth being included to permit the depth of flow plus the ice thickness to increase indefinitely without the added complication of overbank flow). As noted previously, a jam is initiated when the downstream passage of floating ice being

transported by a stream is impeded. An obstacle to passage of subsequent floes arriving at the section is produced, and the stage is set for development of a jam.

The stability of arriving ice blocks against submergence, which has been described and analyzed in detail by the writers (12), Ashton (1), and the first writer (11), depends on the flow conditions and floe geometry. The blocks swept under the cover may come to rest near the upstream end of the cover to form a "hanging dam," or be transported farther downstream, either coming to rest at some location or possibly (but not usually) being swept under the arrested ice, past the channel obstruction, and continuing downstream.

The ice cover produces an additional localized energy loss by lengthening the wetted perimeter of the channel section along the reach occupied by the arrested ice. As the jam lengthens and thickens, the magnitude of the flow obstruction it produces increases. In order for the liquid discharge to pass beneath the cover, the cross-sectional area available to the flow is increased by the ice "floating up" to deepen the flow section and thereby reduce the velocity. Moreover, the energy gradients just downstream and upstream from the evolving jam are reduced due to the increased depth (compared to the normal depth) along these reaches of nonuniform flow. Thus the added resistance to flow produced by the jam is compensated for by the diminished energy gradients upstream and downstream from the cover and the energy dissipation rate of the flow beneath the cover being reduced by the deepening of the flow. Note that, as in the case of an isolated bend in a long channel, there is no net additional energy loss due to the presence of the jam, but, rather, only a redistribution along the channel of the rate of energy dissipation.

As the depth of flow at the upstream end of the jam increases and the velocity there decreases, the Froude number at that section decreases and, thus, so also do the ability of the flow to submerge ice blocks reaching the jam and the momentum of the arriving floes. A depth is eventually reached above which the arriving floes are no longer submerged, but come to rest at the upstream edge of the jam, causing it to lengthen in the upstream direction. The development and upstream propagation of the backwater profile results in storage of a significant volume of water and consequently reduces liquid discharge just upstream from and beneath the jam.

The principal external forces applied to the ice field are the streamwise component of the weight of the ice cover and the pore water it contains, the shear force applied by the flowing water to the bottom of the cover, and the impact of the arriving floes. These forces are balanced by the longitudinal gradient of the internal effective normal force acting on planes oriented perpendicular to the flow direction and the shear forces exerted on the ice by the banks. It is reasonable to expect that both the shear and normal strengths of the cover will increase as it thickens. Now as the length of the jam is increased by the capture of newly arrived floes, the total streamwise external force applied to the jam upstream from any section will cause the jam to thicken by failure or "collapse" of the ice accumulation (and generally not by fracture of the individual floes) until its shear and compressive strengths become great enough to support the applied forces. At large distances downstream from the upstream end of the jam, the cover will become sufficiently thick so that just the bank shear will be equal to the applied external forces, both per unit length of channel.



and no streamwise gradient in the compressive force, nor therefore in the jam thickness, will be required. Where this balance attains the embankle is said to have reached its equilibrium thickness. Note that the jam still may be lengthening, due to arrival of more ice, and thickening to equilibrium along its nonuniform reach. Over the downstream reach where the jam has attained equilibrium the slope of the energy grade line must be close or equal to that of the channel. Once the jam has attained its equilibrium thickness at some point, its subsequent development is quasi-steady, i.e., when viewed in a coordinate system moving upstream with velocity equal to that of the leading edge, both the jam profile and the flow field appear steady.

The evolution of an embankle was examined previously without consideration of limitations on its development. Generally, ice will continue to accumulate in a jam until the supply from upstream is exhausted. Therefore if the volume of ice moving into the jammed reach and the distribution of ice-cover thickness along its length are known, the upstream extent of the jam from the obstacle and the increase in river stage it will produce can be determined. The analytical framework for this calculation is developed later.

Natural stream channels generally do not have vertical sides and effectively unbounded depth, but rather are characterized by gently sloping banks. Consequently, as a jam evolves and the water depth increases, the flow will occupy a progressively wider channel. This gives the flow system an added degree-of-freedom and significantly complicates the analysis of ice jams. In a severely jammed river, significant portions of the liquid and ice discharges may occur outside of the main channel, in the overbank areas. In a complete analysis of a specific jam, the cross sections of the particular river channel and valley must be taken into consideration, and the transport and storage of liquid water and ice in the overbank regions accounted for. A further complication then arises in the formulation of the force balance in the jam, since the lateral constraint condition is no longer well defined, and the simplifications of a one-dimensional analysis cannot be employed. The ensuing analysis is limited to the one-dimensional situation.

#### FORMULATION OF THEORETICAL MODEL

**Force Equilibrium in Floating Fragmented Ice Cover.**—Consider an element of rectangular plan form taken from an ice cover floating on a flowing stream, as depicted in Fig. 2, in which the coordinate axes and the notation adopted for several of the quantities arising in the analysis are defined. The  $x$ -axis is parallel to, and the lateral faces of the control volume are normal to, the phreatic surface in the cover. The normal stresses,  $\sigma_x$  and  $\sigma_y$ , and the shear stress,  $\tau_{xy}$ , in the ice are effective values averaged over the ice cover thickness. The internal surface forces actually are transmitted by particle-to-particle contact, but the stresses are calculated on the basis of the transmitted force divided by the entire surface area (and not just the interparticle contact area). It is assumed that on every point the  $z$  component of the weight of the cover is supported by buoyancy; in other words, there are no bending stresses in the cover. The force balance in the  $y$  direction is of no particular interest here except as it affects the normal stress on  $x$ - $z$  planes,  $\sigma_x$ , which in turn plays a role in determining  $\tau_{xy}$ , which will be considered later.



The  $x$  component of the weight of the ice and the pore water it contains, per unit area in the  $x$ - $y$  plane, is

$$F_w = \left[ t(1-p) \frac{\rho'}{\rho} + t_2 p \right] \rho g \sin(\theta + \alpha) \quad (1)$$

in which  $(\theta + \alpha)$  = the slope of the phreatic line;  $\rho$  and  $\rho'$  = the densities of liquid and frozen water, respectively;  $g$  = the gravitational constant; and  $p$  = the porosity. Equating the  $z$  components of the weight of the ice above the phreatic surface to the buoyant weight of the submerged ice yields

$$t(1-p) \rho' g \cos(\theta + \alpha) = t_2(1-p) \rho g \cos(\theta + \alpha) \quad (2)$$

Substituting  $t_2$ , obtained from Eq. 2, into Eq. 1 gives

$$F_w = \rho' g t \sin(\theta + \alpha) \quad (3)$$

Note from Eq. 2 that the slope of the water surface and that of the bottom of the ice cover differ only by  $(\rho' / \rho)(\partial t / \partial x)$ .

The balance of the forces depicted in Fig. 2 and given by Eq. 3 acting on the control volume in the  $x$  direction is expressed by

$$\frac{\partial}{\partial x}(t \sigma_x) - \frac{\partial}{\partial y}(t \tau_{xy}) - \tau_2 \cos\left(\frac{\rho'}{\rho} \frac{\partial t}{\partial x}\right) - \rho' g t \sin(\theta + \alpha) = 0 \quad (4)$$

for a laterally uniform jam [i.e., for the case  $(\partial/\partial y)(t, \tau_2) = 0$ ]. Note that the  $x$  components of the hydrostatic pressure forces acting on the surface of the control volume are themselves in balance. Before Eq. 4 can be integrated to obtain  $t$  as a function of  $x$  and time, it is necessary to express  $\sigma_x$ ,  $\tau_2$ , and  $\tau_{xy}$  as functions of  $t$ .

**Effective Stresses in Floating Fragmented Covers.**—Consider again the element depicted in Fig. 2 taken from a floating fragmented ice cover. As the external loads applied through  $\tau_2$  and  $F_w$  are increased, a point will be reached beyond which the strength of the cover, reflected in the limiting values of  $\sigma_x$  and  $\tau_{xy}$ , is inadequate to support the external loading. The cover then will fail, and rearrangement of the fragments and accompanying thickening of the jam will occur until the internal surface forces are adequate to support the externally applied loads. It should be borne in mind that the cover will not thicken by this process until its strength is exceeded, i.e., it will not be dependent on the loading until the onset of failure. The thickening of the cover will be resisted by gravitational forces reflected in the normal stress acting on  $x$ - $y$  planes,  $\sigma_x$ , and by the cohesive strength of the interparticle bonds, which also are dependent on  $\sigma_x$  (as well as on several other quantities). Accordingly, it is of interest to examine  $\sigma_x$ . For  $z > 0$

$$(\sigma_x)_{z>0} = \rho' g \left[ \left(1 - \frac{\rho'}{\rho}\right) t - z \right] (1-p) \cos(\theta + \alpha) \quad (5)$$

while for  $z < 0$

$$(\sigma_x)_{z<0} = g(\rho - \rho')(1-p) \left( \frac{\rho'}{\rho} t + z \right) \cos(\theta + \alpha) \quad (6)$$

The average value of  $\sigma_x$  over the whole thickness,  $\bar{\sigma}_x$ , is

$$\bar{\sigma}_x = \frac{t}{2} \left( 1 - \frac{p}{p'} \right) (1 - p) p' R \cos(\theta + \alpha) = \gamma_c t \quad (7)$$

in which  $\gamma_c = (1/2)(1 - p/p')(1 - p)p'R \cos(\theta + \alpha)$ .

It will be assumed that when the ice field is undergoing failure by displacement of the fragments relative to each other

$$\sigma_x = k_1 \bar{\sigma}_x, \quad \sigma_y = k_2 \gamma_c t \quad (8)$$

and that the shear strength can be expressed in the form of Coulomb's law for granular materials

$$(\tau_{xy})_M = C_0 \bar{\sigma}_x + C_1, \quad (\tau_{xy})_M = C_0 \gamma_c t + C \quad (9)$$

in which  $k_1$  = a stress ratio that is analogous to Rankine's passive stress coefficient;  $C_0$  = a shear stress coefficient;  $C$  = the cohesive intercept described by Lambe and Whitman (8); and  $(\tau_{xy})_M$  = the failure value of  $\tau_{xy}$ . Eq. 9 it has also been assumed that the lateral normal stress,  $\sigma_y$ , which gives rise to the friction component of the strength, is proportional to  $\bar{\sigma}_x$ . Further justification for the adoption of Eqs. 8 and 9 is given by the first writer (11) and Merino (10). Suffice it herein to note that  $k_1$  and  $C_0$ , and likely also  $C$ , are strongly strain-rate dependent for ice. The first writer's (11) experimental results indicate that  $k_1$  varies inversely with the mean linear compressive strain rate from about 12 for strain rates greater than roughly  $2 \times 10^{-4} \text{ sec}^{-1}$  to 100 at strain rates in the neighborhood of  $10^{-5} \text{ sec}^{-1}$ . Merino's (10) shear-strength experiments yielded a consistent value of  $C_0$  of about 2 psf (9.76 kg/m<sup>2</sup>). The coefficient,  $C$ , on the other hand, was found by Merino (10) to range from about 2-100 as the shear-strain rate (based on an average ice-fragment dimension) was varied from about  $0.015 \text{ sec}^{-1}$ - $0.4 \text{ sec}^{-1}$ , and tends toward zero as the reciprocal of the strain rate as the deformation rate was increased. However, these three coefficients will be treated as constants in the present analysis, because the understanding of their behavior is inadequate to justify greater refinement.

In the case of a straight prismatic channel,  $\tau_{xy}$  is uniformly distributed under the ice cover. The internal stress,  $\tau_{xy}$ , however, varies linearly across the stream, in the  $y$  direction. This can be seen from Eq. 4 when it is remembered that  $t$ ,  $\sigma_x$ , and  $\tau_{xy}$  are independent of  $y$ . Therefore,  $\partial \tau_{xy} / \partial y$  is constant at a fixed location along the stream.  $\tau_{xy} = 0$  at  $y = 0$  (the midplane of the channel) because of symmetry. Therefore  $\tau_{xy}$  is

$$\tau_{xy} = -\frac{2y}{W} (\tau_{xy})_M \quad (10)$$

in which  $W$  = the width of the channel. Eq. 10 implies that shear failure of the ice accumulation occurs at the banks.

**Nondimensional Force-Equilibrium Relation.**—Substituting Eqs. 7, 8, 9, and 10 into Eq. 4, carrying out the indicated differentiation, and normalizing by setting

$$x_0 = \frac{x}{h_0} \quad \text{and} \quad t_0 = \frac{t}{h_0} \quad (11)$$



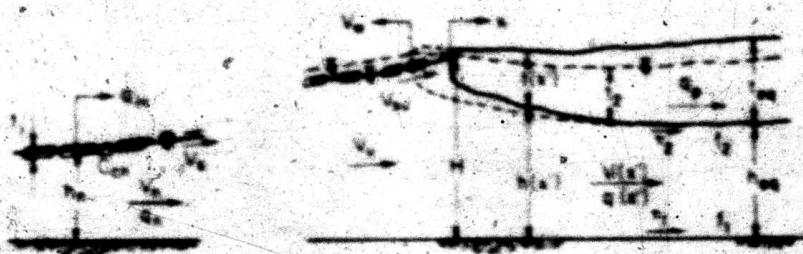


FIG. 3.—Longitudinal Section through Quasi-Steady Ice Jam and Approaching Flow

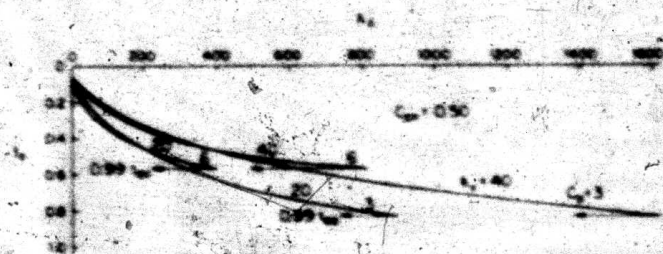


FIG. 4.—Normalized Jam-Thickness Profiles for Different Values of  $L_j$  and  $C_{j1}$

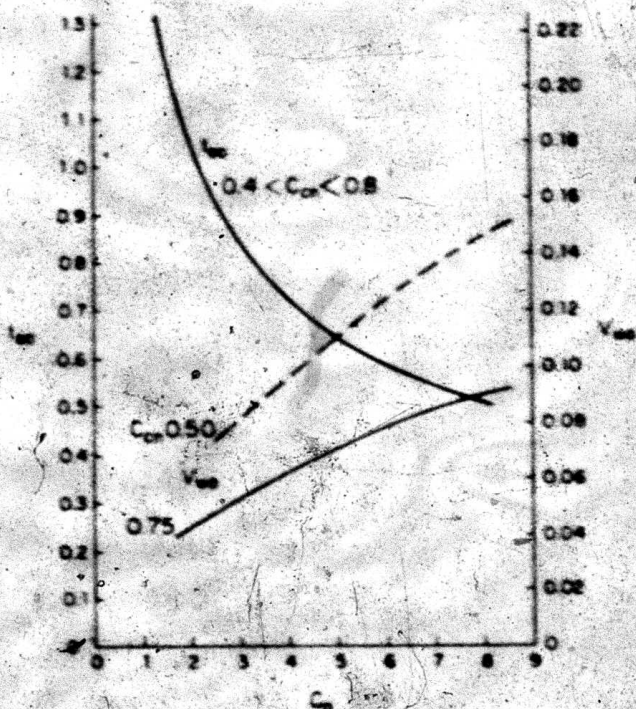


FIG. 5.—Relationships Between  $t_{j1}$ ,  $V_{j1}$ ,  $C_{j1}$ , and  $C_{j2}$

in which  $h_0$  = the normal depth for the flow, given by

$$h_0 = \left( \frac{f_1 q_0^2}{8 g S_{01}} \right)^{1/3} \quad (12)$$

in which  $q_0 = V_0 h_0$  = the unit discharge far upstream (see Fig. 3);  $f_1$  =



the Darcy-Weisbach friction factor related to the streambed, and  $S_b = \sin \theta$  = the channel slope, leads to

$$L \frac{\partial \tau_2}{\partial x} = a + bL + cL^2 \quad (13)$$

$$\text{in which } a = \frac{\tau_2}{2k_s \gamma_r h_s} \quad (14)$$

$$b = \frac{\rho g S_b - 2 \frac{C_1}{W}}{2k_s \gamma_r} \quad (15)$$

$$\text{and } c = -\frac{C_2 h_s}{k_s W} \quad (16)$$

Note that in Eq. 14  $\tau_2$  is a function of depth of flow beneath the cover,  $h$  (see Fig. 3). Its determination requires analysis of the flow beneath the jam, as follows.

**Gradually Varied Unsteady Flow Under Floating Ice Cover.**—In this section the unsteady momentum equation for nonuniform flow under an ice cover is derived in order to determine the streamwise distributions of flow depth, mean velocity, and shear stress under the arrested ice. From Fig. 3 and Eq. 2 it is seen that the continuity equation for the liquid flow through and beneath the ice cover is

$$\frac{\partial q}{\partial x} + \frac{\partial h}{\partial T} + \rho \frac{\partial t}{\partial T} + \frac{\partial q_p}{\partial x} = 0 \quad (17)$$

in which  $q$  and  $q_p$  = the water discharges per unit width under the cover and through the jammed ice;  $h(x, T)$  = the flow depth beneath the cover; and  $T$  = time. The momentum balance for the control volume of unit width bounded by the streambed and the bottom of the cover is expressed by

$$\begin{aligned} \frac{\partial}{\partial x} \left[ (2\rho' g + \rho g h) \frac{h}{2} \cos \theta \right] + (\tau_1 + \tau_2) - \rho' g \frac{\partial h}{\partial x} \cos \theta - \gamma h S_b \\ + \frac{\partial}{\partial T} (\rho V h) + \frac{\partial}{\partial x} (\rho V^2 h) + \rho V \left( \frac{\partial q_p}{\partial x} + \rho \frac{\partial t}{\partial T} \right) = 0 \end{aligned} \quad (18)$$

Note that in Eq. 18 the  $x$ -axis has been taken as parallel to the plane streambed, which implies  $\alpha < \theta$ ; this restriction will be retained hereinafter, as will the approximation  $\cos \theta \approx 1$ . The first term on the left-hand side of Eq. 18 arises from the hydrostatic pressure distributions acting on the control volume in the streamwise direction, while the third term on the left is the  $x$  component of the force exerted by the weight of the ice and its pore water. The last term on the left-hand side of Eq. 18 represents the momentum flux through the bottom of the ice cover.

After the jam reaches equilibrium thickness at its downstream end, its leading edge will propagate upstream with constant velocity. This can be seen from

consideration of the continuity of ice movement if the arriving floes are envisioned as merely increasing the length of the equilibrium reach. It is then apparent that the upstream end of the jam will move with constant velocity, provided the ice discharge reaching the jam also is constant, and the volume of ice contained in the jam upstream from the point where the equilibrium thickness has just been reached remains constant. The jam and flow then appear steady in a coordinate system moving upstream with speed equal to that of the jam front,  $V_u$ . In this case, the time derivatives in the continuity and momentum relations, Eqs. 17 and 18, can be replaced by

$$\frac{\partial}{\partial T} = V_u \frac{d}{dx} \quad (19)$$

in which  $x' = x + V_u T$  = the new streamwise coordinate. Utilization of Eq. 19 in Eqs. 17 and 18, elimination between the resulting two equations of the last two terms in each, and normalization by  $\rho gh$  of the expression so obtained results in

$$\frac{\rho'}{\rho} \frac{dt}{dx'} + \left(1 - \frac{V'^2}{gh}\right) \frac{dh}{dx'} + S_f - S_a = 0 \quad (20)$$

in which  $V' = V + V_u = q/h + V_u$ ; and  $S_f = (\tau_1 + \tau_2)/\rho gh$ . Eq. 20 is the continuity-momentum relation for the flow beneath the ice cover in a coordinate system moving upstream with velocity  $V_u$ . For  $t = 0$ , Eq. 20 reduces to the classical equation of gradually varied flow.

The simultaneous solution of the equations expressing the force balance in the jam, Eq. 4, and the continuity-momentum equation for the flow, Eq. 20, yields  $h$  and  $t$  as a function of  $x'$  for a quasi-steady jam. Before this can be accomplished, however, it is necessary to obtain an expression for  $V_u$  and formulate the boundary conditions.

**Determination of  $V_u$ , Reduced Water Discharge Beneath Jam, Equilibrium Values of  $h$  and  $t$ , and Upstream Boundary Condition on  $t$ .**—As the jam front moves upstream a significant quantity of water is stored in the backwater profile, which propagates upstream as a monotonic wave, and under the lengthening jam. As a result, the actual liquid discharge (measured in fixed coordinates) under the ice and downstream from the jam is reduced. This effect has been observed in natural ice jams by Bojurnas (3) and Larsen (9). The reduced discharge must be used in calculating the shear stress acting on the jam,  $\tau_2$ , and the energy gradient,  $S_f$ .

**Determination of  $V_u$ .**—For a quasi-steady jam, conservation of the volume of ice moved downstream by the flow is expressed by

$$q_i' = q_m + C_{in} t_i V_u; \quad q_i' = C_f t_i (V_u + V_u) \quad (21)$$

in which, as shown in Fig. 3,  $q_m$  and  $C_{in}$  = the ice discharge per unit width and surface concentration far upstream, where the flow is uniform at its normal depth;  $t_i$  = the floe thickness; and  $q_i'$  = the ice discharge in moving coordinates across any section, including that at the leading edge of the jam. Another expression for  $q_i'$  may be obtained by noting that after equilibrium thickness is reached, ice accumulates in the jam at the following rate



$$q_i = V_{eq} t_{eq} (1 - p) \quad (22)$$

in which  $t_{eq}$  = the equilibrium thickness of the jam. Eqs. 21 and 22 yield

$$V_{eq} = \frac{q_{eq}}{t_{eq} (1 - p) - C_{eq} t_i} \quad (23)$$

**Determination of  $q$** —If the discharge through the ice is neglected, the unit liquid discharge in the moving coordinate system,  $q'$ , is

$$q' = (V_n + V_{eq}) h_n = V' h \quad (24)$$

The discharge in fixed coordinates obtained from Eq. 24 is

$$q = (V' - V_{eq}) h = q_n + V_{eq} (h_n - h) \quad (25)$$

**Determination of  $t_{eq}$  and  $h_{eq}$** —Along the equilibrium reach, in which  $\partial t_o / \partial x_o = 0$ , the force equilibrium relation, Eq. 13, reduces to a quadratic equation for  $t_o$ , for which the physically meaningful solution is

$$t_{eq} = \frac{-b - \sqrt{b^2 - 4ac}}{2c} \quad (26)$$

in which  $a$ ,  $b$ , and  $c$  are defined by Eqs. 14, 15, and 16, respectively; and  $t_{eq} = t_o / h_n$ . The shear stress,  $\tau_2$ , appearing in Eq. 14 can be obtained from the Darcy-Weisbach relation as

$$\tau_2 = p \frac{f_2 q^2}{8 h^2} \quad (27)$$

in which  $f_2$  = the friction factor related to the bottom of the ice cover. Over the equilibrium reach, in which  $\partial t / \partial x$  and  $\partial h / \partial x = 0$ , the slope of the energy grade line must be close or equal to that of the channel. The continuity-momentum relation, Eq. 20, then reduces to

$$S_f = S_o = \frac{q^2}{8 g h_{eq}^3 h_n^3} (f_1 + f_2) \quad (28)$$

in which  $h_{eq} = h_{eq} / h_n$  = the normalized flow depth in the equilibrium reach.

The unknown quantities in Eqs. 23, 25, 26, and 28 are  $V_{eq}$ ,  $q$ ,  $t_{eq}$ , and  $h_{eq}$ . Substitution of Eq. 27 into Eq. 26 gives an expression for  $t_{eq}$  as a function of  $h$ . Using this expression for  $t_{eq}$  in Eq. 23 gives  $V_{eq}$  as a function of  $h$  which, when substituted into Eq. 25, gives  $q(h)$ . Finally, introducing  $q(h)$  into Eq. 28 yields

$$h_{eq} = \frac{1}{h_n} \left( \frac{f_1 + f_2}{8 g S_o} \right)^{1/3} \left[ q_n - \frac{q_i (1 - h_{eq})}{m} \right]^{2/3} \quad (29)$$

$$\text{in which } m = \frac{-b - \sqrt{b^2 - 2 c e h_{eq}}}{2 c} - C_{eq} \frac{1}{h_n} \quad (30)$$

$$\text{and } e = \frac{f_2}{f_1 + f_2} \frac{\rho g S_o}{\gamma_e k_s} \quad (31)$$



Eq. 29 was solved numerically for  $h_m$  using Wegstein's iteration technique (11). The determination of  $q$ ,  $t_m$ , and  $V_m$  are then straightforward calculations from Eqs. 25, 26, and 23, respectively.

**Upstream Boundary Condition on  $t$ .**—The ice blocks reaching the upstream end of an ice jam are moving downstream with the surface velocity of the stream in front of the ice cover,  $V_m$ . The leading edge thickness of the jam must be sufficient to withstand the momentum of the arriving floes. The equation expressing this dynamic condition is

$$\rho' q (V_m + V_m) = (\sigma_t t) \quad \text{at } x' = 0 \quad (32)$$

in which, from Eq. 25

$$V_m = \frac{q_m + V_m (h_m - H)}{H} \quad (33)$$

in which  $H = h(0) + (\rho' / \rho) t(0) =$  the flow depth immediately upstream from the jam. Note that at the upstream end of the cover,  $x' = 0$ , the flow depth is treated as changing abruptly from  $H - h(0)$ .

#### PRESENTATION AND ANALYSIS OF RESULTS FOR QUASI-STEADY CONDITIONS

**Computational Procedure.**—The numerical solution of the governing equations was handled in the following way. Eqs. 13 and 20 expressed in nondimensional terms in moving coordinates are, respectively

$$\frac{dt_o}{dx_o} = \frac{1}{t_o} (a + b t_o + c t_o^2) \quad (34)$$

$$\text{and } \frac{dh_o}{dx_o}$$

$$= \frac{h_o^3 S_m - \frac{F_m^2 (f_1 + f_2) (1 + V_{mo} - V_{mo} h_o)^2}{8} - \frac{\rho'}{\rho} \left( \frac{dt_o}{dx_o} \right) h_o^3}{h_o^3 - (1 + V_{mo}^2) F_m^2} \quad (35)$$

in which  $F_m = V_m / \sqrt{gh_m}$  = the Froude number of the approach flow;  $h_o = h/h_m$ ;  $V_{mo} = V_m h_m / q_m$ ; and  $x_o = x'/h_m$ . The quantity,  $dt_o/dx_o$ , was eliminated from Eq. 35 by substitution of Eq. 34, and the independent variable,  $x_o$ , then was removed from the resulting relation and Eq. 34 by division to obtain a first-order nonlinear differential equation relating  $h_o$  and  $t_o$ . This relation was integrated numerically using the modified Adams-Bashfort method (2). The numerical integration was initiated at the upstream end of the equilibrium reach;  $x_o = x_m$ ;  $t_o = t_m$ , in which  $dt_o/dx_o$  and  $dh_o/dx_o = 0$ . The difficulties that arise from the resulting indeterminacy of  $dt_o/dh_o$  (the ratio of the quantities given by Eqs. 34 and 35) were circumvented by means of l'Hospital's rule. The integration proceeded upstream to the point where  $t_o = t(0)/h_m$  obtained from Eqs. 32 and 33. After the numerical relation between  $t_o$  and  $h_o$  was obtained, it was a straightforward calculation to determine  $x_o$  as a function of  $t_o$  from Eq. 34. The incremental length,  $\Delta x_o$ , for the first numerical step was calculated

using  $t_{in} = 0.99 t_{eq}$  and the corresponding  $h_{in}$  in order to avoid the difficulties arising from  $dt_{in}/dx$  being zero at  $t_{in} = t_{eq}$ . The depth,  $h_{in}$ , could then be calculated as a function of  $x_{eq}$  from the known relation between  $h_{in}$  and  $t_{in}$ . A computer program for accomplishing these calculations has been developed by the first writer and is described by him in considerable detail.

**Illustrative Results.**—An illustrative set of results is presented in Figs. 4-10 for several values of  $k_s$ ,  $C_{in}$ ,  $E_{in}$ , and the following channel, flow, and ice properties:  $W = 1,000$  ft (304.8 m);  $S_b = 0.0004$ ;  $q_s = 39.5$  sq ft/sec (3.67 m<sup>2</sup>/sec);  $h_s = 6.72$  ft (2.05 m);  $F_s = 0.4$ ;  $f_1 = 0.02$ ;  $f_2 = 0.1$ ;  $C_i = 2$  psf (9.76 kg/m<sup>2</sup>);  $t_{eq} = t_s/h_s = 0.05$ , and  $p = 0.4$ . Fig. 4 shows normalized ice thickness profiles extending downstream to the point where  $t_{in} = 0.99 t_{eq}$ . For the previous conditions and those in Fig. 4 it was found that the  $t_{in}$  profiles are practically independent of  $C_{in}$  over the range  $0.4 < C_{in} < 0.8$ , the differences appearing

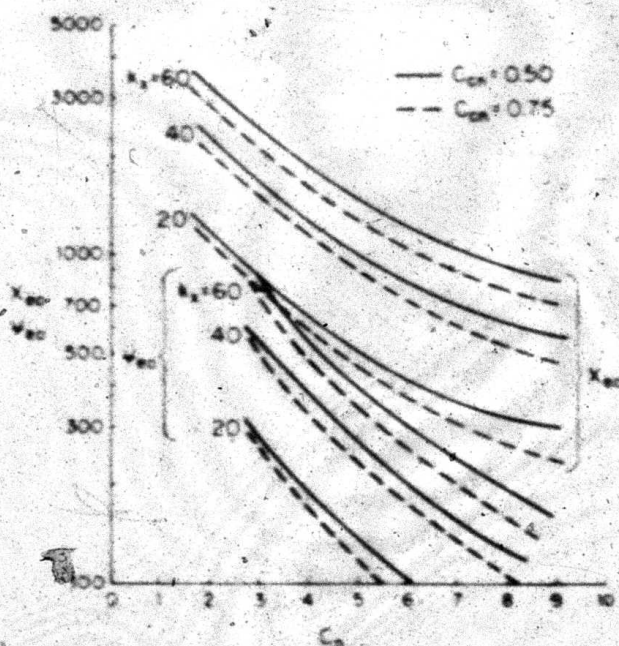


FIG. 6.—Normalized Length of and Volume of Ice Contained in Nonuniform Reach of Quasi-Steady Jam, as Functions of  $C_{in}$ ,  $k_s$ , and  $C_s$

principally in  $t_{in}(0)$  and  $x_{eq}$ . Note in Fig. 4 that  $t_{eq}$  is independent of  $k_s$ ; it is also practically independent of  $C_{in}$ , as can be seen in Fig. 5, in which the  $t_{eq} - C_{in}$  relation given by Eq. 26 is presented. The nondependence of  $t_{eq}$  on  $k_s$  is, of course, a consequence of the externally applied forces being balanced by just the bank shear in the equilibrium reach. The slight dependence of  $t_{eq}$  on  $C_{in}$  arises from the decrease of  $q$  (in fixed coordinates), and thus also of  $\tau_s$ , with increasing upstream ice concentration, which gives rise to larger  $V_{in}$ . Fig. 5 also shows  $V_{in}$  calculated from Eq. 23. As  $C_{in}$  increases with the flow conditions held constant, the rate at which ice reaches the upstream end of the cover and accumulates in the jam will also increase; thus, the dependence of  $V_{in}$  on  $C_{in}$ . The normalized length of the nonuniform reach,  $x_{eq} = x_{eq}/h_s$ , in which internal normal and shear forces both act to balance the applied forces, is, unlike  $t_{eq}$ , strongly dependent on  $k_s$ , as can be seen in Figs. 4 and 6. Also



included in Fig. 6 is  $\Psi_{\infty}$ , the volume of ice, normalized by  $h_0^2$ , per unit width of channel contained in the jam upstream from  $x_{\infty}$  in which  $t_{\infty} = 0.99 t_0$ . This volume was obtained by numerical integration of the thickness profile, multiplied by  $(1 - p)$  to account for the porosity of the jam, using the trapezoidal rule. The quantity,  $\Psi_{\infty}$ , depends, of course, on the same quantities that determine the jam profile.

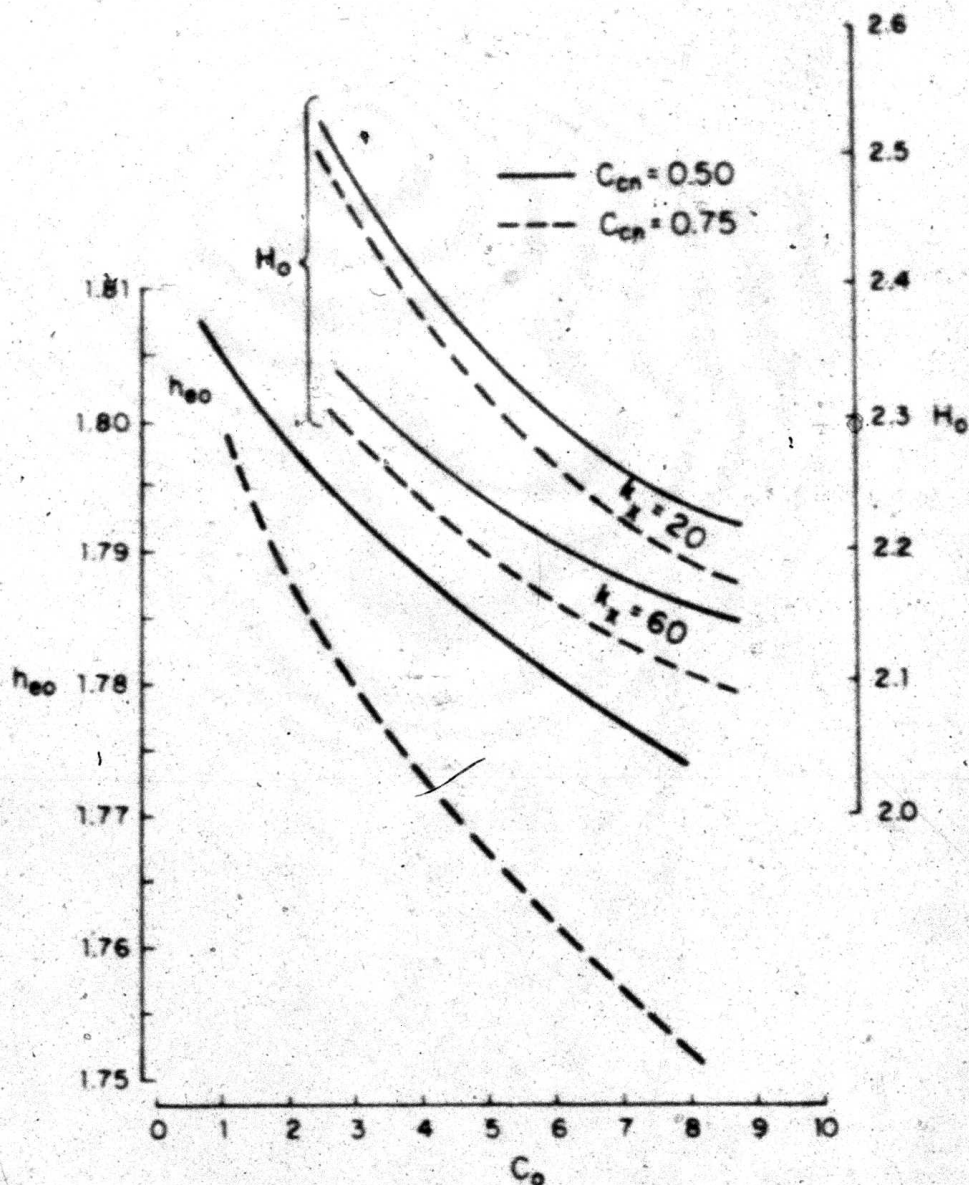


FIG. 7.—Variation of  $h_{\infty}$  and  $H_0$  with  $C_0$  for Two Values of  $k_x$  and  $C_{cn}$  (Note  $h_{\infty}$  is Practically Independent of  $k_x$ .)

The equilibrium flow depth,  $h_{\infty}$ , shown in Fig. 7, varies with both  $C_{cn}$  and  $C_0$ . The first of these dependencies arises from the fact that as  $V_{\infty}$  increases with increasing  $C_{cn}$ , the discharge (measured in fixed coordinates) under the ice decreases, and thus so also does  $h_{\infty}$ . The second results from increases in  $C_0$  reducing  $t_{\infty}$ . However, Eq. 23 demonstrates that  $V_{\infty}$  increases with reduced



$t_{eo}$ , leading to further reduction in the discharge beneath the ice, and therefore also in  $h_{eo}$ . Fig. 7 also gives  $H_o = H/h_o$  as a function of  $k_s$ ,  $C_{ce}$ , and  $C_o$ . From the manner in which  $H_o$  was calculated, by integration of the ice cover force balance and the flow momentum relations in the upstream direction from

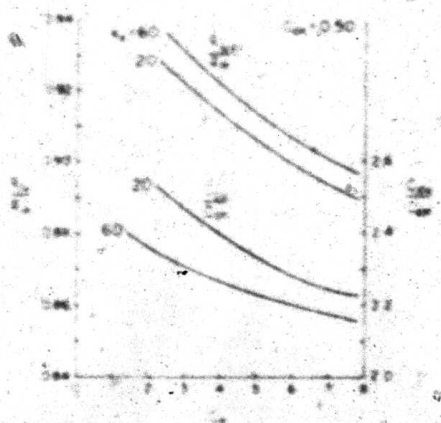


FIG. 8.—Normalized Ice Discharge and Ice Concentration at Upstream End of Ice Jam, as Functions of  $C_o$  and  $k_s$ , for  $C_{ce} = 0.50$

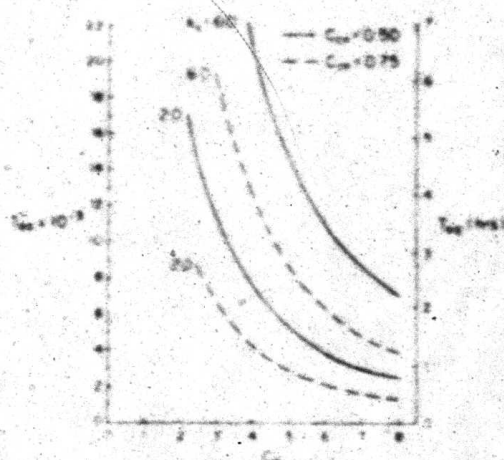


FIG. 9.—Time Required for Jam to Reach Quasi-Steady Conditions, as Function of  $C_o$ ,  $k_s$ , and  $C_{ce}$

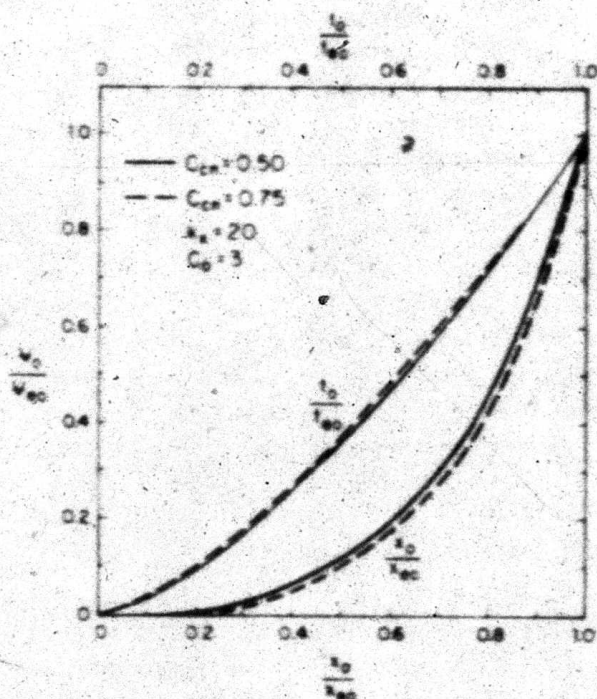


FIG. 10.—Distributions of Normalized Ice Volume and Normalized Jam Thickness Along Nonuniform Reach of Quasi-Steady Embank

$x_o = x_{eo}$ , in which  $h_o = h_{eo}$ , to the point where  $t_o$  satisfies the boundary condition expressed by Eqs. 32 and 33, and from consideration of the energy

equation of the flow, it is clear that  $H_0$  depends on  $h_m$ , the flow depth profile over the nonuniform reach (which is a function of  $k_s$ ,  $C_{cs}$ , and the parameters describing the flow and channel), and the quantities that determine the upstream thickness,  $t_u(0)$ . It is apparent that  $H_0$ , like  $h_m$ , will be heavily dependent on  $f_2/f_1$ .

Along the backwater reach leading to the jam the ice concentration,  $C_i$ , and ice discharge,  $q_i$ , measured in fixed coordinates, vary between their limiting values,  $C_{cs}$  and  $q_{cs}$  far upstream and  $C_{cu}$  and  $q_{cu}$  at  $x' = 0$ , due to the changes in the flow depth and velocity along this reach. It is of interest to calculate the ice concentration and discharge at the end of the jam to gain some idea of the variations  $q_i$  and  $C_i$  undergo along the approach reach. In moving coordinates the ice discharge is constant and is

$$q_i = q_i + C_i t_i V_u; \quad q_i = C_i t_i \left( \frac{q}{h} + V_u \right) \quad (36)$$

which together with the alternate expression for  $q_i$ , Eq. 21, yields

$$C_i = C_{cs} \frac{V_u + V_s}{V_u + \frac{q}{h}} \quad (37)$$

$$\text{and } q_i = q_{cs} + C_{cs} t_i V_u \left( 1 - \frac{V_u + V_s}{V_u + \frac{q}{h}} \right) \quad (38)$$

The values of  $C_i$  and  $q_i$  at the upstream end of the jam,  $C_{cu}$  and  $q_{cu}$ , respectively, are obtained by replacing  $h$  with  $H$  in Eqs. 37 and 38. The results of this calculation are shown in Fig. 8, where it is seen that  $q_{cu}/q_{cs} < 1$  due to the storage of liquid water and, therefore, also of ice in the approach reach. On the other hand,  $C_{cu}/C_{cs} > 1$ , reflecting the backwater-reach storage of ice which accompanies the reduced ice discharge.

The limiting value for  $C_i$  if the floating ice remains one floe thick is unity, and it is doubtful that for irregularly shaped floes  $C_i$  can increase above about 0.8-0.9. Larger values can occur only if the floes "shelve," i.e., override one another. Perhaps in reality  $C_i$  increases only to the neighborhood of unity before it produces bridging and resulting flow blockage and modification of the jam evolution to the extent that  $C_i$  does not become greater than a limiting value, somewhat less than unity.

### EVOLUTION TO EQUILIBRIUM

The force balance relation for the ice and the mass and momentum-conservation equations derived previously in Eqs. 13, 17, and 18 are generally valid, but solutions were developed only for the equilibrium quasi-steady case. During the time the jam is evolving to equilibrium the governing equations cannot be solved so readily for several reasons. First, the partial differential equations cannot be reduced to ordinary differential equations by a simple transformation, because the jam profile does not move upstream with constant velocity. Second,



there is no generally valid downstream boundary conditions, as there is in the quasi-steady situation. Instead, the downstream condition is dictated by the nature of the local obstacle that initiates the jam. Similarly, it is not altogether clear what initial condition should be employed. In reality, most jams likely start as a single layer of arrested floes. But the previously developed formulation is not applicable until the accumulation acts as a continuum, i.e., until the embacle is several floes thick. In any event, it is doubtful that the effort required to obtain a general analytical description would be justified, since the evolutionary stage is of relatively short duration, and in view of the limited applicability of the formulation until the jam has thickened appreciably. It is fairly easy, however, to develop approximate estimates for the time required for a jam to achieve its equilibrium thickness, and for its length, downstream thickness, and rate of upstream propagation at any time during the evolutionary stage.

**Time of Evolution to Equilibrium.**—The time required for a jam to reach equilibrium thickness at its downstream end,  $T_{eq}$ , will be estimated under the assumption that the ice discharge (in fixed coordinates) at the upstream end of the jam varies linearly with time from its initial value,  $q_{in}$ , to its final value,  $q_{eq}$ . The volume of ice in the jam when equilibrium is achieved,  $\Psi_{eq} h_{eq}^2$ , is equal to the ice discharged across the section reached by the leading edge of the jam up to the moment it reaches quasi-steady conditions, plus the volume of ice initially in the reach of length  $x_{eq}$ . Therefore

$$\Psi_{eq} h_{eq}^2 = \frac{q_{eq} + q_{in}}{2} T_{eq} - x_{eq} C_{eq} t_{eq} \quad (39)$$

Introducing the nondimensional time

$$T_{eq} = \frac{T_{eq}}{\frac{h_{eq}^2}{q_{eq}}} \quad (40)$$

into Eq. 39 and solving for  $T_{eq}$  yields

$$T_{eq} = \frac{2 q_{eq}}{q_{eq} + q_{in}} (\Psi_{eq} - x_{eq} C_{eq} t_{eq}) \quad (41)$$

in which  $t_{eq} = t_{eq}/h_{eq} =$  the normalized flow thickness;  $q_{eq}$  is obtained from Eq. 38 with  $h$  replaced by  $H$ ; and  $x_{eq}$  and  $\Psi_{eq}$  can be obtained from Fig. 6. The quantities,  $T_{eq}$  and  $T_{eq}$ , are given in Fig. 9 as functions of  $C_{eq}$  for two different values of  $C_{eq}$  and  $k_{eq}$  and the flow, channel, and ice properties specified previously.

For calculation of estimated length, downstream thickness, and propagation velocity during evolution, a major assumption will be employed. The profile of an evolving embacle (one that has not reached equilibrium thickness at its downstream end) containing a volume of  $\Psi_{eq} h_{eq}^2$  of ice is the same as the profile of the quasi-steady jam, that eventually will form, between its upstream end,  $x_{eq} = 0$ , and the station,  $x_{eq}(\Psi_{eq})$ , upstream from which it contains a volume,  $\Psi_{eq} h_{eq}^2$ , of ice. This assumption is at best only approximately correct, for the streamwise distributions of shear stress on the bottom of the jam and of the weight of ice and pore water, and the momentum transferred to the upstream



end of the jam by the arriving floes, all of which determine jam profile, differ somewhat between evolving and the equilibrium embacles. Nevertheless, the assumption appears to be adequate for the purpose at hand, in view of the relatively short time occupied by the transient to the quasi-steady state (see Fig. 9). Further, it will be assumed, as in estimating the time of evolution to equilibrium, that the ice discharge (in fixed coordinates) at the upstream end of the embacle varies linearly with time.

Using these assumptions the time,  $T(\Psi_0)$ , required for a volume  $\Psi_0 h_n^2$  of ice to accumulate in the jam can be obtained from

$$\Psi_0 h_n^2 = \left[ \frac{q_{in} + q_{in} \frac{T(\Psi_0)}{T_{eq}}}{2} \right] T(\Psi_0) + C_{en} t_0 x_n(\Psi_0) h_n \quad (42)$$

in which  $\Psi_0$  = the nondimensional volume of ice contained in the jam between  $x_n = 0$  and  $x_n = x_n(\Psi_0)$ . Solving for  $T$  yields

$$T(\Psi_0) = \frac{T_{eq}}{q_{in}} \left\{ -\frac{q_{in}^2}{2} + \left[ \frac{q_{in}^2}{2} - 2 \frac{q_{in}}{T_{eq}} (C_{en} t_0 x_n h_n^2 - \Psi_0 h_n^2) \right]^{1/2} \right\} \quad (43)$$

The relation between  $\Psi_0$  and  $x_n$ , required for the application of Eq. 43, was obtained by integrating the thickness profile of the equilibrium embacle from its leading edge to  $x_n(\Psi_0)$ . This calculation is a part of the computer program developed by the first writer (11). Examples of this relation are given in Fig. 10 for two profiles, one of which appears in Fig. 4.

Estimates of jam length and downstream thickness as functions of time are obtained by: (1) Selecting values  $\Psi_0/\Psi_{eq}$  and determining the corresponding values of  $x_n/x_{eq}$  from graphs of the type given in Fig. 10, but calculated for the jam under consideration; (2) determining  $\Psi_0$  and  $x_n$  from the known values of  $\Psi_{eq}$  and  $x_{eq}$ —the  $x_n$  so determined is the length of the jam at  $T(\Psi_0)$ ; (3) calculating  $T(\Psi_0)$  from Eq. 43 and (4) determining the thickness at the downstream end of the jam from the graphical relation between  $\Psi_0/\Psi_{eq}$  and  $t_0/t_{eq}$ , an example of which is given in Fig. 10, calculated from the ice thickness profiles. This  $t_0$ , denoted by  $t_0(T)$ , is the estimate of the downstream thickness of the jam.

The velocity of propagation of an evolving jam,  $V_j(T)$ , can be estimated from the relation between  $x_n$  and  $T(\Psi_0)$  obtained from steps 2 and 3. It can also be estimated from the downstream thickness, the ice discharge, and surface concentration by means of the approximate ice-continuity relation

$$V_j(T) t_0(T) h_n (1 - p) = \left[ \frac{q_{in} + q_{in} \frac{T(\Psi_0)}{T_{eq}}}{2} \right] + V_j C_{en} t_0 \quad (44)$$

the origin of which is immediately apparent if the jam is considered to be quasi-steady at any time and envisioned in coordinates moving upstream with velocity  $V_j$ . From Eq. 44 there results

$$V = \left[ \frac{q_{in} - q_{out} \frac{T(\frac{1}{2}x)}{T_m}}{2} \right] \frac{1}{h_o t_o (Tx) - p) - C_{in} t} \quad (45)$$

in which  $t_o(T)$  is determined in step 4 of the previously outlined procedure.

### SUMMARY AND CONCLUSIONS

The analytical model of ice jams developed herein has treated jamming as a well-ordered phenomenon. It should be recalled that large natural jams are, at best, chaotic disorderly untidy affairs, as Fig. 1 and the photos presented by the first writer (11) attest. The results of the present or any other mathematical model of ice jams can be expected to predict only the global or general features of jams. However, this frequently is the type of information needed by river engineers confronted with actual or potential ice-jam problems.

The analysis is based, to the extent possible, on generally applicable principles of mechanics. The most tenuous step of the analysis is the adoption of linear relations between ice thickness and shear and compressive strengths, Eqs. 8 and 9, and the exclusion of the effects of strain rate on cover strength. The strain-rate dependence of jammed ice has some interesting implications for the formation of embacles. The deformation rate of an arrested ice accumulation must be greatest near the upstream end of the jam and diminish to zero at  $x_o = x_m$  for quasi-steady jams. This suggests that  $k_s$  and  $C_o$  are not constant along the jam. However, the present state of understanding and the formulations of the strengths of fragmented ice are not yet sufficiently well developed to justify inclusion of the three strength coefficients as variables in the analytical model. Perhaps  $k_s$ , and  $C_o$ , and  $C_s$ , the only undetermined quantities in the analysis, should be regarded as coefficients that must be determined from relatively complete data on natural and laboratory jams. Data of this type would be most welcome for the verification of the present theory they would make possible, as well as for the quantification of the three strength coefficients they might provide.

### ACKNOWLEDGMENT

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