# Susitna-Watana Hydroelectric Project Document ARLIS Uniform Cover Page

AEA Susitna-Watana BOC Meeting - comment and response log Title varies on caption of each section with inclusion of meeting number and date: "#1 in Bellevue, WA (November 1-2, 2012)" "#2 in Bellevue, WA (March 7-8, 2013)" "#3 in Anchorage, AK (May 29-30th, 2013)"		SuWa 279
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April 29, 2014

Mr. Douglas L. Johnson, P.E. FEDERAL ENERGY REGULATORY COMMISSION Regional Engineer, Portland Regional Office Division of Dam Safety and Inspections 805 S.W. Broadway, Suite 550 Portland, Oregon 97205

Subject: Board of Consultant Meeting #4 Susitna-Watana Hydroelectric Project P-14241-AK

Reference: AEA Letter to William H. Allerton, P.E. filed November 16, 2012

Dear Mr. Johnson:

The fourth Susitna-Watana Hydroelectric Project Independent Board of Consultants (BOC) meeting was held April 2-4, 2014 in Bellevue, Washington at the office of MWH Global Inc. The purpose of the meeting was to update and solicit advice from the BOC and advisors on the status of the Probable Maximum Precipitation (PMP) and Probable Maximum Flood (PMF) studies, the Site Specific Seismic Hazards Analysis studies, and the 2014 geotechnical investigation program plans. The progress of the RCC dam configuration feasibility and design studies was presented and discussed. A summary of the Boards comments follows.

The BOC agrees that if the planned site investigation program confirms that there are no shears, linear features or faults found that can negatively affect the performance of the dam, the configuration of the dam would be acceptable as a basis for further design evaluation, analysis and license application. The Site Specific Seismic Hazard Analysis (SSSHA) studies that are being conducted and presented are reasonable and appropriate for determining project feasibility and to serve as a basis for further design evaluation and optimization.

The SSSHA studies cover lineament, probabilistic, and deterministic seismic hazard analyses and are detailed enough to provide preliminary design ground motions for the project. Sensitivity analyses show that further analysis of regional crustal lineaments will not significantly impact the design ground motions in the period range of significant dam response. However, further analysis of site area lineaments and angled drill holes are necessary to assess potential for fault rupture under the dam as a result of primary, secondary, or sympathetic fault displacement.

The BOC agrees that the PMF inflow hydrograph presented in the draft PMF report represents generally appropriate assumptions and modeling methodologies and the study is sufficiently

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Mr. Johnson, P.E. Page 2 of 2

complete to be used in feasibility design.

The proposed and planned Site Investigation Program for the project entails a phased series of field investigations that respond to several of the BOC comments and concerns. The primary focus of the program is to characterize and confirm the geologic/geotechnical conditions in the dam site area. The main objectives include; 1) investigation and verification of the fracture and shear zones and geologic features, 2) evaluation of the potential for offset displacements in the foundation due to earthquake motions; 3) delineation of the frozen ground and groundwater conditions (adits) and 4) evaluation of the abutment stability. The BOC considers the present detailed and phased site investigation appropriate for developing the data for supporting the feasibility and design of the dam.

Attached are the BOC Final Report #4, the BOC Final Report #4 with AEA responses, and the BOC Comment Log for meetings 1,2,&3 with BOC comments.

Thank you for your assistance and please let me know if there is anything else you need.

Sincerely,

R & lin

Bryan Carey, P.E. Engineering Manager

cc: Mr. Wayne Dyok, P.E., Alaska Energy Authority, Susitna-Watana Project Manager Attached Distribution List The attachments are being made publicly available by uploading them to the "Documents" page of AEA's licensing website, <u>http://www.susitnawatanahydro.org/type/documents</u>.

Attachments: BOC Final Report #4 BOC Final Report #4 with AEA Responses BOC Comment Log for Meetings 1, 2 & 3 with BOC Comments

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Item BOC No. Document & Date	Page or Sheet #	Technical Category	Comment	Response	Response by and Date	Status	Notes
1 BOC MTG-1 Final Report, Nov. 9, 2012	1 2 of 8	Hydrology & Meteorology	Probable Maximum Precipitation (PMP) 1) Transposability. What techniques will be used for horizontal transpositioning of selected storms? How will observed precipitation values be modified in the process? What are the linear limits of transposability (i.e., how far away from the target area could a storm be and still be transposable)?	quantifying transposition factor of the final short list of storms used to derive PMP. This includes	AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence
2 BOC MTG-1 Final Report, Nov. 9, 2012	1 2 of 8	Hydrology & Meteorology	2) Maximization. Will moisture maximization be done exclusively with sea surface temperature analysis or will other techniques be used as well? Will there be any verification of the SST-based maximization (e.g., surface dew point analyses)?		AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence
3 BOC MTG-1 Final Report, Nov. 9, 2012	1 2 of 8	Hydrology & Meteorology	<b>3)</b> Barriers. The basin in question is surrounded by higher terrain, so some barrier-based moisture reduction is expected. Please describe the procedure you will use for this project.		AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence
4 BOC MTG-1 Final Report, Nov. 9, 2012	1 3 of 8	Hydrology & Meteorology	<b>4)</b> Elevation corrections for moisture. How will moisture corrections (e.g., reductions in available moisture) be done in areas of high relief?		AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence
5 BOC MTG-1 Final Report, Nov. 9, 2012	1 3 of 8	Hydrology & Meteorology	5) How adequate is the data set for snowpack (SWE and depth)? How will the data be distributed (grid in GIS; Point values only; Basin average; By elevation band)?		JH 5/13/13	Response accepted.	Snowpack
6 BOC MTG-1 Final Report, Nov. 9, 2012	1 3 of 8	Hydrology & Meteorology	6) Will glacier dynamics be addressed (in terms of areal extent or water release)?		JH 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence

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item BOC Pa No. Document Sho & Date	0	Technical Category	Comment	Response	Response by and Date	Status	Notes
7 BOC MTG-1 3 c Final Report, Nov. 9, 2012		Hydrology & Meteorology			AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence
8 BOC MTG-1 3 c Final Report, Nov. 9, 2012		Hydrology & Meteorology	future climate will cause a significant increase in PMP, and that our historical storm data is adequate for assessing future scenarios. However, the primary model of climate variability in Alaska is the Pacific Decadal Oscillation (PDO), which has a quasi-cyclical variation of about 50 years. This led to generally warm conditions from about 1920 to 1945, cooler conditions from the late forties through 1976-77, and warmer again through the late 1990s. Somewhat cooler conditions have returned since then. It would be interesting to identify a few storms from the two earlier periods to see if there were noticeable variations in precipitation intensity. This could be done in a "screening" capacity using a simple approach such as AWA's SPAS-Lite.	AWA agrees with this statement and has an excellent understanding of the PDO cycle, as well as its interactions (positive and negative feedback) with other cycles on varying timescales such as ENSO, MJO, AO, etc. Each of these climatic patterns affect the frequency and strength of storm systems which occur in the region. Unfortunately, the resulting affects are not well understood at a quantifiable level. Instead, AWA's storm search methods are all encompassing as far as period of record is concerned and therefore extend back to as far as the storm record allows. Generally, this include ~100-years of data. This period of record inherently includes storm events that have occurred during each potential combination of climatic cycles (i.e. +PDO, -PDO, La Nina, El Nino, etc) that would be expected over the useful lifetime of this project (50-100-years or more). If the final short list of storms does not include events that occurred during each of the cycles, AWA will try to identify storms from each cycle period if possible and provide a high level analysis of these results. However, there may be a reason that PMP-level storms don't occur during one of the cycles and the data is trying to tell us a story. This will be an ongoing investigation during the short list storm development process. If these investigations require substantial work outside of the current scope for PMP development, AWA will work with the project lead and BoC to make appropriate out-of-scope determinations.	AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence.
9 BOC MTG-1 3 c Final Report, Nov. 9, 2012		Hydrology & Meteorology			AWA 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence.
10 BOC MTG-1 3 c Final Report, Nov. 9, 2012		Hydrology & Meteorology			JH 5/13/13	Response Accepted. Adopted probable maximum storm length of 216 hours is consistent with observed events and adequate to cover basin and reservoir response time.	
11 BOC MTG-1 3 c Final Report, Nov. 9, 2012		Hydrology & Meteorology		Agreed. The HEC-1 Flood Hydrograph Package will be the rainfall-runoff model used to derive the PMF.	JH 5/13/13	Response Accepted. BOC concurs with use of HEC-1.	

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n BOC Document & Date		Technical Category	Comment	Response	by and Date	Status	Notes
12 BOC MTG-1 Final Report, Nov. 9, 2012	. 4 of 8	Hydrology & Meteorology	<b>Calibration and Verification.</b> MWH has identified a number of storm/flood events and gage locations that may be used for model calibration and verification, and proposes to calibrate the model on at least two events and verify it on at least one. Applied Weather Associates will support this work by providing spatial and temporal histories of the associated storms. The calibration/verification process is essential to developing a reliable model and we strongly endorse this effort. The Gold Creek gage, located at a drainage area about 1,000 square miles more than the project area, provides the most comprehensive and long-term flow record and is expected to be a leading source of stream flow data for calibration. However, care should be taken, if at all possible, to use multiple stream gages – including some of the within-basin gages with a shorter period of record than the Gold Creek gage – in the calibration and verification effort. This will provide additional information on sensitive or critical subareas within the basin.	Agreed, as discussed further at BOC Meeting 2A.	JH 5/13/13	Response accepted. Model calibration has made use of multiple gages in the watershed	
13 BOC MTG-1 Final Report, Nov. 9, 2012	. 4 of 8	Hydrology & Meteorology	<b>Reservoir Routing.</b> The significance of reservoir routing and the related issues of hydrograph shape and volume (as opposed to peak flow only) will depend on the spillway configuration, freeboard allowance, and reservoir level regime proposed for the project. These elements of the project are still not well defined but we urge both MWH and Applied Weather Associates to remain open to the possibility that a long-duration, high-volume event will be the critical one in establishing the PMF reservoir elevation. Furthermore, if reservoir storage is intended to be used in passing the PMF, we will request additional information on the expected rate and spatial distribution of sediment deposition in the reservoir.	Agreed with regards to long-duration, high volume events. Sedimentation analysis would be a study done by others, or potentially information from the 1980s could be used.	JH 5/13/13	Response Tentatively Accepted. Although sedimentation analysis is not part of the PMF study, depending on the importance of storage in the final results the BOC may request reservoir routing sensitivity analyses assuming a loss of storage due to	
L4 BOC MTG-1 Final Report, Nov. 9, 2012	4 of 8	Hydrology & Meteorology	Energy Budget Snowmelt Routine. MWH proposes to use the energy budget snowmelt routine as recommended in FERC's Engineering Guidelines. We concur with this approach, because the energy budget method is much better suited for estimating the impacts of extreme rates of precipitation and wind on snowmelt than temperature-index methods.	Agreed.	JH 5/13/13	Response Accepted.	
15 BOC MTG-1 Final Report, Nov. 9, 2012	. 4 of 8	Hydrology & Meteorology	Limiting PMF Analysis to May – October. MWH provided historic peak flow data representing 59 years of record at the Gold Creek gage downstream of the project in support of their proposal to analyze PMP and PMF only for the months of May through October. The maximum recorded flows in November-March are generally an order of magnitude smaller than the maximum recorded flows in the summer and early fall months. (April falls in between.) In addition, MWH reported that peak snowmelt months are from late spring through summer, and provided a preliminary reservoir level schedule which includes a drawdown, relative to the normal maximum storage, of 30 to 100 feet in the winter months with the lowest pool projected for April. In light of this information we agree that the months of May through October are probably an appropriate focus for the PMP/PMF analysis. However, a review of annual flow hydrographs at the Gold Creek gage shows that (1) flow hydrographs for the period November - April are generally missing and have been reconstituted; and (2) it is not unusual for the reconstituted or estimated flow hydrograph to begin rising steeply in mid to late April and continue rising into May. Based on these observations we request that AWA and/or MWH provide confirmation that extreme precipitation/temperature events occurring in May and later adequately (or conservatively) represent the potential for such events in April.	Covered in BOC Meeting 2A. Derivation of the PMF inflow hydrograph will be limited to the months of May through October.	JH 5/13/13	Response Accepted. Analyses through March 2014 did include April events. MWH's and AWA's reports convincingly demonstrated that the combinations of mechanisms needed for "worst-case" flooding would not be plausible in November - March.	
16 BOC MTG-1 Final Report, Nov. 9, 2012	5 of 8	Hydrology & Meteorology	<b>Other sources of flooding.</b> We understand that the potential for glacial dam break floods is being addressed in a separate study. We recommend that the findings of this study be considered in hydrologic design of the project.	Noted - glacial specific studies are to be done by others.	JH 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	
17 BOC MTG-1 Final Report, Nov. 9, 2012	5 of 8	Seismic		Agreed. In keeping with recommendations by our seismic hazard consultant, we intend to conduc sensitivity studies as part of the seismic hazard study.	t MB 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrer

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m BOC . Document & Date		Technical Category	Comment	Response	by and Date	Status	Notes
18 BOC MTG-1 Final Report, Nov. 9, 2012	5 of 8	Seismic	<ul> <li>2) Use the PSHA (Probabilistic Seismic Hazard Analysis) to help guide selection of a reasonable deterministic event:</li> <li>-Selection of Ground motion variability level (med, 84th) is still more dominant than the nominal variation in earthquake magnitude adopted</li> </ul>	Agreed.	MB 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurren
19 BOC MTG-1 Final Report, Nov. 9, 2012	5 of 8	Seismic	<ul> <li>3) Consider using "Approved" simulation methods for key cases (in early 2014):</li> <li>- M7.5-M8 slab earthquakes</li> <li>- M9-M9.5 interface earthquakes</li> </ul>	Agreed. Due to funding, this activity may not be completed until 4Q13.	MB 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurren
20 BOC MTG-1 Final Report, Nov. 9, 2012	5 of 8	Seismic	<b>4)</b> Should collect data to constrain the VS30 (shear wave velocity in the upper 30 meters) for ground motion models	Agreed. These measurements will be collected during the 2013 field season.	MB 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrent
21 BOC MTG-1 Final Report, Nov. 9, 2012	5 of 8	Seismic	<ul> <li>5) Consider the full network (new and existing)</li> <li>What is the current broadband and strong motion instrumentation in the region?</li> <li>A single strong motion recording is not of much value. Need min of about 5 stations within 100 km to be able to understand recording at dam, i.e., earthquake source, ray path, site response?</li> <li>Develop an instrumentation plan that will provide results useful to ground motion evaluation, not just RTS (Reservoir Triggered Seismicity)</li> <li>For further details of the above see the attached presentation by N. Abrahamson (Attachment D to the BOC report).</li> </ul>	be installed in conjuntion with the BB at three of the 6 BB stations. The range of the network will	MB 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	BOC Concurance
22 BOC MTG-1 Final Report, Nov. 9, 2012	6 of 8	Seismic	The BOC feels that it would be helpful to the project for Dr. Abrahamson to provide a Table of readings of seismographs which recorded motions at various distances from the 2002 Denali M 7.9 earthquake. This information from the Denali Earthquake would be most useful as a calibration and verification of the scientific information and equations proposed. This information also may be more appropriate to consider in determining an attenuation relationship for this project as opposed to information from around the world. In addition, any computational model should be calibrated to the measurements obtained from the 2002 Denali Earthquake.	The project team has the recorded motions for the 2002 Denali M 7.9 EQ. An article on the 2002 Denali EQ was provide to the BOC: Martirosyan, A., Hansen, R., and Ratchkovski, N. (2004). Strong-Motion Records of the 2002 Denali Fault, Alaska, Earthquake, Earthquake Spectra, 20, 579-596		Response Tentatively Accepted. BOC will review future work and will comment if needed.	BOC Concurance
23 BOC MTG-1 Final Report, Nov. 9, 2012	6 of 8	Seismic	ADDITIONAL RECOMMENDATIONS NOT REFERRED TO OR DISCUSSED IN THE SEISMIC STUDY PLAN - Ice Jacking Concerns. Given the present considerations of a concrete dam option (RCC), the existing foundations and associated stability and shear strength of the foundation rocks are a significant factor. Thus, the concerns regarding permafrost as well as ice jacking within the exposed foundation rocks should be explored. If ice conditions and ice jacking have moved the foundation rocks it can be a serious reduction of the shear strength properties of the foundations being considered for the concrete dam. Therefore, future geologic and geotechnical explorations should focus on this aspect of the Project. Perhaps select borings and adits into suspicious areas should be considered to establish or disprove the ice jacking concerns.	Exploratory adits have been proposed to be excavated. Priority will be given to the left abutment adit to evaluate the presense of frozen ground and the potential for ice-filled discontinuities.	5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	BOC Concurance and encourages adit constructi ASAP

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F R N	OC MTG-1 inal eport, lov. 9, 012	. 6 of 8	Seismic	Additional Considerations on Earthquakes. In addition to the proposed studies of earthquakes to arrive at the ground motions based on the most current models, it is the judgment of the BOC that work should be done within the current study or future studies to give some guidance on the possibility of the design earthquake producing a displacement or offset along the dam foundation. These features should be studied and investigated and a determination should be made whether this potential fault is a credible concern to be addressed in the design of the dam. This effort is recommended to avoid a fault being discovered during excavation along the footprint of the dam during construction. It may be necessary to align additional exploration borings or excavated adits to explore and/or eliminate such conditions. Earlier geologic studies of linear features of the site indicated a linear feature, shown on one of the plans presented during the meeting, called the "Watana Feature" that ran along the Watana River. The possibility of such a feature should be further studied and essentially discounted. Earlier studies (1980's) attempted to drill exploratory borings crisscrossing the river to investigate the continuity of the geology within the river bottom. It is not certain that the possibility of a shear/fault feature was discounted or not. This potential should be studied by an additional geologic expert like William Lettis, who is associated with the present study team. If sympathetic displacements along this feature or other linear features within the dam footprint cannot be discounted then discontinuous displacements and offsets and that can safely be designed to accommodate such conditions. Thus, the study plans need to address the potential of less desirable foundation such as ice jacking within the existing foundation rocks as well as the potential of foundation displacements. Until these conditions can be better understood, the type of dam must be considered preliminary. The upcoming spring geological/geotechnical	AEA believes that offset is very unlikely, but the Site investigation will be planned to include drillholes across the area of any potential geologic feature having the potential for offset and a fault evlaution will be undertaken.	BES 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	BOC to review additional 2013 field studies in the vicinity of the dam site
F R N	OC MTG-1 inal eport, lov. 9, 012	7 of 8	Geotechnical		As stated in BOC Mtg 1 - Final Report, this question is to be addressed at the next BOC meeting (#2) scheduled for March 7-8, 2013.	DOWL HKM/ Schnabel	To be addressed during the BOC #2 Meeting. The 2013 field studies and mapping will determine the final acceptance	Complete. No further acti required on this for BOC MTG-1

### AEA BOC Comments & Response Log - MEETING TWO-A REPORT (04/04/13)

em No.	Sheet No. / Page No.	Design Document	Design Group	Comment By	Comment	Comment Date	Response By	Response Date	Response	Status	Notes
1	2	AEA BOC Workshop 2A-	Meteorology / Hydrology	George Taylor / Ellen Faulkner	Short storm list. We concur with the methodology used to develop the short storm list and the final results.	4/16/2013	AWA		Agreed.	No change following Meeting 4.	
2	2		Meteorology / Hydrology		Storm maximization process. In general the approach adopted by AWA is the accepted and most effective way to maximize moisture for historic storms. During our discussion, AWA mentioned a willingness to consider larger (regional) source areas for SST, and we believe that such a procedure would be helpful. An approach using a wider source area allays concerns that the maximization process (in which the moisture source location is shifted by exactly the same vector as the storm center) generates a redundant reduction when combined with the proportionality constant method for orographic transposition.	4/16/2013	AWA		Covered in BOC Meeting 2A.		AWA procedure is similar to that used in HMR-57. Considering the dearth of ground measurements of humidity in Alaska, using sea surface temperatures is certainly the favored approach. G Taylor, 4/17/14
3	2		Meteorology / Hydrology		<b>Transposition of SPAS DAD analyses.</b> It is our opinion that storms separated from the target basin by significant terrain boundaries should not be transposed into the basin. Based on the information presented to date, we concur with AWA's proposal to exclude storm centers south of the coastal mountains. The suggested sensitivity analysis would be informative but we do not believe it is essential to the present study.	4/16/2013	AWA		Agreed.	No change following Meeting 4.	
4	2		Meteorology / Hydrology		<b>Proportionality constant and orographic transposition.</b> AWA's proportionality constant approach appears to be superior to any other such technique, particularly the storm separation approach. Nevertheless, since the technique is still relatively new, the Board would like to continue to review results of AWA's activities in this regard. In particular, we would like to review proportionality constant results for each individual storm when they become available.	4/16/2013	AWA		Agreed.	to storm transposition. The mew method was discussed in Meeting 4 and, while subtle, seems to be an improvement.	AWA calls its method the "Orographic Transposition Factor (OTF)". It is obtained by normalizing observed rainfall, transposing to the target watershed, and converting back to rainfall depth. G Taylor 4/17/14
5	2		Meteorology / Hydrology		<i>Meteorological time series development.</i> In our opinion AWA's proposed approach is appropriate and valid. Identifying the most critical intersection between rainfall potential, temperature, and snowpack depth is key to the PMF development.	4/16/2013	AWA		Agreed.	No change following Meeting 4.	
6	2		Meteorology / Hydrology		<b>Other comments.</b> We are in agreement with the work to date on the PMF model development and calibration, and agree with the calibration event selection process and outcomes. For our next meeting we would like to review existing information on permafrost within the basin, although it is understood that soil cover is thin to nonexistent over most of the basin.	4/16/2013	HL	5/13/2013	To be supplied at the next BOC meeting.	Accepted - The depth and spatial extent of permafrost has been addressed in the draft PMF report and the very low calibrated loss rates - while they	<u>E Faulkner, 4/18/2014</u>

### AEA Susitna-Watana BOC Meeting #2 in Bellevue, WA (March 7-8, 2013) - Comment and Response Log

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	Document	Page or Sheet #	Technical Category	Comment	Response	Response by and Date	Status	Notes
1	& Date BOC MTG-2 Final Report	1 of 3	Geotechnical, Structural, Concrete, Hydroelectric	The BOC is of the opinion that the 700 foot "gravity arch" dam structure being considered is not appropriate for the shape of the valley at the Watana location. A straight or slightly curved RCC gravity structure with 1V: 0.85H, as presented in the NTP 13, Technical Memorandum No. 1 (Updated Alternatives Evaluation) is far more appropriate.	See answer to item 2	BES 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	Concept was studied and revised
	BOC MTG-2 Final Report	2 of 3	Geotechnical, Structural, Concrete, Hydroelectric	might be susceptible to down-slope sliding. Given the fact that the Watana Dam will not only be a very high dam but will also be in a seismically active area subject to very high seismic motions and deformations, it seems that tried and true methods combined with simple and predictable geometry are in order. There is a big difference between a "curved gravity dam" and a "gravity arch dam". The curved gravity dam is designed as a gravity structure dependent on the weight of the dam for stability, while the gravity arch dam is a reduced gravity structure which depends on the combined arch and gravity actions to resist loads, provided that the valley shape will permit the arch action to be fully developed. This dam will not only be among the highest dams in North America, it will also be the highest RCC dam constructed in a high seismic area of North America.	The feasibility studies for the project are progressing with the aim of the selection of an optimal arrangement for the dam at the site, taking into account the foundation and abutment conditions. The presentation to the Board of Consultants at this meeting was not of the final proposed arrangement, but only a "snapshot" of the design during the optimization process. AEA expects to continue to analyze various dam geometries, - including the results of the site investigations as they become available - and will take into account the optinions of the Board in the preparation of the final recommended proposal.	BES 5/13/13	Response Accepted by BOC	BOC Concurance
	BOC MTG-2 Final Report	2 of 3	Geotechnical, Structural, Concrete, Hydroelectric	Since the construction period for the dam is restricted to the 5-6 summer months, high RCC placing rates are planned, therefore simplicity in the details of the design are important considerations. The need for contraction joint grouting and, therefore, post cooling of the RCC will be required for the gravity arch dam, as being considered, will require many additional items to be incorporated into the RCC, such as waterstops, grout tubes and cooling water tubes, all of which will be serious impediments to achieving high RCC placement rates. Thus, a cautious and conservative approach, such as a design with a simple configuration that can be analyzed and constructed with high level of confidence, should be taken in the selection of the type of RCC structure, as described in the comments above.	The opinion of the Board of Consultants is noted	BES 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	BOC Concurs and details to be included ir future studies
	BOC MTG-2 Final Report	2 of 3	Geotechnical, Structural, Concrete, Hydroelectric	Based on the maps and materials supplied, the lineaments indicated on earlier maps and studies, such as the Watana Lineament, have been indicated as insignificant and not a cause for potential foundation movement at the site. The planned 2013 and 2014 site investigation program will further investigate several earlier indicated linear features and shears to further confirm the stability of the geologic and foundation conditions.	-	BES 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs
	BOC MTG-2 Final Report	2 of 3	Geotechnical, Structural, Concrete, Hydroelectric		Additional site investigations are planned including a fault evaluation study.	BES 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs
	BOC MTG-2 Final Report	2 of 3	Geotechnical, Structural, Concrete, Hydroelectric			BES 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs

### AEA Susitna-Watana BOC Meeting #2 in Bellevue, WA (March 7-8, 2013) - Comment and Response Log

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7	BOC MTG-2 Final Report	2 of 3	Geotechnical, Structural, Concrete, Hydroelectric	The BOC encourages the use of all of the methods and technology [listed in above comments] and in particular the exploratory adits. The adits will be the most positive proof method to establish the significance of the foundation features; and thus are highly recommended.	Agreed	BES 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs
	BOC MTG-2 Final Report	3 of 3	Geotechnical, Structural, Concrete, Hydroelectric	Further it is recommended that the 2013 field mapping and studies regarding the shear structures named GS4 and GS5 should be confirmed and located more accurately.	Agreed	BES 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs
	BOC MTG-2 Final Report	3 of 3	Geotechnical, Structural, Concrete, Hydroelectric	Once located accurately [shear structures, GS4 and GS5] and confirmed, the dam alignment should be adjusted to eliminate founding the dam footprint on any of these structures.	The nature of these features and their characterisation will be undertaken during the site investigation, and the treatment (or design) necessary will be examined during the design process. If treatment or design is not sufficient, then consideration will be given to relocating the dam.		Response Accepted. BOC will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs
	BOC MTG-2 Final Report	3 of 3	Geotechnical, Structural, Concrete, Hydroelectric	It is recommended that the energy of the geologists and the funding be focused on the mapping, drilling and adits at the dam site area in a major effort to define the geometry of the shears in order to locate the dam such that any offsets occurring along these features during an earthquake do not need to be considered. This activity must be given the highest priority compared to lineament studies at significant distances from the possible dam site.	Agreed	BES 5/13/13	Response Accepted. BOC encourages urgency of this work and will review future work and will comment if needed.	To be included in the 2013 and 2014 field investigation and mapping programs
	BOC MTG-2 Final Report	3 of 3	Geotechnical, Structural, Concrete, Hydroelectric	Regarding the development of the seismic design criteria for the Project, the BOC would be interested in seeing the data; namely the accelerations, velocities, displacements and attenuations recorded at the seismic stations during the recent large Alaska earthquakes. This information should be instrumental in selecting the seismic parameters for design.	Sent	MB 5/13/13	Response Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence.
12				The BOC would like to review the following at the next meeting in May:				
	BOC MTG-2 Final Report		Geotechnical, S	Str 1. The proposed design earthquake(s) and associated ground motions for various features of the Project.		BES 5/13/13	Response Tentatively Accepted. BOC will review future work and will comment if needed.	"Response" Statement subject to BOC Concurrence.
				2. Preliminary dynamic analysis of gravity section subjected to the design earthquake motions.	2. AEA will provide analyses completed by the time of the May meeting - probably only 2D analyses		Tenatively Accepted	
				3. Preliminary thermal analysis for gravity dam.	3. AEA will perform a basic 2D analysis in time for the May meeting, but notes that the actual thermal conditions will be much more complex than that - and thus little can be surmised from a simple 2D analysis		Tenatively Accepted	

### AEA Susitna-Watana BOC Meeting #2 in Bellevue, WA (March 7-8, 2013) - Comment and Response Log

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				4. Reduced height of spillway gates & piers for improved cross-valley response of the piers.	4. While recognizing the impetus for		Include Spillway Pier	
					limiting the pier height, until the PMP and		Studies as soon as PMF	
					PMF studies are complete in October or		Studies are Complete	
					November (so that proper flood routing			
					can be performed) AEA considers that			
					focus on this aspect of the design is			
					unwarranted. For the purposes of studying	,		
					construction planning, and the associated			
					cost estimation, AEA proposes to continue			
					to use the 1980s spillway configuration,			
					but will reassess the design after the PMF			
					has been determined.			
				E. Identify the evictories of the shear renes and confirm their leasting on the present manning results of early geologic manning		-	To be included in the	_
				5. Identify the existence of the shear zones and confirm their locations on the present mapping results of early geologic mapping.	5. Identification of the shear zones and		To be included in the	
					confirming their locations on the present		2013 and 2014 field	
					mapping will be performed as a part of		investigation and	
					this year's site investigations. The results		mapping programs	
					of earlier geoplogical mapping will be			
					presented at the BOC meeting 3.			

# AEA Susitna-Watana BOC Meeting #3 in Anchorage, AK (May 29-30th, 2013) - Comment and Response Log

These pages are formatted to be printed on 11" x 17" paper in Landscape format										
ltem No.	BOC Document & Date	Page or Sheet #	Technical	Comment		by and Date	Status	Notes		
	BOC MTG-3 Final Report, June 5, 2013		Geotechnical, Structural, Concrete, Hydroelectric	The BOC visited the dam site on Wednesday May 29, 2013 from the air on a helicopter and could land only on the state-owned highest point on the right river bank. The helicopter ride started from Talkeetna along the Susitna River to the dam site at Watana and further upstream to observe potential landslide sites within the reservoir. Both the right and left abutments were observed flying relatively close to the river banks noting the slope conditions, presence or lack of surficial geologic features, and the planned left abutment adit location. Overall, persistent NW-SE geologic features topped with rock outcrop were evident at repeated intervals near and upstream of the dam site. The closest such geological feature was observed to be several hundred feet upstream, but not at the dam alignment. Traces of the geologic features GF4 and GF5 identified within the right abutment were not visible on the surface and could not be observed from the air, but they will be investigated by the planned 2013-2014 borings and seismic refraction surveys.		BES 1/15/14	BOC Concurs with Response. The final dam location and orientation will be confirmed following the 2013 Field Investigation and mapping program			
				the current location of the dam alignment pending the results of the geotechnical/geological investigations planned for 2013- 2014. The observed geometry of the site with a relatively narrow section at the river channel and flatter slopes at the upper elevations confirms the BOC's previously recommended slightly curved gravity dam section for this site. The BOC is in agreement with the revised gravity dam configuration curved at a radius of 3500 feet and notes that the main purpose of such a curved layout is to provide wedging action for an improved resistance to downstream sliding and that the effects of such curvature on cantilever stresses may not be significant. As such the reduction of the high cantilever tensile stresses from the 2D analysis should be accomplished by other means such as sloping the upstream face and the use of lower rock modulus in the upper foundation layer, as discussed in the BOC response to Question 2. The geometry of the canyon section, height of the dam, and high earthquake ground motions, suggest that a group of dam monoliths in the narrower central section more likely would stay together but could potentially separate from the monoliths on the upper abutments. In this situation, the wedging action of the curvature built into the design would constrain movements of the central group of monoliths but the monoliths in the upper abutment separated from the group by opened joints might be vulnerable to sliding and could benefit from a defensive design such as stepping of the dam-foundation contact and other means that improves their resistance.						
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	Further, the BOC concurs with the axis of the dam being rotated upstream away from the alteration zone on the left abutment. Consistent with this rotation, the spillway has appropriately been moved to the right side to project directly into the river channel. All in all, the revised dam configuration fits reasonably well to the site geometry and provides a sound baseline design for feasibility studies, while linear features crossing the dam are being investigated to confirm the stability of the geologic and foundation conditions. In the event that features crossing the dam are more extensive than presently envisioned it may be necessary to move the dam alignment to a location away from such features.	The opinion of the Board of Consultants is noted.	BES 1/15/14	BOC Concurs with Response. The final dam location and orientation will be confirmed following the 2013 Field Investigation and mapping program			
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	At the last BOC Meeting (Meeting No. 2) the Board asked that the following be produced for review at this meeting; Meeting No. 3: 1. The proposed design earthquake(s) and associated ground motions for various features of the Project 2. Preliminary dynamic analysis of a gravity section subjected to the design earthquake motions 3. Preliminary thermal analysis for a gravity dam 4. Reduced height of spillway gates & piers for improved cross-valley response of piers 5. Identify the existence of the geologic features and confirm their locations on the present mapping. Results of early geologic mapping" All of the above items were addressed with the exception of No. 4. Item 4 will not be addressed until enough information on the PMF is developed and instead the original spillway design, from the old 1980's configuration, will be used. The Board concurs with that approach.		BES 1/15/14	All listed items are still being studied and need to be concluded and presented to the BOC			
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	In response to a previous BOC comment concerning comparison of available recorded data with the attenuation relationships proposed for prediction of ground motions at the dam site, the BOC was furnished with a published paper entitled "Strong Motion Records of the 2002 Denali Fault, Alaska, Earthquake." This paper published in 2004 provides a comparison of recorded data with several pre NGA attenuation relationships. The BOC suggests similar comparisons be made using the NGA ground motion prediction relationships that are being used in this project. It is also noted that the seismicity data recorded and analyzed by AEIC provide an excellent opportunity for checking ground motion prediction relationships associated with the intraslab earthquakes. These data may be useful in removing some uncertainties associated with the site-to-source distance and Vs30 values.	Work is ongoing within the project team with regard to this matter.	BES 1/15/14	To be presented at the next BOC Meeting			

## AEA Susitna-Watana BOC Meeting #3 in Anchorage, AK (May 29-30th, 2013) - Comment and Response Log

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tem No.	BOC	Page or	Technical Category	Comment	Response	Response by and Date	Status	Notes	
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	The Board is encouraged that the presently proposed dam now incorporates a gravity dam section with a downstream slope of 0.9H to 1.0V and a slight upstream curvature. This section is an improvement over the previous cross sections and is felt to be more appropriate given the difficult site and high seismic requirements. Based on the dynamic analysis results presented for the above section, it appears that there is an excessive tension calculated along the upstream portion on the dam. The Board recommends two enhancements to more accurately realize and reduce the dynamic response of the dam: 1) consider sloping the upstream face of the dam and 2) consider reducing the modulus of the upper layer of the foundation rock to account for the existing and blast generated fractures near the ground surface. An upstream slope of 0.2H to 1.0V together with a downstream slope of 0.7H to 1.0 V would be an appropriate section to analyze and would not increase the current volume of the concrete. In addition, a variation on the foundation rock modulus varying from 500,000 psi to 1,000,000 psi would be an appropriate consideration to assess sensitivity of the results to rock modulus. With consideration of these two modifications the Board feels that the tensile zones will be smaller and more localized as well as manageable. The Board also recommends that the yield acceleration be calculated, as a gravity section, for each cross section studied.	Potential variations of dam geometry continue to be investigated. Matters raised by the Board of Consultants are being addressed.	BES 1/15/14	To be considered and analyzed and presented at the next BOC Meeting		
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	The Board does appreciate that this phase of the Feasibility need not get into the final design details however, it also feels that there are significant basic conditions that influence the performance of the dam, especially the response to seismic loading, and the internal stresses developed from extreme temperature loading. These factors can and may well affect the feasibility and estimated cost of the Project.	The opinion of the Board of Consultants is noted. Temperature studies are delayed slightly as the construction sequencing is investigated.	BES 1/15/14	BOC Concurs with Response.		
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	<ul> <li>Whether the present Feasibility Report addresses or tries to address all of the potential conditions of the dam and its environs is up to both the Owner and the Engineer, however there are serious conditions and considerations that eventually must be addressed. The following are several considerations identified, that need to be recognized:</li> <li>o The existence of permafrost within the foundation rock formations and how it has affected or will affect the foundation characteristics (i.e. ice jacking, rock block movements, long term foundation permeability etc.)</li> <li>o Thermal considerations regarding placement of RCC directly on the cold foundations and shrinkage.</li> <li>o The transverse joint spacing that is appropriate for the cold climate and the thermal shock stresses generated by the cold water when the reservoir is impounded.</li> <li>o Considerations regarding longitudinal cracking from concrete shrinkage and foundation restraint</li> <li>o Considerations of sequencing of the seasonal placements and the thermal effects on the internal stress development</li> <li>The above considerations are just a few, when taken piecemeal appear to be insignificant, however the total of the above and many other conditions of the site need to be taken seriously and into consideration to fully accomplish the successful design and construction of the dam. Thus, the Board recommends that several of the above conditions be factored into the feasibility report, especially consideration of a sloping upstream face and reduction of the foundation modulus. The upstream face.</li> </ul>	The team fully recognise the importance of the matters raised - as well as others - and is working as fast as possible towards a design status that accomodates these outstanding matters. However, finalisation of project proposals is hugely dependent on the results of as yet unfinished site investigation & drilling, materials testing, geological characterization, lineament analysis and adit construction - all of which are dependent on access to the land at the site. Engineering judgement is being made and assumptions made to facilitate the completion of a draft design and draft feasibility report.	BES 1/15/14	BOC Concurs with the response and encourages the geological characterization and finalization of the foundation conditions		
	BOC MTG-3 Final Report		Geotechnical, Structural, Concrete, Hydroelectric	New information on Probable Maximum Precipitation (PMP) and Probable Maximum Flood (PMF) included Applied Weather Associates' update on the analysis of historic storms for PMP development and HEC-1 model calibration, and MWH's discussion of how snowmelt and glacier ice melt would be addressed in modeling the PMF. Otherwise, Applied Weather Associates and MWH provided a synopsis of the information discussed at the April 3-4, 2013 PMP/PMF Workshop. The Board concurs with MWH's proposal to develop the spatial distribution of the 100-year snow water equivalent (SWE) based on limited SWE observations and an assumed proportionality between SWE at various recurrence intervals and total October-April precipitation. This is appropriate for the months of May and June which could see significant snowpack remaining over at least part of the basin. For the later summer months the only snow- or ice-covered areas would be the glaciers in the headwater areas. The glaciers will contribute meltwater coincident with the PMP, which MWH proposes to represent as the 100-year, 3-day flow volume at the nearest downstream gage. This may prove to be a very conservative assumption, as the largest floods recorded at the upper watershed gages are probably a combination of summer storm runoff and glacier melt.	The 100-year 3-day flow volume was intended to be used as an upper bound comparison parameter to ensure that snowmelt was not over-estimated. The actual modeled method for glaciers will be to treat them as an essentially unlimited snowpack with snowmelt determined by the energy budget method. The general lack of meteorological data at high elevations suggests the desirability of making approximate checks of the PMF where possible.	1/24/14	The BOC Concures, however, The Final presentation of the AWA Studies should be presented at the next BOC Meeting		
	BOC MTG-3 Final Report		Hydrology & Meteorology	In the letter report on the April 3-4 PMP/PMF workshop, the Board members at the workshop stated an opinion that historical storms occurring south of the coastal range should not be transposed into the Susitna basin, because the coastal mountains create an effective moisture barrier. The basin flyover tour on May 29 supported the assessment of the southern mountain range as a significant topographic barrier relative to the project watershed. The airplane basin tour also provided the opportunity to note numerous pools of standing water in the low-relief eastern subbasins (e.g. subbasin 17), indicating at least seasonally impervious soils	Agreed.	JCH B. Kappel 1/24/14	currently waiting on response to Comment		