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National Guidance Water Quality Standards for Wetlands

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EXECUTIVE SUMMARY

Background

This document provides program guidance to States on how to ensure effective application of water quality standards (WQS) to wetlands. This guidance reflects the level of achievement EPA expects the States to accomplish by the end of FY 1993, as defined in the Agency Operating Guidance, FY 1991, Office of Water. The basic requirements for applying State water quality standards to wetlands include the following:

- Include wetlands in the definition of "State waters."
- Designate uses for all wetlands.
- Adopt aesthetic narrative criteria (the "free froms") and appropriate numeric criteria for wetlands.
- Adopt narrative biological criteria for wetlands.
- Apply the State's antidegradation policy and implementation methods to wetlands.

Water quality standards for wetlands are necessary to ensure that the provisions of the Clean Water Act (CWA) applied to other surface waters are also applied to wetlands. Although Federal regulations implementing the CWA include wetlands in the definition of "waters of the U.S." and therefore require water quality standards, a number of States have not developed WQS for wetlands and have not included wetlands in their definitions of "State waters." Applying water quality standards to wetlands is part of an overall effort to protect and enhance the Nation's wetland resources and provides a regulatory basis for a variety of programs to meet this goal. Standards provide the foundation for a broad range of water quality management activities including, but not limited to, monitoring under Section 305(b), permitting under Sections 402 and 404, water quality certification under Section 401, and the control of NPS pollution under Section 319.

With the issuance of this guidance, EPA proposes a two phased approach for the development of WQS for wetlands. Phase 1 activities presented in this guidance include the development of WQS elements for wetlands based upon existing information and science to be implemented within the next triennium. Phase 2 involves the further refinement of these basic elements using new science and program developments. The development of WQS for all surface waters is an iterative process.

Definition

The first, and most important, step in applying water quality standards to wetlands is ensuring that wetlands are legally included in the scope of States' water quality standards programs. States may accomplish this by adopting a regulatory definition of "State waters" at least as inclusive as the Federal definition of "waters of the U.S." and adopting an appropriate definition for "wetlands." States may also need to remove or modify regulatory language that explicitly or implicitly limits the authority of water quality standards over wetlands.

Use Designation

At a minimum, all wetlands must have uses designated which meet the goals of Section 101(a)(2) of the CWA by providing for the protection and propagation of fish, shellfish, and wildlife and for recreation in and on the water, unless the results of a use attainability analysis (UAA) show that the CWA Section 101(a)(2) goals cannot be achieved. When designating uses for wetlands, States may choose to use their existing general and water specific classification systems or they may set up an entirely different system for wetlands reflecting their unique functions. Two basic pieces of information are useful in classifying wetland uses: (1) the structural types of wetlands and (2) the functions and values associated with such types of wetlands. Generally, wetland functions directly relate to the physical, chemical and biological

integrity of wetlands. The protection of these functions through water quality standards also may be needed to attain the uses of waters adjacent to, or downstream of, wetlands.

Criteria

The Water Quality Standards Regulation (40 CFR 131.11(a)(1)) requires States to adopt criteria sufficient to protect designated uses which may include general statements (narrative) and specific numerical values (i.e., concentrations of contaminants and water quality characteristics). Most State water quality standards already contain many criteria for various water types and designated use classes that may be applicable to wetlands.

Narrative criteria are particularly important in wetlands since many wetland impacts cannot be fully addressed by numeric criteria. Such impacts may result from the discharge of chemicals for which there are no numeric criteria in State standards, nonpoint sources, and activities that may affect the physical and/or biological, rather than the chemical, aspects of water quality (e.g., discharge of dredged and fill material). Narratives should be written to protect the most sensitive designated use and support existing uses under State antidegradation policies. In addition to other narrative criteria, narrative biological criteria provide a further basis for managing a broad range of activities that impact the biological integrity of wetlands and other surface waters, particularly physical and hydrologic modifications. Narrative biological criteria are general statements of attainable or attained conditions of biological integrity and water quality for a given use designation. EPA has published national guidance on developing biological criteria for all surface waters.

Numeric criteria are specific numeric values for chemical constituents, physical parameters or biological conditions that are adopted in State standards. Human health water quality criteria are based on the toxicity of a contaminant and the amount of the contaminant consumed through ingestion of water and fish regardless of the type of water. Therefore, EPA's chemical specific human health criteria are directly applicable to wetlands. EPA also develops chemical specific numeric criteria recommendations for the protection of freshwater and saltwater aquatic life. The numeric aquatic life criteria, although not designed specifically for wetlands, were designed to be protective of aquatic life and are generally applicable to most wetland types. An exception to this are pH dependent criteria, such as ammonia and pentachlorophenol, since wetland pH may be outside the normal range of 6.5-9.0. As in other waters, natural water quality characteristics in some wetlands may be outside the range established for uses designated in State standards. These water quality characteristics may require the development of criteria that reflect the natural background conditions in a specific wetland or wetland type. Examples of some of the wetland characteristics that may fall into this category are dissolved oxygen, pH, turbidity, color, and hydrogen sulfide.

Antidegradation

The antidegradation policies contained in all State standards provide a powerful tool for the protection of wetlands and can be used by States to regulate point and nonpoint source discharges to wetlands in the same way as other surface waters. In conjunction with beneficial uses and narrative criteria, antidegradation can be used to address impacts to wetlands that cannot be fully addressed by chemical criteria, such as physical and hydrologic modifications.

With the inclusion of wetlands as "waters of the State," State antidegradation policies and their implementation methods will apply to wetlands in the same way as other surface waters. State antidegradation policies should provide for the protection of existing uses in wetlands and the level of water quality necessary to protect those uses in the same manner as for other surface waters; see Section 131.12(a)(1) of the WQS regulation. In the case of fills, EPA interprets protection of existing uses to be met if there is no significant degradation as defined according to the Section 404(b)(1) guidelines. State antidegradation policies also provide special protection for outstanding natural resource waters.

Implementation

Implementing water quality standards for wetlands will require a coordinated effort between related Federal and State agencies and programs. Many States have begun to make more use of CWA Section 401 certification to manage certain activities that impact their wetland resources on a physical and/or biological basis rather than just chemical impacts. Section 401 gives the States the authority to grant, deny, or condition certification of Federal permits or licenses that may result in a discharge to "waters of the U.S." Such action is taken by the State to ensure compliance with various provisions of the CWA, including the State's water quality standards. Violation of water quality standards is often the basis for denials or conditioning through Section 401 certification.

Natural wetlands are nearly always "waters of the U.S." and are afforded the same level of protection as other surface waters with regard to standards and minimum wastewater treatment requirements. Water quality standards for wetlands can prevent the misuse and overuse of natural wetlands for treatment through adoption of proper uses and criteria and application of State antidegradation policies. The Water Quality Standards Regulation (40 CFR 131.10(a)) states that, "in no case shall a State adopt waste transport or waste assimilation as a designated use for any 'waters of the U.S.'." Certain activities involving the discharge of pollutants to wetlands may be permitted, however, as with other surface waters, the State must ensure, through ambient monitoring, that permitted discharges to wetlands preserve and protect wetland functions and values as defined in State water quality standards. For municipal discharges to natural wetlands, a minimum of secondary treatment is required and applicable water quality standards for the wetland and adjacent waters must be met. EPA anticipates that the policy for storm water discharges to wetlands will have some similarities to the policies for municipal wastewater discharges to wetlands.

Many wetlands, through their assimilative capacity for nutrients and sediment, also serve an important water quality control function for nonpoint source pollution effects on waters adjacent to, or downstream of, the wetlands. Section 319 of the CWA requires the States to complete assessments of nonpoint source (NPS) impacts to State waters, including wetlands, and to prepare management programs to control NPS impacts. Water quality standards for wetlands can form the basis for these assessments and management programs for wetlands.

In addition, States can address physical and hydrological impacts to wetland quality through the application of narrative criteria to protect existing uses and through application of their antidegradation policies. The States should provide a linkage in their water quality standards to

the determination of "significant degradation" as required under EPA guidelines (40 CFR 230.10(c)) and other applicable State laws affecting the disposal of dredged or fill materials in wetlands.

Finally, water quality management activities, including the permitting of wastewater and storm water discharges, the assessment and control of NPS pollution, and waste disposal activities (sewage sludge, CERCLA, RCRA) require sufficient monitoring to ensure that the designated and existing uses of "waters of the U.S." are maintained and protected. The inclusion of wetlands in water quality standards provides the basis for conducting both wetland specific and status and trend monitoring of State wetland resources. Monitoring of activities impacting specific wetlands may include several approaches, including biological measurements (i.e., plant, macroinvertebrate and fish) which have shown promise for monitoring stream quality. The States are encouraged to develop and test the use of biological indicators.

Future Directions

Development of narrative biological criteria are included in the first phase of the development of water quality standards for wetlands. The second phase involves the implementation of numeric biological criteria. This effort requires the detailed evaluation of the components of wetland communities to determine the structure and function of unimpaired wetlands. Wetlands are important habitats for wildlife species. It is therefore also important to consider wildlife in developing criteria which protect the functions and values of wetlands. During the next three years, the Office of Water Regulations and Standards is reviewing aquatic life water quality criteria to determine whether adjustments in the criteria and/or alternative forms of criteria (e.g., tissue concentration criteria) are needed to adequately protect wildlife species using wetland resources. EPA's Office of Water Regulations and Standards is also developing guidance for EPA and State surface water monitoring programs that will be issued by the end of FY 1990. Other technical guidance and support for the development of State water quality standards will be forthcoming from EPA in the next triennium.

1.0_Introduction

Our understanding of the many benefits that wetlands provide has evolved rapidly over the last 20 to 30 years. Recently, programs have been developed to restore and protect wetland resources at the local, State and Federal levels of government. At the Federal level, the President of the United States established the goal of "no net loss" of wetlands, adapted from the National Wetlands Policy Forum recommendations (The Conservation Foundation 1988). Applying water quality standards to wetlands is part of an overall effort to protect the Nation's wetland resources and provides a regulatory basis for a variety of programs for managing wetlands to meet this goal.

As the link between land and water, wetlands play a vital role in water quality management programs. Wetlands provide a wide array of functions including shoreline stabilization, nonpoint

source runoff filtration, and erosion control, which directly benefit adjacent and downstream waters. In addition, wetlands provide important biological habitat, including nursery areas for aquatic life and wildlife, and other benefits such as groundwater recharge and recreation. Wetlands comprise a wide variety of aquatic vegetated systems including, but not limited to, sloughs, prairies potholes, wet meadows, bogs, fens, vernal pools, and marshes. The basic elements of water quality standards (WQS), including designated uses, criteria, and an antidegradation policy, provide a sound legal basis for protecting wetland resources through State water quality management programs.

Water quality standards traditionally have been applied to waters such as rivers, lakes, estuaries, and oceans, and have been applied tangentially, if at all, to wetlands by applying the same uses and criteria to wetlands as to adjacent perennial waters. Isolated wetlands not directly associated with perennial waters generally have not been addressed in State water quality standards. A recent review of State water quality standards (USEPA 1989d) shows that only half of the States specifically refer to wetlands, or use similar terminology, in their water quality standards. Even where wetlands are referenced, standards may not be tailored to reflect the unique characteristics of wetlands.

Water quality standards specifically tailored to wetlands provide a consistent basis for the development of policies and technical procedures for managing activities that impact wetlands. Such water quality standards provide the goals for Federal and State programs that regulate discharges to wetlands, particularly those under CWA Sections 402 and 404 as well as other regulatory programs (e.g., Sections 307, 318, and 405) and non-regulatory programs (e.g., Sections 314, 319, and 320). In addition, standards play a critical role in the State 401 certification process by providing the basis for approving, conditioning or denying Federal permits and licenses, as appropriate. Finally, standards provide a benchmark against which to assess the many activities that impact wetlands.

1.1 Objectives

The objective of this document is to assist States in applying their water quality standards regulations to wetlands in accordance with the Agency Operating Guidance (USEPA 1990a), which states:

By September 30, 1993, States and qualified Indian Tribes must adopt narrative water quality standards that apply directly to wetlands. Those Standards shall be established in accordance with either the National Guidance, Water Quality Standards for Wetlands ... or some other scientifically valid method. In adopting water quality standards for wetlands, States and qualified Indian Tribes, at a minimum, shall: (1) define wetlands as "State waters"; (2) designate uses that protect the structure and function of wetlands; (3) adopt aesthetic narrative criteria (the "free froms") and appropriate numeric criteria in the standards to protect the designated uses; (4) adopt narrative biological criteria in the standards; and (5) extend the antidegradation policy and implementation methods to wetlands. Unless results of a use attainability analysis show that the section 101(a) goals cannot be achieved, States and qualified Indian Tribes shall designate uses for wetlands that provide for the protection of fish, shellfish, wildlife, and recreation. When

extending the antidegradation policy and implementation methods to wetlands, consideration should be given to designating critical wetlands as Outstanding National Resource Waters. As necessary, the antidegradation policy should be revised to reflect the unique characteristics of wetlands.

This level of achievement is based upon existing science and information, and therefore can be completed within the FY 91-93 triennial review cycle.

Initial development of water quality standards for wetlands over the next three years will provide the foundation for the development of more detailed water quality standards for wetlands in the future based on further research and policy development (see Section 7.0.). Activities defined in this guidance are referred to as Phase 1 activities, while those to be developed over the longer term are referred to as Phase 2 activities. Developing water quality standards is an iterative process.

This guidance is not regulatory nor is it designed to dictate specific approaches needed in State water quality standards. The document addresses the minimum requirements set out in the Operating Guidance, and should be used as a guide to the modifications that may be needed in State standards. EPA recognizes that State water quality standards regulations vary greatly from State to State as do wetland resources. This guidance suggest approaches States may wish to use and allows for State flexibility and innovation.

1.2 Organization

Each section of this document provides guidance on a particular element of Phase 1 wetland water quality standards that EPA expects States to undertake during the next triennial review period (i.e., by September 30, 1993). For each section, a discussion of what EPA considers to be minimally acceptable is followed by subsections that provide information that may be used to meet, and go beyond, the minimum requirements during Phase 1. Documents referenced in this guidance provide further information on specific topics and may be obtained from the sources listed in the "References" Section. The following paragraphs introduce each of the sections of this guidance.

Most wetlands fall within the definition of "waters of the U.S." and thus require water quality standards. EPA expects States by the end of FY 1993 to include wetlands in their definition of "State waters" consistent with the Federal definition of "waters of the U.S." Guidance on the inclusion of wetlands in the definition of "State waters" is contained in Section 2.0.

The application of water quality standards to wetlands requires that States designate appropriate uses consistent with Sections 101(a)(2) and 303(c)(2) of the Clean Water Act (CWA). EPA expects States by the end of FY 1993 to establish designated uses for all wetlands. Discussion of designated uses is contained in Section 3.0.

The WQS regulation (40 CFR 131) requires States to adopt water quality criteria sufficient to protect designated uses. EPA expects the States, by the end of FY 1993, to adopt aesthetic

narrative criteria (the "free froms"), appropriate numeric criteria and narrative biological criteria for wetlands. Narrative criteria are particularly important for wetlands since many activities may impact upon the physical and biological, as well as chemical, components of water quality. Section 4.0 discusses the application of narrative and numeric criteria to wetlands.

EPA also expects States to fully apply antidegradation policies and implementation methods to wetlands by the end of FY 1993. Antidegradation can provide a powerful tool for the protection of wetlands, especially through the requirement for full protection of existing uses as well as the States' option of designating wetlands as outstanding national resource waters. Guidance on the application of State antidegradation policies to wetlands is contained in Section 5.0.

Many State water quality standards contain policies affecting the application and implementation of water quality standards (e.g., variances, mixing zones, etc.). Unless otherwise specified, such policies are presumed to apply to wetlands in the same manner as to other waters of the State. States should consider whether such policies should be modified to reflect the characteristics of wetlands. Guidance on the implementation of water quality standards for wetlands is contained in Section 6.0.

Application of standards to wetlands will be an iterative process with both EPA and the States refining their approach based on new scientific information as well as experience developed through State programs. Section 7.0 outlines Phase 2 wetland standards activities for which EPA is planning additional research and program development.

1.3 Legal Authority

The Clean Water Act requires States to develop water quality standards, which include designated uses and criteria to support those uses, for "navigable waters". CWA Section 502(7) defines "navigable waters" as "waters of the U.S." "Waters of the U.S." are, in turn, defined in Federal regulations developed for the National Pollution Discharge Elimination System (40 CFR 122.2) and permits for the discharge of dredged or fill material (40 CFR 230.3 and 232.2). "Waters of the U.S." include waters subject to the ebb and flow of the tide, interstate waters (including interstate wetlands), intrastate waters (including wetlands), the use, destruction, or degradation of which could affect interstate commerce, tributaries of the above, and wetlands adjacent to the above waters (other than waters which are themselves waters). See Appendix B for a complete definition.

The term "wetlands" is defined in 40 CFR 232.2(r) as:

Those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas.

This definition of "waters of the U.S.", which includes most wetlands, has been debated in Congress and upheld by the courts. In 1977, a proposal to delete CWA jurisdiction over most wetlands for the purpose of the Section 404 permit program, was defeated in the Senate. The

debate on the amendment shows a strong Congressional awareness of the value of wetlands and the importance of retaining them under the statutory scheme. Various courts have also upheld the application of the CWA to wetlands. See e.g., *United States v. Riverside Bayview Homes*, 474 U.S. 121(1985); *United States v. Byrd*, 609 F.2d 1204 (7th Cir. 1979); *Avoyelles Sportsmen's League v. Marsh*, 715 F.2d 897 (5th Cir. 1983); *United States v. Leslie Salt* [1990 decision]. The practical effect is to make nearly all wetlands "waters of the U.S."

Created wastewater treatment wetlands which are designed, built and operated solely as wastewater treatment systems are generally not considered to be waters of the U.S. Water quality standards which apply to natural wetlands generally do not apply to such created wastewater treatment wetlands. There are, however, many created wetlands which are designed, built and operated to provide, in addition to wastewater treatment, functions and values similar to those provided by natural wetlands. Under certain circumstances such created multiple use wetlands may be considered waters of the U.S. and as such would require water quality standards. This determination must be made on a case-by-case basis, and may consider factors such as the size and degree of isolation of the created wetlands and other appropriate factors.

2.0 INCLUSION OF WETLANDS IN THE DEFINITION OF STATE WATERS

The first, and most important, step in applying water quality standards to wetlands is ensuring that wetlands are legally included in the scope of States' water quality standards programs. EPA expects States' water quality standards to include wetlands in the definition of "State waters" by the end of FY 1993. States may accomplish this by adopting a regulatory definition of "State waters" at least as inclusive as the Federal definition of "waters of the U.S." and adopting an appropriate definition for "wetlands." For example, one State includes the following definitions in their water quality standards:

"Surface waters of the State"... means all streams,... lakes..., ponds, marshes, wetlands or other waterways...

"Wetlands" means areas of land where the water table is at, near or above the land surface long enough each year to result in the formation of characteristically wet (hydric) soil types, and support the growth of water dependent (hydrophytic) vegetation. Wetlands include, but are not limited to, marshes, swamps, bogs, and other such low-lying areas.

States may also need to remove or modify regulatory language that explicitly or implicitly limits the authority of water quality standards over wetlands. In certain instances, such as when water quality standards are statutory or where a statute defines or limits regulatory authority over wetlands, statutory changes may be needed.

The CWA does not preclude States from adopting, under State law, a more expansive definition of "waters of the State" in order to meet the goals of the Act. Additional areas that could be covered include riparian areas, flood plains, vegetated buffer areas or any other critical areas identified by the State. Riparian areas and flood plains are important and severely threatened ecosystems, particularly in the arid and semi-arid West. Often it is technically difficult to

separate, jurisdictionally, wetlands subject to the provisions of the CWA from other areas within the riparian or flood plain complex.

States may choose to include riparian or flood plain ecosystems as a whole in the definition of "waters of the State" or designate these areas for special protection in their water quality standards through several mechanisms including definitions, use classifications and antidegradation. For example, the regulatory definition of "waters of the State" in one State includes:

...The flood plain of free flowing waters determined by the Department... on the basis of the 100-year flood frequency.

In another State, the definition of a use classification states:

This beneficial use is a combination of the characteristics of the watershed expressed in the water quality and the riparian area.

And in a third State, the antidegradation protection for high quality waters provides that:

These waters shall not be lowered in quality... unless it is determined by the commission that such lowering will not do any of the following:

...(b)ecome injurious to the value or utility of riparian lands...

3.0 USE CLASSIFICATION

At a minimum, EPA expects States by the end of FY 1993 to designate uses for all wetlands, and to meet the same minimum requirements of the WQS regulation (40 CFR 131.10) that are applied to other waters. Uses for wetlands must meet the goals of Section 101(a)(2) of the CWA by providing for the protection and propagation of fish, shellfish, and wildlife and for recreation in and on the water, unless the results of a use attainability analysis (UAA) show that the CWA Section 101(a)(2) goals cannot be achieved. The Water Quality Standards Regulation (40 CFR 131.10(c)) allows for the designation of sub-categories of a use, an activity that may be appropriate for wetlands. Pursuant to the WQS Regulation (40 CFR 131.10(i)), States must designate any uses that are presently being attained in the wetland. A technical support document is currently being developed by the Office of Water Regulations and Standards for conducting use attainability analyses for wetlands.

The propagation of aquatic life and wildlife is an attainable use in virtually all wetlands. Aquatic life protection need not refer only to year-round fish and aquatic life. Wetlands often provide valuable seasonal habitat for fish and other aquatic life, amphibians, and migratory bird reproduction and migration. States should ensure that aquatic life and wildlife uses are designated for wetlands even if a limited habitat is available or the use is attained only seasonally.

Recreation in and on the water, on the other hand, may not be attainable in certain wetlands that do not have sufficient water, at least seasonally. However, States are also encouraged to recognize and protect recreational uses that do not directly involve contact with water; e.g., hiking, camping, bird watching, etc.

The WQS regulation requires a UAA wherever a State designates a use that does not include the uses specified in Section 101(a)(2) of the CWA; see 40 CFR Part 131.10(j). This need not be an onerous task for States when deciding whether certain recreational uses are attainable. States may conduct generic UAAs for entire classes or types of wetlands based on the demonstrations in 40 CFR Part 131.10(g)(2). States must, however, designate CWA goal uses wherever these are attainable even where attainment may be seasonal.

When designating uses for wetlands, States may choose to use their existing general and water specific classification systems or they may set up an entirely different system for wetlands. Each of these approaches has advantages and disadvantages, as discussed below.

Some States stipulate that wetlands are designated for the same uses as the adjacent waters. States may also apply their existing general classification system to designate uses for specific wetlands or groups of wetlands. The advantage of these approaches is that they do not require States to expend additional effort to develop specific wetland uses, or determine specific functions and values, and can be generally used to designate the CWA goal uses for wetlands. However, since wetland attributes may be significantly different than those of other waters, States with general wetland use designations will need to review the uses for individual wetlands in more detail when assessing activities that may impair the specific "existing uses" (e.g., functions and values). In addition, the "adjacent" approach does not produce uses for "isolated" wetlands.

Due to these differences in attributes, States should strongly consider adopting a separate use classification system for wetlands based on wetland type and/or beneficial use (function and value). This approach initially requires more effort in developing use categories (and specific criteria that may be needed for them), as well as determining what uses to assign to specific wetlands or groups of wetlands. The greater the specificity in designating uses, however, the easier it is for States to justify regulatory controls to protect those uses. States may wish to designate beneficial uses for individually named wetlands, including outstanding wetlands (see Section 6.3), although, this approach may be practical only for a limited number of wetlands. For the majority of their wetlands, States may wish to designate generalized uses for groups of wetlands based on region or wetland type.

Two basic pieces of information are useful in classifying wetland uses: (1) the structural types of wetlands and (2) the functions and values associated with such types of wetlands. The functions and values of wetlands are often defined based upon structural type and location within the landscape or watershed. The understanding of the various wetland types within the State and their functions and values provides the basis for a comprehensive classification system applicable to all wetlands and all wetland uses. As with other waters, both general and waterbody-specific classifications may be needed to ensure that uses are appropriately assigned to all wetlands in the State. Appropriate and definitive use designations allow water quality

standards to more accurately reflect both the "existing" uses as well as the States' goals for their wetland resources, and allow standards to be a more powerful tool in protecting State wetlands. Sections 3.1 through 3.3 provide further information of wetland types, functions and values, and how these can be used to designate uses for wetlands.

3.1 Wetland Types

A detailed understanding of the various wetland types within the State provides the basis for a comprehensive classification system. The classification system most often cited and used by Federal and State wetland permit programs was developed by Cowardin et al. (1979) for the U.S. Fish and Wildlife Service (FWS); see Figure 1. This system provides the basis for wetland related activities within the FWS. The Cowardin system is hierarchical and thus can provide several levels of detail in classifying wetlands. The "System" and "Subsystem" levels of detail appear to be the most promising for water quality standards. The "Class" level may be useful for designating uses for specific wetlands or wetland types. Section 3.3 gives an example of how one State uses the Cowardin system to generate designated uses for wetlands.

Under the Emergency Wetlands Resources Act of 1986, the FWS is required to complete the mapping of wetlands within the lower 48 States by 1998 through the National Wetlands Inventory (NWI) and to assess the status of the nation's wetland resources every ten years. The maps and status and trend reports may help States understand the extent of their wetlands and wetland types and ensure that all wetlands are assigned appropriate uses. To date, over 30,000 detailed 1:24,000 scale maps have been completed, covering approximately 60 percent of the coterminous United States and 16 percent of Alaska.

In some States, wetland maps developed under the NWI program have been digitized and are available for use with geographic information systems (GIS). To date, more than 5,700 wetland maps representing 10.5 percent of the coterminous U.S. have been digitized. Statewide digital databases have been developed for New Jersey, Delaware, Illinois, Maryland and Washington and are in progress in Indiana and Virginia. NWI digital data files also are available for portions of 20 other States. NWI data files are sold at cost in 7.5 minute quadrangle units. The data are provided on magnetic tape in MOSS export, DLG3 optional, ELAS and IGES formats. Digital wetlands data may expedite assigning uses to wetlands for both general and wetland specific classifications.

The classification of wetlands may benefit from the use of salinity concentrations. The Cowardin classification system uses a salinity criterion of 0.5 ppt ocean-derived salinity to differentiate between estuarine and freshwater wetlands. Differences in salinity are reflected in the species composition of plants and animals. The use of salinity in the classification of wetlands may be useful in restricting activities that would alter the salinity of a wetland to such a degree that the wetland type would change. These activities include, for example, the construction of dikes to convert a saltwater marsh to a freshwater marsh or the dredging of channels that would deliver saltwater to freshwater wetlands.

3.2 Wetland Functions and Values

Many approaches have been developed for identifying wetland functions and values. Wetland evaluation techniques developed prior to 1983 have been summarized by Lonard and Clairain (1985) and EPA has summarized assessment methodologies developed since 1983 (see Appendix C). EPA has also developed guidance on the selection of a methodology for activities under the Section 404 program entitled: Draft Guidance to EPA Regional Offices on the Use of Advance Identification Authorities Under Section 404 of the Clean Water Act (USEPA 1989a). States may develop their own techniques for assessing the functions and values of their wetlands.

Generally, wetland functions that directly relate to the physical, chemical and biological integrity of wetlands are listed below. The protection of these functions through water quality standards also may be needed to attain the uses of waters adjacent to, or downstream of, wetlands.

- Groundwater Recharge/Discharge
- Flood Flow Alteration
- Sediment Stabilization
- Sediment/Toxic Retention
- Nutrient Removal/Transformation
- Wildlife Diversity/Abundance
- Aquatic Diversity/Abundance
- Recreation

Methodologies that are flexible with regard to data requirements and include several levels of detail have the greatest potential for application to standards. One such methodology is the Wetland Evaluation Technique developed by Adamus, et al. (1987) for the U.S. Army Corps of Engineers and the Department of Transportation. The Wetland Evaluation Technique was designed for conducting an initial rapid assessment of wetland functions and values in terms of social significance, effectiveness, and opportunity. Social significance assesses the value of a wetland to society in terms of its special designation, potential economic value, and strategic location. Effectiveness assesses the capability of a wetland to perform a function because of its physical, chemical or biological characteristics. Opportunity assesses the [opportunity] of a wetland to perform a function to its level of capability. This assessment results in "high", "moderate" or "low" ratings for eleven wetland functions in the context of social significance, effectiveness, and opportunity. This technique also may be useful in identifying outstanding wetlands for protection under State antidegradation policies; see Section 5.3.

The FWS maintains a Wetlands Values Database that also may be useful in identifying wetland functions and in designating wetland uses. The data are keyed to the Cowardin-based wetland codes identified on the National Wetland Inventory maps. The database contains scientific literature on wetland functions and values. It is computerized and contains over 18,000 citations of which 8,000 are annotated. For further information, contact the NWI Program (see Section 3.1) or the FWS National Ecology Research Center³. In addition, State wetland programs, EPA Regional wetland coordinators and FWS Regional wetland coordinators can provide information on wetland functions and values on a State or regional basis; see Appendix D.

3.3 Designating Wetland Uses

The functions and values of specifically identified and named wetlands, including those identified within the State's water specific classification system and outstanding national resource water (ONRW) category, may be defined using the Wetland Evaluation Technique or similar methodology. For the general classification of wetlands, however, States may choose to evaluate wetland function and values for all the wetlands within the State based on wetland type (using Cowardin (1979) - Figure 1). One State applies its general use classifications to different wetland types based on Cowardin's system level of detail as illustrated in Figure 2. Note that the State's uses are based on function and the designation approach links specific wetland functions to a given wetland type. The State evaluates wetlands on a case-by-case basis as individual permit decisions arise to ensure designated uses are being protected and have reflected existing uses.

Alternatively, a third method may use the location of wetlands within the landscape as the basis for establishing general functions and values applicable to all the wetlands within a defined region. EPA has developed guidance entitled Regionalization as a Tool for Managing Environmental Resources (USEPA 1989c). The guidance illustrates how various regionalization techniques have been used in water quality management, including the use of the ecoregions developed by EPA's Office of Research and Development, to direct State water quality standards and monitoring programs. These approaches also may be useful in the classification of wetlands.

EPA's Office of Research and Development is currently refining a draft document which will provide useful information to States related to use classification methodologies (Adamus and Brandt - Draft). There are likely many other approaches for designating uses for wetlands, and the States are encouraged to develop comprehensive classification systems tailored to their wetland resources. As with other surface waters, many wetlands are currently degraded by natural and anthropogenic activities. The classification of wetlands should reflect the potential uses attainable for a particular wetland, wetland type or class of wetland.

4.0 CRITERIA

The Water Quality Standards Regulation (40 CFR 131.11(a)(1)) requires States to adopt criteria sufficient to protect designated uses. These criteria may include general statements (narrative) and specific numerical values (i.e., concentrations of contaminants and water quality characteristics). At a minimum, EPA expects States to apply aesthetic narrative criteria (the "free froms") and appropriate numeric criteria to wetlands and adopt narrative biological criteria for wetlands by the end of FY 1993. Most State water quality standards already contain many criteria for various water types and designated use classes, including narrative criteria, and numeric criteria to protect human health and freshwater and saltwater aquatic life, that may be applicable to wetlands.

In many cases it may be necessary to use a combination of numeric and narrative criteria to ensure that wetland functions and values are adequately protected. Section 4.1 describes the application of narrative criteria to wetlands and Section 4.2 discusses application of numeric criteria for protection of human health and aquatic life.

4.1 Narrative Criteria

Narrative criteria are general statements designed to protect a specific designated use or set of uses. They can be statements prohibiting certain actions or conditions (e.g., "free from substances that produce undesirable or nuisance aquatic life") or positive statements about what is expected to occur in the water (e.g., "water quality and aquatic life shall be as it naturally occurs"). Narrative criteria are used to identify impacts to designated uses and as a regulatory basis for controlling a variety of impacts to State waters. Narrative criteria are particularly important in wetlands since many wetland impacts cannot be fully addressed by numeric criteria. Such impacts may result from the discharge of chemicals for which there are no numeric criteria in State standards, nonpoint sources, and activities that may affect the physical and/or biological, rather than the chemical, aspects of water quality (e.g., discharge of dredged and fill material). The Water Quality Standards Regulation (40 CFR 131.11(b)) states that "States should ... include narrative criteria in their standards where numeric criteria cannot be established or to supplement numeric criteria."

4.1.1 General Narrative Criteria

Narrative criteria within the water quality standards program date back to at least 1968 when five "free froms" were included in Water Quality Criteria (the Green Book), (FWPCA 1968). These "free froms" have been included as "aesthetic criteria" in EPA's most recent Section 304(a) criteria summary document, Quality Criteria for Water - 1986 (USEPA 1987a). The narrative criteria from these documents state:

All waters [shall be] free from substances attributable to wastewater or other discharge that:

- (1) settle to form objectionable deposits;
- (2) float as debris, scum, oil, or other matter to form nuisances;
- (3) produce objectionable color, odor, taste, or turbidity;
- (4) injure or are toxic or produce adverse physiological responses in humans, animals or plants;
and
- (5) produce undesirable or nuisance aquatic life.

The Water Quality Standards Handbook (USEPA 1983b) recommends that States apply narrative criteria to all waters of the United States. If these or similar criteria are already applied to all State waters in a State's standards, the inclusion of wetlands in the definition of "waters of the State" will apply these criteria to wetlands.

4.1.2 Narrative Biological Criteria

Narrative biological criteria are general statements of attainable or attained conditions of biological integrity and water quality for a given use designation. Narrative biological criteria can take a number of forms. As a sixth "free from" the criteria could read "free from activities that would substantially impair the biological community as it naturally occurs due to physical, chemical and hydrologic changes", or the criteria may be positive statements about the biological community existing or attainable in wetlands.

Narrative biological criteria should contain attributes that support the goals of the Clean Water Act, which provide for the protection and propagation of fish, shellfish, and wildlife. Therefore, narrative criteria should include specific language about community characteristics which (1) must exist in a wetland to meet a particular designated aquatic life/wildlife use, and (2) are quantifiable. Supporting statements for the criteria should promote water quality to protect the most natural community associated with the designated use. Mechanisms should be established in the standard to address potentially conflicting multiple uses. Narratives should be written to protect the most sensitive designated use and support existing uses under State antidegradation policies.

In addition to other narrative criteria, narrative biological criteria provide a further basis for managing a broad range of activities that impact the biological integrity of wetlands and other surface waters, particularly physical and hydrologic modifications. For instance, hydrologic criteria are one particularly important but often overlooked component to include in water quality standards to help maintain wetlands quality. Hydrology is the primary factor influencing the type and location of wetlands. Maintaining appropriate hydrologic conditions in wetlands is critical to the maintenance of wetland functions and values. Hydrologic manipulations to wetlands have occurred nationwide in the form of flow alterations and diversions, disposal of dredged or fill material, dredging of canals through wetlands, and construction of levees or dikes. Changes in base flow or flow regime can severely alter the plant and animal species composition of a wetland, and destroy the entire wetland system if the change is great enough. States should consider the establishment of criteria to regulate hydrologic alterations to wetlands. One State has adopted the following language and criteria to maintain and protect the natural hydrologic conditions and values of wetlands:

Natural hydrological conditions necessary to support the biological and physical characteristics naturally present in wetlands shall be protected to prevent significant adverse impacts on:

- (1) Water currents, erosion or sedimentation patterns;
- (2) Natural water temperature variations;
- (3) The chemical, nutrient and dissolved oxygen regime of the wetland;
- (4) The normal movement of aquatic fauna;
- (5) The pH of the wetland; and
- (6) Normal water levels or elevations.

One source of information for developing more quantifiable hydrologic criteria is the Instream Flow Program of the U.S. Fish and Wildlife Service, which can provide technical guidance on the minimum flows necessary to attain various water uses.

Narrative criteria, in conjunction with antidegradation policies, can provide the basis for determining the impacts of activities (such as hydrologic modifications) on designated and existing uses. EPA has published national guidance on developing biological criteria for all surface waters (USEPA 1990b). EPA's Office of Research and Development also has produced a literature synthesis of wetland biomonitoring data on a State-by-State basis which is intended to support the development of narrative biological criteria (Adamus and Brandt - Draft).

4.2 Numeric Criteria

Numeric criteria are specific numeric values for chemical constituents, physical parameters or biological conditions that are adopted in State standards. These may be values not to be exceeded (e.g., toxics), values that must be exceeded (e.g., dissolved oxygen), or a combination of the two (e.g., pH). As with all criteria, numeric criteria are adopted to protect one or more designated uses. Under Section 304(a) of the Clean Water Act, EPA publishes numeric national criteria recommendations which are designed to protect aquatic organisms and human health. These criteria are summarized in Quality Criteria for Water - 1986, (USEPA 1987a). These criteria serve as guidelines from which States can develop their own numeric criteria taking into account the particular uses designated by the State.

4.2.1 Numeric Criteria - Human Health

Human health water quality criteria are based on the toxicity of a contaminant and the amount of the contaminant consumed through ingestion of water and fish regardless of the type of water. Therefore, EPA's chemical specific human health criteria are directly applicable to wetlands. A summary of EPA human health criteria recommendations is contained in Quality Criteria for Water - 1986.

Few wetlands are used directly for drinking water supplies. Where drinking water is a designated or existing use for a wetland or for adjacent waters affected by the wetland, however, States must provide criteria sufficient to protect human health based on water consumption (as well as aquatic life consumption if appropriate). When assessing the potential for water consumption, States should also evaluate the wetland's groundwater recharge function to assure protection of drinking water supplies from that source as well.

The application of human health criteria, based on consumption of aquatic life, to wetlands is a function of the level of detail in the States' designated uses. If all wetlands are designated under the State's general "aquatic life/wildlife" designation, consumption of that aquatic life is assumed to be an included use and the State's human health criteria based on consumption of aquatic life will apply throughout. However, States that adopt a more detailed use classification system for wetlands (or wish to derive site specific human health criteria for wetlands) may wish to selectively apply human health criteria to those wetlands where consumption of aquatic life is

designated or likely to occur (note that a UAA will be required where CWA goal uses are not designated). States may also wish to adjust the exposure assumptions used in deriving human health criteria. Where it is known that exposure to individuals at a certain site, or within a certain category of wetland, is likely to be different from the assumed exposure underlying the States' criteria, States may wish to consider a reasonable estimate of the actual exposure and take this estimate into account when calculating the criteria for the site.

4.2.2 Numeric Criteria - Aquatic life

EPA develops chemical specific numeric criteria recommendations for the protection of freshwater and saltwater aquatic life. These criteria may be divided into two basic categories: (1) chemicals that cause toxicity to aquatic life such as metals, ammonia, chlorine and organics, and (2) other water quality characteristics such as dissolved oxygen, alkalinity, salinity, pH, and temperature. These criteria are currently applied directly to a broad range of surface waters in State standards, including lakes, impoundments, ephemeral and perennial rivers and streams, estuaries, the oceans, and in some instances, wetlands. A summary of EPA's aquatic life criteria recommendations is published in Quality Criteria for Water - 1986. The numeric aquatic life criteria, although not designed specifically for wetlands, were designed to be protective of aquatic life and are generally applicable to most wetland types.

EPA's aquatic life criteria are most often based upon toxicological testing under controlled conditions in the laboratory. The EPA guidelines for the development of such criteria (Stephan et al. 1985) require the testing of plant, invertebrate, and fish species. Generally, these criteria are supported by toxicity tests on invertebrate and early life stage fish commonly found in many wetlands. Adjustments based on natural conditions, water chemistry and biological community conditions may be appropriate for certain criteria as discussed below. EPA's Office of Research and Development is currently finalizing a draft document which provides additional technical guidance on this topic, including site-specific adjustments of criteria (Hagley and Taylor - Draft).

As in other waters, natural water quality characteristics in some wetlands may be outside the range established for uses designated in State standards. These water quality characteristics may require the development of criteria that reflect the natural background conditions in a specific wetland or wetland type. States routinely set criteria for specific waters based on natural conditions. Examples of some of the wetland characteristics that may fall into this category are dissolved oxygen, pH, turbidity, color, and hydrogen sulfide.

Many of EPA's aquatic life criteria are based on equations that take into account salinity, pH, temperature and/or hardness. These may be directly applied to wetlands in the same way as other water types with adjustments in the criteria to reflect these water quality characteristics. However, two national criteria that are pH dependent, ammonia and pentachlorophenol, present a different situation. The pH in some wetlands may be outside the pH range of 6.5-9.0 units for which these criteria were derived. It is recommended that States conduct additional toxicity testing if they wish to derive criteria for ammonia and pentachlorophenol outside the 6.5-9.0 pH range, unless data are already available.

States may also develop scientifically defensible site- specific criteria for parameters whose

State-wide values may be inappropriate. Site-specific adjustments may be made based on the water quality and biological conditions in a specific water, or in waters within a particular region or ecoregion. EPA has developed guidance on the site-specific adjustment of the national criteria (USEPA 1983b). These methods are applicable to wetlands and should be used in the same manner as States use them for other waters. As defined in the Handbook, there are three procedures for developing site-specific criteria:

(1) recalculation, (2) indicator species and (3) resident species procedures. These procedures may be used to develop site-specific numeric criteria for specific wetlands or wetland types. The recalculation procedure is used to make adjustments based upon differences between the toxicity to resident organisms and those used to derive national criteria. The indicator species procedure is used to account for differences in the bioavailability and/or toxicity of a contaminant based upon the physical and chemical characteristics of site water. The resident species procedure accounts for differences in both species sensitivity and water quality characteristics.

5.0 ANTIDegradation

The antidegradation policies contained in all State standards provide a powerful tool for the protection of wetlands and can be used by States to regulate point and nonpoint source discharges to wetlands in the same way as other surface waters. In conjunction with beneficial uses and narrative criteria, antidegradation can be used to address impacts to wetlands that cannot be fully addressed by chemical criteria, such as physical and hydrologic modifications. The implications of antidegradation to the disposal of dredged and fill material is discussed in Section 5.1 below. At a minimum, EPA expects States to fully apply their antidegradation policies and implementation method to wetlands by the end of FY 1993. No changes to State policies are required if they are fully consistent with the Federal policy. With the inclusion of wetlands as "waters of the State," State antidegradation policies and their implementation methods will apply to wetlands in the same way as other surface waters. The WQS regulation describes the requirements for State antidegradation policies which include full protection of existing uses (functions and values), maintenance of water quality in high quality waters and a prohibition against lowering water quality in outstanding national resource waters. EPA guidance on the implementation of antidegradation policies is contained in the Water Quality Standards Handbook and Questions and Answers on Antidegradation (USEPA 1985a).

5.1 Protection of Existing Uses

State antidegradation policies should provide for the protection of existing uses in wetlands and the level of water quality necessary to protect those uses in the same manner as for other surface waters; see Section 131.12(a)(1) of the WQS regulation. The existing use can be determined by demonstrating that the use or uses have actually occurred since November 28, 1975, or that the water quality is suitable to allow the use to be attained. This is the basis of EPA's antidegradation policy and is important in the wetland protection effort. States, especially those that adopt less detailed use classifications for wetlands, will need to use the existing use protection in their antidegradation policies to ensure protection of wetland values and functions.

Determination of an existing aquatic life and wildlife use may require physical, chemical, and biological evaluations through a waterbody survey and assessment. Waterbody survey and

assessment guidance may be found in three volumes entitled Technical Support Manual for Conducting Use Attainability Analyses (USEPA 1983b, 1984a, 1984b). A technical support manual for conducting use attainability analyses for wetlands is currently under development by the Office of Water Regulations and Standards.

In the case of wetland fills, EPA allows a slightly different interpretation of existing uses under the antidegradation policy. This interpretation has been addressed in the answer to question #13 in "Questions and Answers on: Antidegradation", (USEPA 1985a) and is presented below.

Since a literal interpretation of the antidegradation policy could result in preventing the issuance of any wetland fill permit under Section 404 of the Clean Water Act, and it is logical to assume that Congress intended some such permits to be granted within the framework of the Act, EPA interprets 40 CFR 131.12(a)(1) of the antidegradation policy to be satisfied with regard to fills in wetlands if the discharge did not result in "significant degradation" to the aquatic ecosystem as defined under Section 230.10(c) of the Section 404(b)(1) guidelines. If any wetlands were found to have better water quality than "fishable/ swimmable", the State would be allowed to lower water quality to the no significant degradation level as long as the requirements of Section 131.12(a)(2) were followed. As for the ONRW provision of antidegradation (131.12(a)(3)), there is no difference in the way it applies to wetlands and other waterbodies.

The Section 404(b)(1) Guidelines state that the following effects contribute to significant degradation, either individually or collectively:

...significant adverse effects on (1) human health or welfare, including effects on municipal water supplies, plankton, fish, shellfish, wildlife, and special aquatic sites (e.g., wetlands); (2) on the life stages of aquatic life and other wildlife dependant on aquatic ecosystems, including the transfer, concentration or spread of pollutants or their byproducts beyond the site through biological, physical, or chemical process; (3) on ecosystem diversity, productivity and stability, including loss of fish and wildlife habitat or loss of the capacity of a wetland to assimilate nutrients, purify water or reduce wave energy; or (4) on recreational, aesthetic, and economic values.

These Guidelines may be used by States to determine "significant degradation" for wetland fills. Of course, the States are free to adopt stricter requirements for wetland fills in their own antidegradation policies, just as they may adopt any other requirements more stringent than Federal law requires. For additional information on the linkage between water quality standards and the Section 404 program, see Section 6.2.

5.2 Protection of High Quality Wetlands

State antidegradation policies should provide for water quality in "high quality wetlands" to be maintained and protected, as prescribed in Section 131.12(a)(2) of the WQS regulation. State

implementation method requiring alternatives analyses, social and economic justifications, point and nonpoint source control and public participation are to be applied to wetlands in the same manner as other surface waters.

5.3 Protection of Outstanding Wetlands

Outstanding national resource waters (ONRW) designations offer special protection (i.e., no degradation) for designated waters, including wetlands. These are areas of exceptional water quality or recreational/ecological significance. State antidegradation policies should provide special protection to wetlands designated as outstanding national resource waters in the same manner as other surface waters; see Section 131.12(a)(3) of the WQS regulation and EPA guidance (Water Quality Standards Handbook (USEPA 1983b), and Questions and Answers on: Antidegradation (USEPA 1985a)). Activities that might trigger a State analysis of a wetland for possible designation as an ONRW are no different for wetlands than for other waters.

The following list provides general information on wetlands which are likely candidates for protection as ONRWs. It also may be used to identify specific wetlands for use designation under the State's wetland classification system; see Section 4.0. Some of these information sources are discussed in greater detail in EPA's guidance entitled Wetlands and Section 401 Certification: Opportunities and Guidelines for States and Eligible Indian Tribes (USEPA 1989f); see Section 6.1.

- Parks, wildlife management areas, refuges, wild and scenic rivers, and estuarine sanctuaries;
- Wetlands adjacent to ONRWs or other high quality waters (e.g., lakes, estuaries shellfish beds, etc.);
- Priority wetlands identified under the Emergency Wetlands Resources Act of 1986 through Statewide Outdoor Recreation Plans (SORP) and Wetland Priority Conservation Plans;
- Sites within joint venture project areas under the North American Waterfowl Management Plan;
- Sites under the Ramsar (Iran) Treaty on Wetlands of International Importance;
- Biosphere reserve sites identified as part of the "Man and the Biosphere" Program sponsored by the United Nations;
- Natural heritage areas and other similar designations established by the State or private organizations (e.g., Nature Conservancy);
- Priority wetlands identified as part of comprehensive planning efforts conducted at the local, State, Regional or Federal levels of government; e.g., Advance Identification (ADID) program under Section 404 and Special Area Management Plans (SAMPs) under the 1980 Coastal Zone Management Act.

The Wetland Evaluation Technique; Volume II: Methodology (Adamus et al. 1987) provides additional guidance on the identification of wetlands with high ecological and social value; see Section 3.2.

6.0 IMPLEMENTATION

Implementing water quality standards for wetlands will require a coordinated effort between related Federal and State agencies and programs. In addition to the Section 401 certification for Federal permits and licenses, standards have other potential applications for State programs including landfill siting, fish and wildlife management and acquisition decisions, and best management practices to control nonpoint source pollution. Many coastal States have wetland permit programs, coastal zone management programs, and National Estuary Programs; and the development of water quality standards should utilize data, information and expertise from these programs. For all States, information and expertise is available nationwide from EPA and the Corps of Engineers as part of the Federal 404 permit program. State wildlife and fisheries departments can also provide data, advice and expertise related to wetlands. Finally, the FWS can provide information on wetlands as part of the National Wetlands Inventory program, the Fish and Wildlife Enhancement Program, the Endangered Species and Habitat Conservation Program, the North American Waterfowl Management Program and the National Wildlife Refuge program. EPA and FWS wetland program contacts are included in Appendix B.

This section provides information on certain elements of standards (e.g., mixing zones) and the relationship between wetland standards and other water related activities and programs (e.g., monitoring and CWA Sections 401, 402, 404 and 319). As information is developed by EPA and the States, EPA will periodically transfer it nationwide through workshops and program summaries. EPA's Office of Water Regulations and Standards has developed an outreach program for providing this information.

6.1 Section 401 Certification

Many States have begun to make more use of CWA Section 401 certification to manage certain activities that impact their wetland resources. Section 401 gives the States the authority to grant, deny, or condition certification of Federal permits or licenses (e.g., CWA Section 404 permits issued by the U.S. Army Corps of Engineers, Federal Energy Regulatory Commission licenses, some Rivers and Harbors Act Sections 9 and 10 permits, and CWA Section 402 permits where issued by EPA) that may result in a discharge to "waters of the U.S." Such action is taken by the State to ensure compliance with various provisions of the CWA. Violation of water quality standards is often the basis for denials or conditioning through Section 401 certification. In the absence of wetland-specific standards, States have based decisions on their general narrative criteria and antidegradation policies. The Office of Wetlands Protection has developed a handbook for States entitled Wetlands and 401 Certification: Opportunities and Guidelines for States and Eligible Indian Tribes (USEPA 1989g) on the use of Section 401 certification to protect wetlands. This document provides several examples where States have applied their water quality standards to wetlands, one example of which is included in Appendix E.

The development of explicit water quality standards for wetlands, including wetlands in the

definition of "State waters," uses, criteria and antidegradation policies, can provide a strong and consistent basis for State 401 certifications.

6.2 Discharges to Wetlands

The Water Quality Standards Regulation (40 CFR 131.10(a)) states that, "in no case shall a State adopt waste transport or waste assimilation as a designated use for any 'waters of the U.S.'." This prohibition extends to wetlands since they are included in the definition of "waters of the U.S." Certain activities involving the discharge of pollutants to wetlands may be permitted, as with other water types, providing a determination is made that the designated and existing uses of the wetlands and downstream waters will be maintained and protected. As with other surface waters, the State must ensure, through ambient monitoring, that permitted discharges to wetlands preserve and protect wetland functions and values as defined in State water quality standards; see Section 6.4.

Created wastewater treatment wetlands that are not impounded from waters of the U.S. and are designed, built and operated solely as wastewater treatment systems, are a special case, and are not generally considered waters of the U.S. Some such created wetlands, however, also provide other functions and values similar to those provided by natural wetlands. Under certain circumstances, such created, multiple use wetlands may be considered "waters of the U.S.," and as such, would be subject to the same protection and restrictions on use as natural wetlands (see Report on the Use of Wetlands for Municipal Wastewater Treatment and Disposal (USEPA 1987b)). This determination must be made on a case-by-case basis, and may consider factors such as the size and degree of isolation of the created wetland.

6.2.1 Municipal Wastewater Treatment

State standards should be consistent with the document developed by the Office of Municipal Pollution Control entitled Report on the Use of Wetlands for Municipal Wastewater Treatment and Disposal (USEPA 1987b), on the use of wetlands for municipal wastewater treatment. This document outlines minimum treatment and other requirements under the CWA for discharges to natural wetlands and those specifically created and used for the purpose of wastewater treatment.

The following is a brief summary of the above referenced document. For municipal discharges to natural wetlands, a minimum of secondary treatment is required and applicable water quality standards for the wetland and adjacent waters must be met. Natural wetlands are nearly always "waters of the U.S." and are afforded the same level of protection as other surface waters with regard to standards and minimum treatment requirement. There are no minimum treatment requirements for wetlands created solely for the purpose of wastewater treatment which do not qualify as "waters of the U.S." The discharge from the created wetlands which do not qualify as "waters of the U.S." must meet applicable standards for the receiving water. EPA encourages the expansion of wetland resources through the creation of engineered wetlands while allowing the use of natural wetlands for wastewater treatment only under limited conditions. Water quality standards for wetlands can prevent the misuse and overuse of natural wetlands for treatment through adoption of proper uses and criteria and application of State antidegradation policies.

6.2.2 Storm Water Treatment

Storm water discharges to wetlands can provide an important component of the fresh water supply to wetlands. However, storm water discharges from various land use activities can also contain a significant amount of pollutants. Section 402(p)(2) of the Clean Water Act requires that EPA, or States with authorized National Pollutant Discharge Elimination System (NPDES) programs, issue NPDES permits for certain types of storm water discharges. EPA is in the process of developing regulations defining the scope of this program as well as developing permits for these discharges. Storm water permits can be used to require controls that reduce the pollutants that are discharged to wetlands as well as other waters of the United States. In addition, some of the storm water management controls anticipated in permits will require creation of wetlands or structures with some of the attributes of wetlands for the single purpose of water treatment.

EPA anticipates that the policy for storm water discharges to wetlands will have some similarities to the policies for municipal wastewater discharges to wetlands. Natural wetlands are "waters of the United States" and are afforded a level of protection with regard to water quality standards and technology-based treatment requirements. The discharge from created wetlands must meet applicable water quality standards for the receiving waters. EPA will issue technical guidance on permitting storm water discharges, including permitting storm water discharges to wetlands, over the next few years.

6.2.3 Fills

Section 404 of the CWA regulates the discharge of dredged and fill material into "waters of the U.S." The Corps of Engineers' regulations for the 404 program are contained in 33 CFR Parts 320-330 while EPA's regulations for the 404 program are contained in 40 CFR Part 230-33.

One State uses the following guidelines for fills in their internal Section 401 review guidelines:

- (a) if the project is not water dependent, certification is denied;
- (b) if the project is water dependent, certification is denied if there is a viable alternative (e.g., available upland nearby is viable alternative);
- (c) if no viable alternatives exist and impacts to wetland cannot be made acceptable through conditions on certification (e.g., fish movement criteria, creation of floodways to bypass oxbows, flow through criteria), certification is denied.

Some modification of this may be incorporated into States' water quality standards. The States are encouraged to provide a linkage in their water quality standards to the determination of "significant degradation" as required under EPA guidelines (40 CFR 230.10(c)) and other applicable State laws affecting the disposal of dredged or fill materials in wetlands; see Section 5.1.

6.2.4 Nonpoint Source Assessment and Control

Wetlands, as with other waters, are impacted by nonpoint sources of pollution. Many wetlands, through their assimilative capacity for nutrients and sediment, also can serve an important water quality control function for nonpoint source pollution effects on waters adjacent to, or downstream of, the wetlands. Water quality standards play a pivotal role in both of the above. First, Section 319 of the CWA requires the States to complete assessments of nonpoint source (NPS) impacts to State waters, including wetlands, and to prepare management programs to control NPS impacts. Water quality standards for wetlands can form the basis for these assessments and management programs for wetlands. Second, water quality standards requirements for other surface waters such as rivers, lakes and estuaries can provide an impetus for States to protect, enhance and restore wetlands to help achieve nonpoint source control and water quality standards objectives for adjacent and downstream waters. The Office of Water Regulations and Standards and the Office of Wetlands Protection have developed guidance on the coordination of wetland and NPS control programs entitled National Guidance - Wetlands and Nonpoint Source Control Programs (USEPA 1990c).

6.3 Monitoring

Water quality management activities, including the permitting of wastewater and storm water discharges, the assessment and control of NPS pollution, and waste disposal activities (sewage sludge, CERCLA, RCRA) require sufficient monitoring to ensure that the designated and existing uses of "waters of the U.S." are maintained and protected. In addition, Section 305(b) of the CWA requires States to report on the overall status of their waters in attaining water quality standards. The inclusion of wetlands in water quality standards provides the basis for conducting both wetland specific and status and trend monitoring of State wetland resources. Information gathered from the 305(b) reports may also be used to update and refine the designated wetland uses. The monitoring of wetlands is made difficult by limitations in State resources. Where regulated activities impact wetlands or other surface waters, States should provide regulatory incentives and negotiate monitoring responsibilities of the party conducting the regulated activity.

Monitoring of activities impacting specific wetlands may include several approaches. Monitoring methods involving biological measurements, such as plant, macroinvertebrate and fish (e.g., biomass and diversity indices), have shown promise for monitoring stream quality (Plafkin, et al. 1989). These types of indicators have not been widely tested for wetlands; see Section 7.1. However, the State of Florida has developed biological criteria as part of their regulations governing the discharge of municipal wastewater to wetlands.⁵ The States are encouraged to develop and test the use of biological indicators. Other more traditional methods currently applied to other surface waters, including but not limited to the use of water quality criteria, sediment quality criteria and whole effluent toxicity, are also available for conducting monitoring of specific wetlands.

Discharges involving persistent or bioaccumulative contaminants may necessitate the monitoring of the fate of such contaminants through wetlands and their impacts on aquatic life and wildlife. The exposure of birds and mammals to these contaminants is accentuated by the frequent use of wetlands by wildlife and the concentration of contaminants in wetlands through sedimentation

and other processes. States should conduct monitoring of these contaminants in wetlands, and may require such monitoring as part of regulatory activities involving these contaminants.

Status and trend monitoring of the wetland resources overall, may require additional approaches; see Section 3.1. Given current gaps in scientific knowledge concerning indicators of wetland quality, monitoring of wetlands over the next few years may focus on the spatial extent (i.e., quantity) and physical structure (e.g., plant types, diversity and distribution) of wetland resources. The tracking of wetland acreage and plant communities using aerial photography can provide information that can augment the data collected on specific activities impacting wetlands, as discussed above.

EPA has developed guidance on the reporting of wetland conditions for the Section 305(b) program entitled Guidelines for the Preparation of the 1990 State Water Quality Assessment 305(b) Report, (USEPA 1989b). When assessing individual specific wetlands, assessment information should be managed in an automated data system compatible with the Section 305(b) Waterbody System. In addition, the NWI program provides technical procedures and protocols for tracking the spatial extent of wetlands for the U.S. and subregions of the U.S. These sources provide the framework for reporting on the status and trends of State wetland resources.

6.4 Mixing Zones and Variances

The guidance on mixing zones in the Water Quality Standards Handbook and the Technical Support Document for Water Quality-based Toxics Control (TSD) (USEPA 1985b) applies to all surface waters, including wetlands. This includes the point of application of acute and chronic criteria. As with other surface waters, mixing zones may be granted only when water is present, and may be developed specifically for different water types. Just as mixing zone procedures are often different for different water types and flow regimes (e.g., free flowing streams versus lakes and estuaries), separate procedures also may be developed specifically for wetlands. Such procedures should meet the requirements contained in the TSD.

As in other State waters, variances may be granted to discharges to wetlands. Variances must meet one or more of the six requirements for the removal of a designated use (40 CFR Part 131.10(g)) and must fully protect any existing uses of the wetland.

7.0 FUTURE DIRECTIONS

EPA's Office of Water Regulations and Standards' planning document (Water Quality Standards Framework, (USEPA Draft 1989e)) identifies the major objectives for the program and the activities necessary to meet these objectives. Activities related to the development of water quality standards for wetlands are separated into two phases: (1) phase 1 activities to be developed by the States by the end of FY 1993, discussed above, and (2) phase 2 activities that will require additional research and program development, which are discussed below.

7.1 Numeric Biological Criteria for Wetlands

Development of narrative biological criteria are included in the first phase of the development of

water quality standards for wetlands; see Section 5.1.2. The second phase involves the implementation of numeric biological criteria. This effort requires the detailed evaluation of the components of wetland communities to determine the structure and function of unimpaired wetlands. These measures serve as reference conditions for evaluating the integrity of other wetlands. Regulatory activities involving discharges to wetlands (e.g., CWA Sections 402 and 404) can provide monitoring data to augment data collected by the States for the development of numeric biological criteria; see Section 7.4. The development of numeric biological criteria for wetlands will require additional research and field testing over the next several years.

Biological criteria are based on local and regional biotic characteristics. This is in contrast to the nationally based chemical specific aquatic life criteria developed by EPA under controlled laboratory conditions. The States will have primary responsibility for developing and implementing biological criteria for their surface waters, including wetlands, to reflect local and regional differences in resident biological communities. EPA will work closely with the States and the EPA Office of Research and Development to develop and test numeric biological criteria for wetlands. Updates on this work will be provided through the Office of Water Regulations and Standards, Criteria and Standards Division's regular newsletter.

7.2 Wildlife Criteria

Wetlands are important habitats for wildlife species. It is therefore important to consider wildlife in developing criteria which protect the functions and values of wetlands. Existing chemical specific aquatic life criteria are derived by testing selected aquatic organisms by exposing them to contaminants in water. Although considered protective of aquatic life, these criteria often do not account for the bioaccumulation of these contaminants, which may cause a major impact on wildlife using wetland resources. Except for criteria for PCB, DDT, selenium and mercury, wildlife have not been included during the development of the national aquatic life criteria.

During the next three years, the Office of Water Regulations and Standards is reviewing aquatic life water quality criteria to determine whether adjustments in the criteria and/or alternative forms of criteria (e.g., tissue concentration criteria) are needed to adequately protect wildlife species using wetland resources. Since wetlands may not have open surface waters during all or parts of the year, alternative tissue based criteria based on contaminant concentrations in wildlife species and their food sources may become important criteria for evaluating contaminant impacts in wetlands; particularly those that bioaccumulate. Based on evaluations of current criteria and wildlife at risk in wetlands, national criteria may be developed.

7.3 Wetlands Monitoring

EPA's Office of Water Regulations and Standards is developing guidance for EPA and State surface water monitoring programs that will be issued by the end of FY 1990. This guidance will (1) encourage States to use monitoring data in a variety of program areas to support water quality management decisions, and (2) provide examples of innovative monitoring techniques through the use of case studies. The uses of data pertinent to wetlands that will be discussed include:

- refining use classification systems by developing physical, chemical and biological water quality criteria, goals and standards that account for regional variation in attainable conditions,
- identifying high-quality waters deserving special protection,
- using remote sensing data,
- using integrated assessments to detect subtle ecological impacts, and
- identifying significant nonpoint sources of pollution that will prevent attainment of uses.

One or more case studies will address efforts to quantify the extent of a State's wetlands and to identify sensitive wetlands through their advance identification (USEPA 1989a).

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- 4 U.S. Environmental Protection Agency. 1983a. Technical Support Manual: Waterbody Surveys and Assessments for Conducting Use Attainability Analyses. Office of Water Regulations and Standards, Washington, DC.
- 4 _____. 1983b. Water Quality Standards Handbook. Office of Water Regulations and Standards, Washington, DC.
- 4 _____. 1984a. Technical Support Manual: Waterbody Surveys and Assessments for Conducting Use Attainability Analyses. Vol II. Estuarine Systems. Office of Water Regulations and Standards, Washington, DC.
- 4 _____. 1984b. Technical Support Manual: Waterbody Surveys and Assessments for Conducting Use Attainability Analyses. Vol III. Lake Systems. Office of Water Regulations and Standards, Washington, DC.
- 4 _____. 1985a. Questions and Answers on: Antidegradation. Office of Water Regulations and Standards, Washington, DC.
- 5 _____. 1985b. Technical Support Document for Water Quality-based Toxics Control. Office of Water Enforcement and Permits, Washington, DC.
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- 2 _____. 1990c. National Guidance, Wetlands and Nonpoint Source Control Programs. Office of Water Regulations and Standards, Washington, DC.

Sources of Documents

- 1 USEPA, Office of Wetlands Protection
Wetlands Strategies and State Programs Division
401 M St., S.W. (A-104F)
Washington, DC 20460
(202) 382-5048
- 2 USEPA, Office of Water Regulations and Standards
Assessment and Watershed Protection Division
401 M St., S.W. (WH-553)
Washington, DC 20460
(202) 382-7040
- 3 National Technical Information Service (NTIS)
5285 Front Royal Road
Springfield, VA 22116
(703) 487-4650
- 4 USEPA, Office of Water Regulations and Standards
Criteria and Standards Division
401 M St., S.W. (WH-585)
Washington, DC 20460
(202) 475-7315

5 Out of print. A revised Technical Support Document for Water Quality-based Toxics Control will be available October 1990 from:

Office of Water Enforcement and Permits
Permits Division
401 M St., S.W. (EN-336)
Washington, DC 20460

6 U.S. Government Printing Office
North Capitol St., N.W.
Washington, DC 20401
(202) 783-3238
a Order No. 024-010-00524-6
b Order No. 955-002-0000-8

7 USEPA, Water Policy Office
401 M St., S.W. (WH-556)
Washington, DC 20460
(202) 382-5818

8 USEPA, Office of Research and Development
Environmental Research Laboratory 200 SW 35th St.
Corvallis, OR 97333
(503) 420-4666

9 USEPA, Office of Municipal Pollution Control
401 M St., S.W. (WH-546)
Washington, DC 20460
(202) 382-5850

10 The Conservation Foundation
1250 Twenty-Fourth St., N.W.
Washington, DC 20037
(202) 293-4800

11 U.S. Army, Corps of Engineers
Wetlands Research Program
(601) 634-3774

12 USEPA, Office of Research and Development
Environmental Research Laboratory
Duluth, MN 55804
(218) 780-5549

APPENDIX A - GLOSSARY

Ambient Monitoring - Monitoring within natural systems (e.g., lakes, rivers, estuaries, wetlands) to determine existing conditions.

Created Wetland - A wetland at a site where it did not formerly occur. Created wetlands are designed to meet a variety of human benefits including, but not limited to, the treatment of water pollution discharges (e.g., municipal wastewater, storm water, etc.) and the mitigation of wetland losses permitted under Section 404 of the Clean Water Act. This term encompasses the term "constructed wetland" as used in other EPA guidance and documents.

Enhancement - An activity increasing one or more natural or artificial wetland functions. For example, the removal of a point source discharge impacting a wetland.

Functions - The role wetlands serve which are of value to society or the environment.

Habitat - The environment occupied by individuals of a particular species, population or community.

Hydrology - The science dealing with the properties, distribution and circulation of water both on the surface and under the earth.

Restoration - An activity returning a wetland from a disturbed or altered condition with lesser acreage or functions to a previous condition with greater wetland acreage or functions. For example, restoration might involve the plugging of a drainage ditch to restore the hydrology to an area that was a wetland before the installation of the drainage ditch.

Riparian - Areas next to or substantially influenced by water. These may include areas adjacent to rivers, lakes, or estuaries. These areas often include wetlands.

Upland - Any area that does not qualify as wetland because the associated hydrologic regime is not sufficiently wet to elicit development of vegetation, soils and/or hydrologic characteristics associated with wetlands, or is defined as open waters.

Waters of the U.S. - See Appendix B for Federal definition; 40 CFR Parts 122.2, 230.3, and 232.2.

Wetlands - Those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetland generally include swamps, marshes, bogs and similar areas. See Federal definition contained in Federal regulations: 40 CFR Parts 122.2, 230.3, and 232.2.

APPENDIX B

The federal definition of "waters of the United States" (40 CFR Section 232.2(q)) is:

(1) All waters which are currently used, were used in the past, or may be susceptible to use in interstate or foreign commerce, including all waters which are subject to the ebb and flow of the tide;

(2) All interstate waters including interstate wetlands;

(3) All other waters such as intrastate lakes, rivers, streams (including intermittent streams), mudflats, sandflats, wetlands, sloughs, prairie potholes, wet meadows, playa lakes, or natural ponds, the use, degradation or destruction of which would or could affect interstate or foreign commerce including any such waters:

(i) Which are or could be used by interstate or foreign travelers for recreational or other purposes; or

(ii) From which fish or shellfish could be taken and sold in interstate or foreign commerce;

(iii) Which are used or could be used for industrial purposes by industries in interstate commerce;*

(4) All impoundments of waters otherwise defined as waters of the United States under this definition;

(5) Tributaries of waters identified in paragraphs 1-4.

(6) The territorial sea;

(7) Wetlands adjacent to waters (other than waters that are themselves wetlands) identified in 1-6; waste treatment systems, including treatment ponds or lagoons designed to meet the requirements of CWA (other than cooling ponds as defined in 40 CFR § 423.11(m) which also meet criteria in this definition) are not waters of the United States.

(* Note: EPA has clarified that waters of the U.S. under the commerce connection in (3) above also include, for example, waters:

Which are or would be used as habitat by birds protected by Migratory Bird Treaties or migratory birds which cross State lines;

Which are or would be used as habitat for endangered species;

Used to irrigate crops sold in interstate commerce.)

APPENDIX C

INFORMATION ON THE ASSESSMENT OF WETLAND FUNCTIONS AND VALUES

Summary of Methodologies Prior to 1983
(Leonard and Clairain 1986)

Wetland Assessment Techniques Developed Since 1983
(USEPA 1989a)

- Wetlands Evaluation Technique (Adamus, et al. 1987). This nationally-applicable procedure has been used in at least 6 ADID's to date, mostly in its original form (known popularly as the "FHWA" or "Adamus" method). It has since been extensively revised and is available at no cost (with simple software) from the Corps of Engineers Wetlands Research Program (contact: Buddy Clairain, 601-634-3774). Future revisions are anticipated.
- Bottomland Hardwoods WET (Adamus 1987). This is a simplified, regionalized version of WET, applicable to EPA Regions 4 and 6. It is available from OWP (contact: Joe DaVia at 202-475-8795). Supporting software is being developed, and future revisions are anticipated.
- Southeastern Alaska WET (Adamus Resource Assessment 1987). This is also a simplified, regionalized version of WET.
- Minnesota Method (U.S. Army Corps of Engineers-St.Paul, 1988). This was a joint State-federal effort that involved considerable adaptation of WET. A similar effort is underway in Wisconsin.
- Onondaga County Method (SUNY-Syracuse 1987). This was adapted from WET by Smardon and others at the State University of New York.
- Hollands-Magee Method. This is a scoring technique developed by two consultants and applied to hundreds of wetlands in New England and part of Wisconsin (contact: Dennis Magee at 603-472-5191). Supporting software is available.
- Ontario Method (Euler et al. 1983). This is also a scoring technique, and was extensively peer-reviewed in Canada. (Contact: Valanne Glooschenko, 416-965-7641).
- Connecticut Method (Amman et al. 1986). This is a scoring technique developed for inland municipal wetland agencies.

- Marble-Gross Method (Marble and Gross 1984). This was developed for a local application in Connecticut.
- Habitat Evaluation System (HES) (Tennessee Dept. of Conservation 1987). This is a revised version of a Corps sponsored method used to evaluate Lower Mississippi wildlife habitat.

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APPENDIX D

Regional Wetland Program Coordinators, U.S. Environmental Protection Agency (USEPA)

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Idaho, Nevada, USFWS, Region 1
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Oklahoma, Texas USFWS, Region 2
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FTS: 474-2914

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Iowa, Michigan, USFWS, Region 3
Minnesota, Missouri, Fish and Wildlife Enhancement
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APPENDIX E

EXAMPLE OF STATE CERTIFICATION ACTION INVOLVING WETLANDS UNDER CWA SECTION 401

The dam proposed by the City of Harrisburg was to be 3,000 feet long and 17 feet high. The dam was to consist of 32 bottom hinged flap gates. The dam would have created an impoundment with a surface area of 3,800 acres, a total storage capacity of 35,000 acre feet, and a pool elevation of 306.5 feet. The backwater would have extended approximately eight miles upstream on the Susquehanna River and approximately three miles upstream on the Conodoguinet Creek.

The project was to be a run-of-the-river facility, using the head difference created by the dam to create electricity. Maximum turbine flow would have been 10,000 cfs (at a nethead of 12.5) and minimum flow would have been 2,000 cfs. Under normal conditions, all flows up to 40,000 cfs would have passed through the turbines.

The public notice denying 401 certification for this project stated as follows:

1. The construction and operation of the project will result in the significant loss of wetlands and related aquatic habitat and acreage. More specifically:

a. The destruction of the wetlands will have an adverse impact on the local river ecosystem because of the integral role wetlands play in maintaining that ecosystem.

b. The destruction of the wetlands will cause the loss of beds of emergent aquatic vegetation that serve as habitat for juvenile fish. Loss of this habitat will adversely affect the relative abundance of juvenile and adult fish (especially smallmouth bass).

c. The wetlands which will be lost are critical habitat for, among other species, the yellow crowned night heron, black crowned night heron, marsh wren and great egret. In addition, the yellow crowned night heron is a proposed State threatened species, and the marsh wren and great egret are candidate species of special concern.

d. All affected wetlands areas are important and, to the extent that the loss of these wetlands can be mitigated, the applicant has failed to demonstrate that the mitigation proposed is adequate. To the extent that adequate mitigation is possible, mitigation must include replacement in the river system.

e. Proposed riprapping of the shoreline could further reduce wetland acreage. The applicant has failed to demonstrate that there will not be an adverse water quality and related habitat impact resulting from riprapping.

f. Based upon information received by the Department, the applicant has underestimated the total wetland acreage affected.

2. The applicant has failed to demonstrate that there will be no adverse water quality impacts from increased groundwater levels resulting from the project. The ground water model used by the applicant is not acceptable due to erroneous assumptions and the lack of a sensitivity analysis. The applicant has not provided sufficient information concerning the impact of increased groundwater levels on existing sites of subsurface contamination, adequacy of subsurface sewage system replacement areas and the impact of potential increased surface flooding. Additionally, information was not provided to adequately assess the effect of raised groundwater on sewer system laterals, effectiveness of sewer rehabilitation measures and potential for increased flows at the Harrisburg wastewater plant.

3. The applicant has failed to demonstrate that there will not be a dissolved oxygen problem as a result of the impoundment. Present information indicates the existing river system in the area is

sensitive to diurnal, dissolved oxygen fluctuation. Sufficient information was not provided to allow the Department to conclude that dissolved oxygen standards will be met in the pool area. Additionally, the applicant failed to adequately address the issue of anticipated dissolved oxygen levels below the dam.

4. The proposed impoundment will create a backwater on the lower three miles of the Conodoguinet Creek. Water quality in the Creek is currently adversely affected by nutrient problems. The applicant has failed to demonstrate that there will not be water quality degradation as a result of the impoundment.

5. The applicant has failed to demonstrate that there will not be an adverse water quality impact resulting from combined sewer overflows.

6. The applicant has failed to demonstrate that there will not be an adverse water quality impact to the 150 acre area downstream of the proposed dam and upstream from the existing Dock Street dam.

7. The applicant has failed to demonstrate that the construction and operation of the proposed dam will not have an adverse impact on the aquatic resources upstream from the proposed impoundment. For example, the suitability of the impoundment for smallmouth bass spawning relative to the frequency of turbid conditions during spawning was not adequately addressed and construction of the dam and impoundment will result in a decrease in the diversity and density of the macroinvertebrate community in the impoundment area.

8. Construction of the dam will have an adverse impact on upstream and downstream migration of migratory fish (especially shad). Even with the construction of fish passageways for upstream and downstream migration, significant declines in the numbers of fish successfully negotiating the obstruction are anticipated.

9. The applicant has failed to demonstrate that there will not be an adverse water quality impact related to sedimentation within the pool area.

1 Different offices within EPA use different terminology (e.g., "created" or "constructed") to describe wastewater treatment wetlands. This terminology is evolving; for purposes of this guidance document, the terms are interchangeable in meaning.

2 Information on the availability of draft and final maps may be obtained for the coterminous U.S. by calling 1-800-USA-MAPS or (703) 860-6045 in Virginia. In Alaska, the number is (907) 271- 4159 and in Hawaii the number is (808) 548-2861. Further information on the FWS National Wetlands Inventory (NWI) may be obtained from the FWS Regional Coordinators listed in Appendix D.

3 For additional information on digital wetland data contact:
USFWS; National Wetlands Inventory Program

9720 Executive Center Drive, Monroe Building, Suite 101
St. Petersburg, FL, 33702, (813) 893-3624, FTS 826-3624

4 USFWS; Wetlands Values Database, National Ecology Research Center, 4512 McMurray, Ft. Collins CO, 80522; (303) 226-9407.

5 Florida Department of Environmental Regulations; State Regulations Part I, "Domestic Wastewater Facilities", Subpart C, "Design/Performance Considerations", 17-6.055, "Wetlands Applications".

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