

Water Rights, Water Quality & Water Solutions 🕖 in the West

In This Issue	STATE AND LOCAL COLLABORATION ON CLEAN WATER ACT INVESTMENT
	USING INTEGRATED PLANNING TO CHART A COURSE TOWARD ENVIRONMENTAL IMPROVEMENT
Infrastructure	hy Tom Stiles, Kansas Department of Health and Environment
Planning 1	& A gron Witt Johnson County Wastewater
	& Aaron witt, Johnson County Wastewater
	Textus duration
Uncertainty	Introduction
& Planning 12	By the end of 2018, Congress had passed H.K. 7279, the water infrastructure
	Improvement Act (WIIA), which President Trump subsequently signed on January 14,
	2019. The WIIA codified the US Environmental Protection Agency's (EPA's) 2012
Climata Changa &	Integrated Planning Framework as Section 402(s) of the federal Clean Water Act (CWA).
Weter Manage &	Applicable to municipal stormwater and wastewater management, the new CWA
water Management 25	Integrated Planning provision (see sidebar, below) allows priority infrastructure investment
	to be implemented through CWA National Pollutant Discharge Elimination System
	(NPDES) permits (see sidebar below). As an alternative to Consent Decrees and Orders,
	the new planning provision poses both opportunities and challenges for municipalities
	faced with having to upgrade aging infrastructure.
	This article describes how the new CWA Integrated Planning provision is being put to
Water Briefs 28	use in Kansas to address significant water quality management challenges.
Colordan 90	New CWA Integrated Planning Provision
Calendar 30	(s) INTEGRATED PLANS.—
	(1) DEFINITION OF INTEGRATED PLAN. — In this subsection, the term 'integrated plan'
	means a plan developed in accordance with the Integrated Municipal Stormwater and
	Wastewater Planning Approach Framework, issued by the Environmental Protection
	Agency and dated June 5, 2012.
Uncoming Storios	approved by the Administrator) shall inform municipalities of the opportunity to
Opcoming Stories.	develop an integrated plan that may be incorporated into a permit under this section.
	(3) SCOPE. —
Clean Water Act	(A) SCOPE OF PERMIT INCORPORATING INTEGRATED PLAN. – A permit
Rule Undate	issued under this section that incorporates an integrated plan may integrate
	all requirements under this Act addressed in the integrated plan, including
	requirements relating to –
	(I) a combined sewer overflow;
PFAS Update	(II) a capacity, management, operation, and maintenance program for sanitary sewer collection systems:
-	(iii) a municipal stormwater discharge:
Toxas Groundwater	(iv) a municipal wastewater discharge; and
iexas Groundwater	(v) a water quality-based effluent limitation to implement an applicable wasteload
Management	allocation in a total maximum daily load.
	(B) INCLUSIONS IN INTEGRATED PLAN An integrated plan incorporated into a
& More!	permit issued under this section may include the implementation of—
	(i) projects, including innovative projects, to reclaim, recycle, or reuse water; and
	(II) green Infrastructure.

	Background
Infrastructure	EPA'S 2012 INTEGRATED PLANNING FRAMEWORK
Planning	EPA cut its teeth on long-range planning and scheduling through its negotiations with many
I failing	municipalities over their Long-Term Control Plans (LTCPs) to address Combined Sewer Overflows (CSOs
F1 '11	- see sidebal). These LICPs were often incorporated and enforced unough Consent Decrees and Orders.
Flexible	through NPDES permits instead of relying on Consent Decrees. Such an approach was felt to be more
Implementation	flexible Construction on large capital improvement projects intended to address water quality concerns
	from wastewater and stormwater proceeds over extended periods of time. Added flexibility can be crucial
	to expediting the process.
	Large financial outlays are needed to bring larger municipalities into compliance with the Clean Water
Sequencing	Act. EPA's 2012 Integrated Planning Framework recognized the need for sequencing and scheduling of
	work — in part to accommodate financing. The Framework included principles for integrated planning to
	include: State requirements; CWA obligations; alternatives analyses; sustainable approaches; community
	impacts; financial strategies; and stakeholder input.
Plan Flomonte	The 2012 Framework identified six elements comprising an Integrated Plan:
I fall Elements	• A description of the water quality, human health and regulatory issues to be addressed;
	• A description of existing wastewater and stormwater systems under consideration and a characterization of their current performance:
	• A process for community stakeholder communication and involvement:
	• A process for identifying evaluating and selecting alternatives and proposing implementation
	schedules.
	• A process for evaluating the performance of projects identified in the plan; and,
	• A process for improving the plan through evaluation of new projects or modifying the sequence of
	projects and schedules.
	Regulatory Backdrop in Kansas
Integrated Plan	Under the original Integrated Planning Framework, one community in Kansas, the City of Lawrence
&	(City), developed a Wastewater Facilities Master Plan in 2012. That plan became the basis for an
NPDES Permit	collection system a new wastewater treatment plant to accommodate growth, and eventual rehabilitation/
	ungrading of its existing wastewater plant. The 2013 Integrated Plan was agreed upon between
	Lawrence and the Kansas Department of Health and Environment (KDHE) through a Memorandum of
	Understanding, signed at the beginning of 2014.
	The 2013 Integrated Plan was incorporated into the City's NPDES permit as supplemental information.
The Water Report	In this way, the Integrated Plan guided the requirements of the NDPES permit in the context of long-term
(ISSN 1946-116X)	planning, scheduling, and construction of the city's wastewater system. The permit retained the ability to
Envirotech Publications. Inc.	be modified over time as priorities shifted and new projects were introduced. The recently re-issued permit
260 North Polk Street,	(August 1, 2019) also retains these provisions.
Eugene, OR 97402	City region was in the midst of embarking on major capital expenditures in wastewater. Aiming to
Edite Denid Liebt	accommodate growth and redevelopment. County stewardship of the ratenavers' financial resources also
David Moon	included minimizing customer impacts and disruption.
Duvid moon	The County consolidated the wastewater systems in most of its cities over a 50-year span, 1945 – 1995.
Phone: 541/ 343-8504	The resulting wastewater system now covers 172 square miles and serves over 500,000 citizens in 16 cities,
Cellular: 541/ 517-5608	almost two-thirds of the County's population (Figure 1). The County operates six wastewater treatment
Fax: 541/ 683-82/9 email:	plants with a total design flow of 65 million gallons per day (MGD). Thirty-one pump stations along 2,350
thewaterreport@yahoo.com	miles of sewer line make up the collection system. The age of the system spans decades and drives much
website:	of the emphasis the county has made on asset management, inflow/inflitration repair, and replacement of an
www.TheWaterReport.com	agnig sewer system (rigule 2).
Subscription Rates:	
\$299 per year	Combined Sewer Overflows (CSOs)
Multiple subscription rates	Adapted from EPA website:
available.	wastewater into one pipe. Under normal conditions, it transports all of the wastewater it collects to
Postmaster: Please send	a sewage treatment plant for treatment, then discharges to a water body. The volume of wastewater
address corrections to	can sometimes exceed the capacity of the CSS or treatment plant (e.g., during heavy rainfall events
The Water Report,	or snowmelt). When this occurs, untreated stormwater and wastewater discharges directly to nearby
260 North Polk Street,	Combined sewer overflows (CSOs) contain untreated or partially treated human and industrial
Eugene, OK 97402	waste, toxic materials, and debris as well as stormwater. They are a priority water pollution concern for
Copyright© 2020 Envirotech	the nearly 860 municipalities across the US that have CSSs.
Publications, Incorporated	See: www.epa.gov/npdes/combined-sewer-overflows-csos



Nutrient Reduction

External regulatory pressure on the County came from both KDHE and EPA. KDHE, as part of its long-term Nutrient Reduction Framework, was pushing major (> 1 MGD) wastewater treatment plants to install Biological Nutrient Removal technology to meet goals of 1 milligrams per liter (mg/l) of total



phosphorus and 10 mg/l of total nitrogen. KDHE, as part of the Nutrient Reduction Framework, had been listing streams for total phosphorus impairments and subsequently, developing Total Maximum Daily Loads (TMDLs) for phosphorus on those streams (see Figure 3, next page). One such stream was the Kansas River, into which two Johnson County plants discharged. Those plants, the Mill Creek Regional plant (Mill Creek) and the Nelson Complex (Nelson), received Wasteload Allocations for phosphorus of 156.6 and 125.3 pounds per day, respectively. Over 2008-2015, the output of phosphorus averaged 235 pounds per day for Mill Creek and 359 pounds per day from Nelson. They are the next two largest dischargers of phosphorus into the lower Kansas River, behind the City of Lawrence's Kaw River wastewater plant. Additionally, in 2018 Kansas adopted EPA's 2013 Ammonia criteria, thereby requiring wastewater treatment plants to meet more stringent acute and chronic ammonia limits in their effluent.

On their eastern flank, the County had two wastewater treatment plants — Middle Basin and Tomahawk Creek — discharging into Indian Creek before it flowed into Kansas City, Missouri. KDHE had flagged Indian Creek for excessive phosphorus and had already established a TMDL for nitrate in 2008. Middle Basin had undergone some upgrades in treatment in past years, but Tomahawk Creek continued to load the stream with nutrients. Additionally, during wet weather, wastewater flows in excess of the plant's capacity were shunted by pipeline to Kansas City, Missouri's wastewater treatment plant on the Blue River, prior to its confluence with the Missouri River. Permits for both plants had previously been objected to by EPA, principally because of inadequate management of wet weather flows which overwhelm the plants' hydraulic capacity.



Infrastructure Planning

Expanded Treatment Plant

Wet Weather Management

Through negotiations between the County, KDHE, and EPA, plans were made to build a new, expanded treatment plant at the Tomahawk Creek site. The new plant would reconcile the wet weather flow issue by incorporating auxiliary treatment of such flows and discontinuing sending excess flows to the Kansas City, Missouri, wastewater treatment plant. In lieu of establishing a phosphorus TMDL on Indian Creek, KDHE accepted plans by the county to install Enhanced Nutrient Removal (with goals of 0.5 mg/l phosphorus and 5 mg/l nitrogen). This upgrade in technology also addressed anti-degradation concerns for Indian Creek stemming from the increased capacity and loading from the new plant (from 10 MGD to 19 MGD). Subsequently, EPA removed its objection to the NPDES permit and KDHE issued a new permit to capture the details of the new wastewater plant. Johnson County initiated a \$340 million capital improvement project to tear down and rebuild the Tomahawk Plant, the largest capital outlay in the County's history.

Further complicating the situation, EPA had objected to the NPDES permit for the Nelson Complex and that permit, as of 2019, had been administratively extended for 15 years. EPA's objections centered on wet weather management, specifically four Peak Extraneous Flow Treatment Facilities (PEFTFs), which would divert excessive high flows in the sanitary sewer, hold that waste, disinfect it with chlorine then dechlorinate the wastewater before discharging to local tributaries within the county (*see* Figure 4). In EPA's view, those four facilities were Sanitary Sewer Overflows which are illegal and could not be sanctioned by a NPDES permit. However, the PEFTFs were necessary to maintain the integrity of the collection system and receiving wastewater plants, while reducing wet weather basement backups. The impasse could not be resolved within the terms of a five-year NPDES permit.



Development of the Integrated Plan

Major Costs

Myriad Demands

The combination of local needs for asset management — while meeting the regulatory and environmental demands of the state and Federal water quality agencies — required large capital expenditures to be accomplished in a short time. Meeting these simultaneous demands did not appear to be socially, politically, or technically feasible.

Johnson County began an analysis and study into how to orchestrate multiple infrastructure projects to meet the myriad of demands placed on the utility. The County's consultant, HDR Engineering, was versed in Integrated Planning due to its work with Jefferson City, Missouri. The County's study was bifurcated to look at: 1) upgrading the treatment capacity of the wastewater system; and 2) managing and replacing the vulnerable aspects of the large regional collection system.



in expenditures, with wastewater treatment plant upgrades and renewal of the collection system and its pump stations comprising three-fourths of those costs (Figure 6). The investments are scheduled in five-year increments, which aids in the affordability and rate structure required to support the long-term plan (Figure 7).

Expansion and 13%

Elimination

Misc. Projects

\$190M

System

\$268M

Major Facility

\$2.07 BILLION IN 2018\$

1 Cost includes \$173 million expenditure for Tomahawk Creek WWTF prior to 2020.

Upgrades

\$1.08B



Infrastructure Planning

In 2019, the county began briefing KDHE on the underlying assumptions and analyses data going into optimizing project selection for the largest net benefits for customers. The county concluded that eventual elimination of the PEFTFs was economically sound and provided more sustainable community service over the long run. The plans sequenced the completion of upgrades to the wastewater treatment plants in five-year increments with Tomahawk Creek completed by 2024, Nelson Complex completed by 2029, and Mill Creek completed by 2034 (Figure 8).

Figure 8: Five-Year Stages of the Johnson County Integrated Plan & Associated Projects

JOHNSON COUNTY WASTEWATER INTEGRATED PLAN 25-YEAR SCHEDULE

	CAPITAL COST	YEARS 1 - 5	YEARS 6 - 10	YEARS 11 - 15	YEARS 16 - 20	YEARS 21 - 25
	(2018 \$ x Million)	2020 - 2024	2025 - 2029	2030 - 2034	2035 - 2039	2040 - 2044
Major Facility Upgrades						
Tomahawk WWTF Upgrade	\$3341					
Nelson Complex WWTF Upgrade	\$350					
Mill Creek WWTF Expansion and Upgrade	\$250					
Blue River WWTF Expansion	\$150					
Collection System Renewal with Public Sector I/I Reduction	\$108					
WWTF & PS Renewal						
PEFTF Interim Upgrades	\$6					
WWTF & PS Renewal	\$330					
System Capacity and PEFTF Elimination						
Turkey Creek Storage	\$24					
Brush Creek Storage	\$25					
Mill Creek Storage	\$25					
Collection System Upgrades	\$61					
I/I Reduction	\$18					
PEFTF Elimination - Martway	\$18					
PEFTF Elimination - Turkey Creek	\$19					
PEFTF Elimination - 75th and Nall	_2					
PEFTF Elimination - Belinder	-					
Miscellaneous Projects/Expansion	\$268					
Planning and Support	\$86					
TOTAL	\$2.07 Billion					2

Cost includes \$173 million expenditure for Tomahawk Creek WWTF prior to 2020. Addressed with Brush Creek Storage Project.

State Agreement	KDHE, for its part, had to accept deferred implementation of its TMDLs and corresponding nutrient reduction to the Kansas River across multiple NPDES permit cycles. KDHE was willing to abide by this reality, recognizing the tremendous cost burden necessary for that implementation while gaining a commitment that the goals of the TMDLs would be met over the long run. The Integrated Plan asserted
	long-term, system-wide progress in asset improvement and environmental benefits while moderating the
	(BNR) technology installation (BNR is a process used for nitrogen and phosphorus removal from wastewater)
Delay Factors	EPA was briefed on the plan and its recommended schedule of activities several times in mid-2019. The Integrated Plan detailed the elimination of three of the four PEFTFs in the next $15 - 25$ years. The
, ,	decision to delay the elimination of Belinder PEFTS was driven by: its costs; its marginal improvement to system sustainability; and to defer disruption to the most established neighborhoods in the county.
	Delaying this project will also allow the County to evaluate the effectiveness of the numerous planned
Log-Range Commitment	Infiltration/Inflow removal, storage, and relief sewer projects that are tributary to the Belinder PEFTF. Aligning with KDHE, EPA accepted a long-range commitment to achieving its objectives, which addressed EPA's original objections to the Nelson Complex NPDES permit over a decade ago. The Integrated Plan was completed as Phase 1 in September of 2019 and institutional arrangements with the regulatory agencies were made to incorporate the Integrated Plan into the permitting process.
Delay Factors Log-Range Commitment	long-term, system-wide progress in asset improvement and environmental benefits while moderating the stand-alone priority of individual projects, e.g., PEFTF elimination; and Biological Nutrient Removal (BNR) technology installation (BNR is a process used for nitrogen and phosphorus removal from wastewater). EPA was briefed on the plan and its recommended schedule of activities several times in mid-2019. The Integrated Plan detailed the elimination of three of the four PEFTFs in the next 15 – 25 years. The decision to delay the elimination of Belinder PEFTS was driven by: its costs; its marginal improvement to system sustainability; and to defer disruption to the most established neighborhoods in the county. Delaying this project will also allow the County to evaluate the effectiveness of the numerous planned Infiltration/Inflow removal, storage, and relief sewer projects that are tributary to the Belinder PEFTF. Aligning with KDHE, EPA accepted a long-range commitment to achieving its objectives, which addressed EPA's original objections to the Nelson Complex NPDES permit over a decade ago. The Integrated Plan was completed as Phase 1 in September of 2019 and institutional arrangements with the regulatory agencies were made to incorporate the Integrated Plan into the permitting process.

COUNTY FOR

	The KDHE Consent Order
Infrastructure	The Integrated Plan will be revisited in five-year increments with possible revisions to reflect altered
Diamaina	priorities and conditions. KDHE agreed to incorporate the Integrated Plan within a Consent Order. Kansas
Planning	law limits the terms of Schedules of Compliance in NPDES permits to five years. Consent Orders,
	however, allow for an expanded timeline of accomplishments across permit cycles. KDHE's Consent
Adaptation	Order, executed on October 23, 2019, requires Johnson County to implement the Integrated Report
	(attached as an Appendix to the Order) in phases, pursuant to the schedules described within the Integrated
Consent Order	Plan.
Use	The Consent Order specifically requires Johnson County, over the next five years, to:
	• Establish an implementation schedule for nitrogen removal at Mill Creek to achieve final ammonia
Requirements	limits and a total nitrogen goal of 10 mg/l;
Requirements	• Establish an implementation schedule for adding total phosphorus removal at Mill Creek to achieve
	the Wasteload Allocation assigned to Mill Creek at 156.6 pounds per day, with an effective total
	phosphorus goal of 1 mg/l;
	• Establish an implementation schedule for nitrogen removal at the Nelson Complex to achieve ammonia
	limits and the 10 mg/l total nitrogen goal;
	• Establish an implementation schedule for total phosphorus removal at the Nelson Complex to achieve
	the Wasteload Allocation of 125.3 pounds per day at that facility; and
	• Establish an implementation schedule for the interim improvement in PEFTF disinfection and
	dechlorination at all four PEFTFs prior to their eventual elimination.
	The Consent Order states:
Progress Reports	The IP [Integrated Plan] shall be the basis for subsequent agreed upon improvements and schedules
0 1	between JCW [Johnson County Wastewater] and KDHE, with annual reports on progress provided to
	KDHE. Such reports shall be in addition to any other reports required by JCW's permits.
	Each January 31st, Johnson County will report on:
	• Major work activities performed during the prior year;
	• A summary of any sampling results per discharge event from each PEFIF for E. coll and total residual
	chlorine;
	• Any proposed updates to the approved integrated Plan, and • Major work activities planned for the next three years
	• Major work activities plained for the next time years.
Plan Updates	at least every five years. Such undates shall be based upon greater system understanding, results of
	program and project implementation and any undated program/project benefit evaluation. The first 5-year
	Integrated Plan undate will be required no later than December 31, 2024 "
	The Integrated Plan becomes an enforceable part of the Consent Order as do any KDHE-Approved
	undates to the plan Furthermore, the County shall continue to operate the Mill Creek Facility and Nelson
Enforcement	Complex Facility in accordance with the applicable permits issued by KDHE. In this manner, the Consent
	Order remains fixed in force over the 25-year span of the Integrated Planning horizon, with the plan itself
25-Year Span	(as an Appendix to the Order) being the only item that changes as time goes on.
-	With the development of the Integrated Plan and its incorporation into an enforceable Consent
EPA Objections	Order, EPA held a hearing to address its original objections to the Nelson Complex NPDES permit. They
,	subsequently found that while the PEFTFs are still in existence, the Integrated Plan detailed an approach to
	their ultimate demise. EPA removed their objections to permitting the Nelson Complex, clearing the way
	for KDHE to finally permit the Nelson Complex as well as the Mill Creek Facility which had its NPDES
	permit administratively extended for over a year.
	KDHE NPDES Permits for Mill Creek and Nelson Facilities
	KDHE issued NPDES permits for both the Mill Creek and Nelson Facilities on February 1, 2020.
Dual Operations	The Nelson permit reflects the existing dual treatment operations from adjoining sewer drainages in the
	County with a design flow of 15 MGD. The permit describes the future upgraded facility, including peak
	instantaneous design flow capacities of 52 MGD initially, up to 122 MGD at the final phase of expansion.
	Additionally, BNR activated sludge will be installed along with anaerobic digestion of biosolids
Permit Features	prior to land application. Final limits are in place for: ammonia; biological oxygen demand (BOD); total
	suspended solids (TSS); E. coli; total residual chlorine; as well as a mass limit for total phosphorus that is
	consistent with the Wasteload Allocation of 125.3 pounds per day established by the Kansas River TMDL.
	Whole effluent toxicity testing and scans for priority pollutants are required at times during the five-year
	term of the permit.

	A Schedule of Complia
Infrastructure	schedules outlined by the In
Dlandar	to upgrade the Nelson Com
Planning	[19-E-5 BOW], the County
	upgraded plant.
Compliance	The NPDES permit for
Schedule	system operated in parallel.
	deal with peak flows up to 1
Mill Creek	typical pollutants are establi
NPDES Permit	Those permit limits are coud
	for that of the Nelson Comp
	purview of the Consent Ord
Comment Denie 1	Both permits expire on
Current Period	Order outlines the next suite
Activities	five-year period $(2020 - 202)$
	and initial construction at th
	at several plants; initiation of

A Schedule of Compliance is placed in the permit that links achievement of the permit limits to the schedules outlined by the Integrated Plan. Within one year following substantial completion of the project to upgrade the Nelson Complex treatment plant as addressed by the Integrated Plan and the Consent Order [19-E-5 BOW], the County shall achieve compliance with the final permit limits at the outfall of the upgraded plant.

The NPDES permit for Mill Creek covers both its on-site aerated lagoon and its activated sludge system operated in parallel. A combined design flow of 18.75 MGD is slated for the plant with capacity to deal with peak flows up to 108 MGD, mostly by detention at the aerated lagoon. Similar final limits for the typical pollutants are established in the permit as is 156.6 pounds per day mass limit for total phosphorus. Those permit limits are couched by the Schedule of Compliance (SOC) in the permit. The SOC is similar for that of the Nelson Complex permit and tied back to the scheduling of the Integrated Plan under the purview of the Consent Order.

Both permits expire on January 31, 2025, after the next iteration of the Integrated Plan and Consent Order outlines the next suite of activities to occur over the following five-year period. During the current five-year period (2020 - 2024), activities will include: construction at the Tomahawk Creek plant; design and initial construction at the Nelson Complex; biosolids improvement; expansion of wet weather capacity at several plants; initiation of the planning study at the Mill Creek plant; renewals of pump stations; and force main improvements and improvements at the PEFTFs (Figure 9).

Figure 9: First Five Years of Projects under Johnson County Integrated Plan

JOHNSON COUNTY WASTEWATER INTEGRATED PLAN 5-YEAR ACTION PLAN

	CAPITAL COST ¹ (2018 \$ x Million)	YEAR 1	YEAR 2	YEAR 3	YEAR 4	YEAR 5
Tomahawk Creek WWTF Construction	\$162	2020	LULI	LULL	2025	2024
Nelson Complex WWTF Upgrade - Design/Begin Construction	\$76					
Nelson Biosolids Improvements and Renewal	\$10.1					
Blue River WWTF Wet Weather Capacity Improvements	\$20.1					
Middle Basin FOG Receiving, Biosolids Improvements, and Renewal	\$4.2		1			
New Century WWTF Wet Weather Capacity Improvements	\$4.1					
Mill Creek WWTF Planning Study	\$0.75		1			
PEFTF Disinfection and Pumping Upgrades	\$5.6					
Turkey Creek Pump Station Renewal	\$4.0					
Belinder Pump Station Renewal	\$2.8					
Collection System Renewal with Public Sector I/I Reduction	\$28.6					
Mill Creek Conveyance Improvements and Storage Facility	\$28.8					
Dykes Branch Pump Station and Forcemain Improvements	\$10.1					
Leawood Service Area Improvements	\$15.5					
Other WWTF and Pump Station Renewal	\$38					
Private I&I Source Disconnections	\$2.3					
Tomahawk Creek Service Area Planning/Optimization	\$0.5					
Leawood Service Area Planning/Optimization	\$0.5		<u> </u>			
Collection System and Facilities Asset Management Programs	\$2.5					
Planning and Support	\$14.9					
Regional Service Enhancements	\$28.2					
Other Projects and Programs	\$13					
TOTAL	\$473					
1 Costs do not include project expenditures that occur prior to 2020 or after 2024.						
LEGEND Major Facility Upgrades WWTF & PS Renewal Planning and Support Collection System Renewal System Capacity and PEFTF Elimination System Expansion and Misc. Projects			F)			

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	Conclusion
Infrastructure	PROSPECTUS OF INTEGRATED PLANNING IN KANSAS
Planning	KDHE is bullish on using integrated planning to establish long-range commitments to its regulatory
I laining	mission under the Clean Water Act, particularly for those major metropolitan areas whose wastewater
Long Pango	discharges comprise a majority of the pollutant loading into Kansas waters. Integrated planning allows
Long-Kange	for sequential scheduling of large capital improvement projects for wastewater treatment. Additional
Commitments	incorporation of: collection system improvements; asset management; wet weather treatment; and urban
Consont Ordon	with Integrated Planning — using Consent Orders as the regulatory and enforcement vehicle to oversee
Consent Order	implementation of those plans — is flexible because of the orders' expanded regulatory horizon beyond the
Approach	typical five-year cycle of NPDES permits and their Schedules of Compliance. Integrated planning creates
	regulatory certainty for the permittee by committing to projects over that extended horizon, e.g., 25-years.
Containty	Integrated planning commitments assure the regulatory agencies that progress will continue in a
	deliberate and steady manner. By being patient, regulatory agencies see an improved probability that their
Adaptation	regulatory objectives — permit limits, nutrient reduction, TMDL achievement, etc. — will be successfully
Adaptation	achieved. Integrated planning invokes a sense of adaptive management by allowing for experimentation
	with certain approaches, observation of the effects, and revisiting those approaches at each five-year review
	At the local level, the customer base is assured of avoiding rate spikes to cover the annualized capital
Avoided	costs of each project. By allowing utilities to develop an affordable long-term plan that includes system
Rate Spikes	reinvestment and meeting water quality needs, the political-reaction to regulatory mandates becomes less
Rute opikes	contentious. In time, Integrated Planning may be expanded in scope by utilities to manage competing
	interests for revenue. Stormwater management and Combined Sewer Overflows are already considered
	as likely candidates for Integrated Planning to balance their capital costs with those of the wastewater
	operations.
Drinking Water	The growing demands under the Safe Drinking water Act might be ripe for integration with their counterparts on the wastewater side as municipalities graphle with the costs of compliance for both
Integration	Federal water laws Integrated Planning might also be used to allow wastewater and stormwater treatment
	programs to explore market-placed solutions — such as water quality trading, watershed management, and
	subsidized best management practice implementation — for cost-effective pollutant load reduction on a
	watershed scale.
Immediate	Not all situations warrant an Integrated Planning Approach. Some environmental issues demand
Demands	more immediate action. Some utility staff are loath to commit future staff or city councils to improvement
	projects beyond the immediate planning and budget norizons of city hall. Some regulatory agencies may
	Nonetheless based on the Johnson County experience. KDHE is recentive to using Integrated
	Planning to implement environmental improvement beyond the scope of traditional command-and-control
Detailed	permitting. As such, there must to be a commitment of leadership and dedicated staff to see an Integrated
Development	Plan is developed in sufficient detail to outline the scheduled expectations of investment, improvement, and
	compliance within the context of the permitting process. For example, the Johnson County Integrated Plan
	involved KDHE staff with permit writing, compliance and enforcement, engineering review, water quality
	standards, and IMDL development. On top of that, agency leadership must make the accommodation of an
	In this situation, the effort naid off handsomely at the Federal State, and local levels, with the
	resolution of long-standing regulatory issues and a committed investment in the Kansas environment.
	For Additional Information:
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Tom Stiles, Director, Bu	ureau of Water, Kansas Department of Health and Environment, has overseen the Bureau of Water,
Director for three yea	an water Act and the Sate Drinking water Act in Kansas, since May 2019. Prior to that, he was Assistant rs and has been with KDHF since 1998 and Kansas government since 1982. He holds a RS in Watershed
Science from Colorad	do State University and a M.S. in Forest Hydrology from the University of Minnesota.
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Aaron Witt serves as the Chief Engineer at Johnson County Wastewater, in Kansas, and oversees engineering services and standards, asset management program, capital improvement program, and the sewer district creation process. He has been with the County for 20 years. He holds a B.S. in civil engineering and a master's in environmental engineering, both from the University of Kansas. He is a registered professional engineer in Kansas and Missouri.







gains or profit across future uncertainties. [The interested reader is referred to Daniel Kahneman and Amos Tversky seminal work on "Prospect Theory" (Kahneman and Tversky, 1979), which built the foundations of our current understanding on how individuals make decisions under uncertainty (and won Kahneman the 2002 Nobel Prize in Economics).]

Regardless of how one approaches uncertainty, implementing a rational approach to incorporating uncertainty into the planning process provides upsides with respect to both gains and losses. An article on "Strategy Under Uncertainty" in the Harvard Business Review (Courtney et al., 1997) makes the point convincingly: Underestimating uncertainty can lead to strategies that neither defend against the threats nor take advantage of the opportunities that higher levels of uncertainty may provide. In one of the most colossal underestimations in business history, Kenneth H. Olsen, then president of Digital Equipment Corporation, announced in 1977 that "there is no reason for any individual to have a computer in their home." The explosion in the personal computer market was not inevitable in 1977, but it was certainly within the range of possibilities that industry experts were discussing at the time.

Figure 1 shows a schematic of a typical water resources planning framework. Water resources planning entails: estimating future water demands and supplies (based on current sources); identifying gaps between demands and supplies; and proposing water projects or management strategies to "fill the gaps" (either by bringing in new supplies or decreasing demands). Future demands are driven by: population/economic growth; agricultural and irrigation practices; and water usage rates — among other things. Supplies are driven by: climate; basin hydrology; and water management practices including reservoir operations, diversions,

Uncertainty Planning

and groundwater pumping. Several of these factors on both the demand and supply side are beset with uncertainty. For example, population projections are estimates at best and can be impacted by future socioeconomic conditions. Water supplies can be impacted by long-term climate change as well as the natural hydrologic variability. Finally, future water projects and management actions also have uncertainties driven by regulatory, environmental, and socio-political uncertainties.

Key Uncertainties

This article lays out a framework for incorporating key uncertainties into the water planning process. We first discuss the basic principles of the planning process (with an emphasis on water planning),



How we get there?

Planning Under Uncertainty

Today

contrasting the planning process with and without uncertainty. Next, we lay out a five-step approach to handling uncertainty in the planning process. Finally, a case study based on Albuquerque Bernalillo County Water Utility Authority's 100-year water plan is presented to demonstrate the concepts and the value provided by incorporating uncertainty into the water planning process.

Planning: With and Without Uncertainty

Before addressing the impact of uncertainty on the planning process, it would be useful to deconstruct the planning process itself. Figure 2 shows a schematic of the planning process. The act of planning entails defining a path from a current state to a future desired state. In water resources planning, this may take the form of projecting future water supplies and demands and identifying projects or management actions to meet future water needs. A well-defined plan identifies current conditions (where we are) and clearly articulates goals defining success in the future (where we want to be). The plan then defines a series of decisions and actions that will need to be taken to accomplish the goal. Ideally, these decisions and actions are based on data collected before and during plan implementation; hence, successful plans should also have a data collection component. The plan should lay out the process for collecting data, identify and monitor performance metrics, and establish triggers that would necessitate making changes to decisions and actions. Typically, the plan itself is revisited at a regular frequency (5 or 10 years, in most cases), and updated based on the best available information at the time. As such, most plans implicitly account for changing (uncertain) conditions between the present and the future. They do this by formulating an "adaptive" strategy wherein the decisions, actions, and the plan itself are adjusted during the plan implementation phase. Such (deterministic) planning approaches do not explicitly account for uncertainty, tend to focus more on the short-term, and rely on reacting/adapting to changing conditions in the future.

When planning under uncertainty, one needs to acknowledge and account for the fact that the future is inherently uncertain. The range of uncertainty can be described as a cone, where near events are relatively well-known, and uncertainty grows further out in time (**Figure 3**). The purpose of the plan becomes to identify a range of options available for future water managers to respond to changing situations and *maximize the chance of success given everything one does not know about the future*.

Figure 4 shows a schematic of the planning process under uncertainty. As can be seen, uncertainty-based planning builds on the deterministic planning framework. However, now the plan needs to account for multiple alternative futures (scenarios), with varying degrees of success, and different decisions and actions (still based on data collected in the interim) to reach planning goals under the alternative future conditions. In the water planning process, this translates to multiple water demand and supply scenarios with water projects or management actions identified to maximize the likelihood of meeting future water needs while also being socio-economically viable.

Future

Scenarios

Figure 5 (Singh et al.,

Planning under uncertainty



Resilience is yet another closely aligned term and defines how easily a system can recover from adverse Recovery or disruptive events. For example, a resilient water plan is one that allows a community to withstand a drought (albeit with some shortages) and recover back to normal without prolonged adverse impacts once the drought is over.



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Decision Analysis

Simulations

Relative Importance

Reducing Uncertainty 3) *Assess the historic variability for a planning factor* (based on available data) and use this to quantify the uncertainty in the projections. This approach works well for "aleatory" planning parameters such as water usage rates or daily precipitation, where the variability may be difficult to predict.

In general, two approaches exist to represent the uncertain parameters: 1) using discrete scenarios (with associated likelihoods); or 2) continuous probability distributions. Most water planning approaches rely on discrete scenarios since these are easier to use and explain to stakeholders.

Step 3 - Relating Uncertainties to Strategies/Outcomes

Once the uncertainty in key water demands and supply parameters have been quantified, they can be analyzed to estimate the uncertainty in future water needs/deficits (volumes and periods when supplies are not sufficient to meet water demands). If multiple scenarios for demand and supply parameters have been created, then these need to be combined to create an ensemble of water need projections. Depending on the number of parameters and scenarios involved, the combinatorics of such an exercise can often become overwhelming (for example, three uncertain supply parameters, three uncertain demand parameters, with three scenarios each, would yield a total of eighty-one combinations). In practice, representative scenarios that cover the range of water demands, supplies, and needs can be used to keep the number of scenarios feasible.

With representative water need scenarios identified, the planning process needs to step through the analysis of relating key planning decisions and actions to the range of water need identified. **Figure** 7 shows a schematic that relates the uncertainty in demand, supply, and needs to water management strategies. This can be an involved process entailing going through several water project portfolios (collection of projects) with different costs and yields to assess how each portfolio does against the range of future water needs. Depending on the complexity of the water system, computer simulations may need to be undertaken at this stage to assess the reliability (likelihood of meeting water needs), feasibility, and costs of the proposed water project portfolios.

Step 4 - Assessing Uncertainty Importance/Sensitivity

With multiple uncertain parameters impacting the eventual reliability metric, it is often useful to assess the relative importance of uncertain parameters (or which uncertain parameters the plan strategies/ outcome are most sensitive to). This exercise provides valuable information on where to focus future data-collection and monitoring efforts, so as to reduce uncertainty in the most important planning parameters. The importance of or sensitivity to uncertain parameters can be assessed by repeating steps 2 and 3 using a deterministic value (usually the most likely scenario for the parameter) for the parameter. The difference in the outcome with and without the uncertain scenarios for the given parameter demonstrate the sensitivity of the plan's strategies/outcome to that parameter. As an example, consider a water plan that incorporates uncertainty in both population projections and hydrology (driven by climate change). The reliability of



the optimal project portfolio considering both sources of uncertainty is 75%. The reliability of the same project portfolio considering uncertainty in only population projection is 95%, while the reliability with only uncertainty in hydrology is 80%. In this case, the uncertainty in hydrology is more critical to the overall reliability of the plan. Hence, it makes sense to monitor and improve the characterization of the hydrology of the basin. **Step 5 - Managing/Reducing Uncertainties**

Every plan should have a monitoring and data-collection element that is geared towards managing and reducing uncertainties during the plan implementation phase. The data collected should serve two purposes: 1) reduce the range of uncertainty in key planning parameters by refining estimates and projections as new data becomes available; and 2) validate the plan's underlying assumptions and recommended strategies and make necessary refinements and revisions to the plan as necessary.

	Case Study
Uncertainty Planning	This case study — used to demonstrate the concepts detailed above — is based on a 100-year water plan developed (ABCWUA, 2016) by the Albuquerque Bernalillo Water Utility Authority (Water Authority). [Note, the case-study is presented for demonstration purposes only and this article does not profess to speak on behalf of the Water Authority.]
100-Year Plan	New Mexico. The 100-year plan — referred to as "Water 2120" (Plan) — looks at the current water situation in Albuquerque and projects the community's needs based on various scenarios of climate variability and population growth. The Plan builds on the Water Authority's prior successes in water conservation and integration of surface water from the Rio Grande River into their supply portfolio — both of which have allowed significant recovery of the groundwater aguifer that was previously the sole source
Prudent Options	of supply. The Plan aims to extend this success into the future by identifying prudent future investments in: • Conservation • Aquifer Storage and Recovery (ASR) • Stormwater Capture • Watershed Restoration • Wastewater Reuse
Plan Objective	In so doing, the Plan aims to provide a sustainable and resilient water supply in the face of an uncertain future, while managing the groundwater aquifer as a drought reserve. The Water Authority's diverse water portfolio is shown in the schematic in Figure 8 . Water demand averages approximately 100,000 acre-feet per year (AFY). Albuquerque's drinking water comes from
Water Portfolio	two sources: the aquifer beneath the City; and San Juan-Chama surface water which is imported from the Colorado River Basin into the Rio Grande Basin from the headwaters of the San Juan River in southern Colorado. Historically (through the 1990s), the City primarily relied on groundwater for most of its
Groundwater Decline Reversed	supplies, which led to rapid declines in water levels in the aquifer. The completion of Albuquerque's \$500 million San Juan-Chama Drinking Water Project along with two water reuse and reclamation projects allowed direct use of surface water, which allowed the Water Authority to diversify its portfolio and reverse the declines in groundwater levels.
	Figure 8: Schematic Showing Albuquerque's Water Supplies, Demands, and Projects (ABCWUA, 2016) Adapted for TWR 40,320 at 1year only Abiquiu 10,900 at 40,000 at/p Diversion w 50% Instantaneous Return Additional SJC Native Rio Grande Rights Storage Additional SIC Additional SIC Carlons TBD) Control Storage Current supply Current supply Control Storage Current supply Control Storage



The overall planning framework adopted by the Water 2120 Plan is shown in **Figure 9**. The Plan identified and quantified uncertainty in demands and supplies using multiple scenarios. It established groundwater reserves to supply water under drought conditions and recommended several water portfolios to fill future supply gaps while maintaining sustainable groundwater conditions. The different steps of the uncertainty-based planning process are described in more detail in the following paragraphs.

Municipal water demands comprise a majority of Albuquerque's water demands. The Water 2120 Plan identified several key uncertainty parameters that would drive future water demands. Key Uncertainty Parameters included:

- Future Population Projections
- Per-Capita Water Use Forecasts
- Future Landscape Practice and Water-Use Efficiencies
- Increased Outdoor Irrigation Requirements driven by higher temperatures from climate-change

These uncertainties were analyzed and combined to develop three future scenarios for water demands (Figure 10).

Key uncertainties in future surface water supplies were related to: hydrologic variability and climate change impacts on San Juan-Chama Supplies; native flows; and reservoir losses (due to higher temperatures). As **Figure 8** shows, Albuquerque has a complex water portfolio consisting of managed surface water (diversions and storage projects) as well as groundwater wells. Predicting these supplies under future conditions entailed developing models of the surface and groundwater hydrologic systems linked to an operations/ planning model which allowed for different water management strategies to be tested (**Figure 11**). The computer model allowed Albuquerque's water plan to account for wet periods and the water storage opportunities they represent while also being prepared for sustained dry periods. Sustained drought would reduce the City's available surface water supplies and require greater reliance on



groundwater reserves. The Water 2120 Plan incorporated climate simulations done by the US Bureau of Reclamation (Reclamation) to develop three (high-, medium-, and low-flow) projections for Albuquerque's water supply, incorporating the effect of future climate change.

The three demand and supply scenarios were combined to create a total of nine future water need scenarios (Figure 12). Three representative scenarios (high demand/low supply, medium demand/medium supply, and low demand/high supply) — covering the range across all nine scenarios — were chosen for planning purposes. Since the underlying uncertainty parameters were all considered equally plausible, all scenarios had equal likelihood.

Groundwater was considered to be relatively resilient to drought conditions. As such, groundwater provided a critical and relatively low-







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Uncertainty Planning	The Water 2120 Plan was adopted in 2016 and was the result of a two-year effort involving Water Authority staff and multiple consultants, as well as an exhaustive public outreach program that included community conversations, Town Hall meetings, and multiple presentations to federal and state stakeholders such as Reclamation, the US Corps of Engineers, US Fish & Wildlife, the New Mexico Interstate Stream Commission, and many others. The Plan was also endorsed by The Nature Conservancy and local economic development organizations such as the Albuquerque Chamber of Commerce and the New Mexico Homebuilder's Association.
Planning Benefits Five-Step Process Case Study Incorporating Uncertainties	Summary and Conclusions Planning for future water demands, supplies, and management alternatives is an inherently uncertain process. Accounting for uncertainties in the planning process can lead to lower risks, more reliable outcomes, and higher likelihood of success in the face of changing and uncertain future conditions. This article presents a framework to incorporate uncertainty into the water planning process. The key difference between deterministic and uncertainty-based planning is that the latter acknowledges and accounts for multiple alternative assumptions and future conditions. The uncertainty-based planning framework follows a five-step process including: 1) identifying key uncertainties; 2) quantifying key uncertainties; 3) relating key uncertainties to planning strategies/ outcomes; 4) assessing the sensitivity to uncertainties; and 5) managing/reducing uncertainty through data collection. Each of these steps is explained using practical water planning examples. Stakeholder communication, especially during the uncertainty identification phase, is crucial. The article demonstrates these concepts using a 100-year water plan developed by the Albuquerque Bernalillo Water Utility Authority. The Water Authority's 2120 Water Plan was based on: a comprehensive evaluation of demand and supply uncertainties; incorporating uncertainties due to population projections; water usage rates; climate change; and hydrologic variability. The Plan then combines these uncertainties into representative planning scenarios. Future water needs are met while ensuring that existing groundwater supplies are utilized in a sustainable manner. Several water alternatives are explored to develop multiple water portfolios that provide future water managers several options to fill water gaps while maintaining the health and sustainability of the water system. The 2120 Water Plan demonstrates how uncertainties can be incorporated into an adaptive and resilient water plan.
	For Additional Information; Abhishek Singh, INTERA Incorporated, 424/275-4055 or ASingh@intera.com
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	Dr. Singh is the Vice President of the Western Region with INTERA Incorporated. He has over 16 years of research and consulting experience in the areas of water resources planning and management, surface water and groundwater modeling, risk and uncertainty analyses, climate change impact assessments, optimization techniques, geographic information systems (GIS), and data analytics. Dr. Singh has a MS and PhD in Civil and Environmental Engineering from the University of Illinois, Urbana-Champaign, and is a registered Civil Engineer in the States of California and Texas.

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Climate	CLIMATE & WATER RESILIENCE EMERGING WATER RESILIENCE SHIFT: FINANCE, POLICY, ENGINEERING, PLANNING
Kesilience	by John H. Matthews & Alex Mauroner (Alliance for Global Water Adaptation)
Resiliency	Introduction Stating that the impacts of climate change will predominantly be felt through water is not a new observation. Achieving climate resilience within — and through — water management will require an evolution in how we design, plan, manage, and finance water infrastructure. The term "resilience" (or, more commonly in the US, "resiliency") has become the new gold standard when it comes to facing the impacts of climate change. Standardization of the concept is necessary if we are to avoid the pitfalls of "greenwashing" and maladaptation. The Alliance for Global Water Adaptation (AGWA) is a network of technical water professionals, spanning the full range of disciplines engaged on water management issues from economics and engineering to governance and eco-hydrology. For the last ten years, we've worked actively to define and operationalize resilience for the water community and for policy makers and investors in dozens of
Robustness & Flexibility	countries. Within AGWA, we have defined water resilience has having two key components: 1) robustness (how we prepare for climate impacts we feel confident will occur); and 2) flexibility (how we prepare for alternate futures and scenarios to cope with the high levels of uncertainty that are associated with many future impacts). Robustness is an area that most technical water professionals are already comfortable with, while flexibility is really a return to an older, less-optimized, and more humble approach to making long-term decisions about water resources, institutions, and decisions. In practice, flexibility is much less conceptually familiar to most people in the water community. In this article, we will describe what we see as some of the emerging trends around water and climate change, with a strong emphasis on resilience.
Water Infrastructure	Water-Resilient Finance: Green and Climate Bonds Nowhere is the standardization of climate resilience needed more than in the realm of climate finance, where billions of dollars in the US and abroad are invested annually in water infrastructure projects with purported climate adaptation or climate mitigation (i.e., carbon emissions) benefits. A look into the climate
"Climate" Bonds	bonds market (also called the green bonds market) demonstrates some of the efforts being undertaken to define and expand climate resilient water infrastructure. New climate finance patterns are beginning to emerge that hold the promise to divert financial flows towards resilience. More robust financial risk assessments can articulate expectations for those seeking finance as well as those looking for "good" investments. For over a decade, financial institutions have begun scaling-up issuances of so called "green" or "climate" bonds — wherein the bond proceeds go towards climate change mitigation or adaptation projects. As the use of climate bonds increases, the need
Bonds Basics	for standardization, transparency, and credibility becomes more vital. Before taking a look at efforts to standardize resilience within climate bonds, it is important to understand the broader context and scale in which they operate. To begin, a "climate bond" operates in the same manner as a normal bond — with one key exception. Both are fixed-income instruments issued in order to raise finances for a specific project or asset. Issuing entities repay the bond over specified time periods, with interest. The key difference is in the use of proceeds: climate bonds help finance projects or
Market Trends	assets with climate mitigation and/or adaptation benefits. To get an idea of the scale of the climate bonds universe, consider the following. Each year Climate Bonds Initiative (CBI) releases a State of the Market report to present trends and statistics from the broader landscape of the "climate-aligned bonds" universe, reflecting private sector investment. Results from 2018 are a staggering 1.45 trillion US Dollar (USD) equivalency in outstanding bonds globally. The water sector accounted for 101 billion USD in outstanding climate-aligned bonds in 2018. Bonds certified against CBI's Water Infrastructure Criteria (discussed below) represent a small but growing subset of that figure, totaling more than 8 billion USD since 2016.
Seal of Approval	Climate Bonds Initiative is a close AGWA partner, working to mobilize the 100 trillion USD bond market for climate change solutions. In addition to performing and sharing market research, CBI's main initiative has been the development of a Climate Bonds Standard and Certification Scheme designed to provide scientifically-defensible credibility to investments in a low-carbon economy. The Climate Bonds Standard is a labeling scheme for bonds, essentially an independently verified seal of approval demonstrating that bond proceeds will go towards projects or assets with mitigation and/or adaptation benefits. The screening tool helps investors assess claims for the climate integrity of bonds.

	The Climate Bonds Standard includes sector-specific criteria to ensure that the wide range of project
Climate Resilience	types are adequately assessed and scrutinized for their climate benefits. Currently, a dozen distinct sector criteria have been developed, including a set for water infrastructure. Each sector is developed by technical and industry experts, in conjunction with public consultation, before review and approval by CBI's Climate Bond Standard Board.
Criteria	Water Infrastructure Criteria
Development	In mid-2014, a consortium of non-governmental organizations (NGOs) coordinated the development
	of criteria for defining climate-resilient water infrastructure.
	Consortium members are:
	• AGWA
Consortium	• CDP: a not-for-profit charity that runs the global disclosure system for investors, companies, cities, states
	and regions to manage their environmental impacts (www.cdp.net/en)
	• Ceres: a sustainability nonprofit organization working with influential investors and companies to build
	leadership and drive solutions throughout the economy (www.ceres.org)
	• Climate Bonds Initiative: an international investor-focused not-for-profit working solely on mobilizing
	the \$100 trillion hand market for climate change solutions (www.climatebonds.net)
	• World Resources Institute (WRI): a global research organization focusing on seven critical issues at the
	intersection of environment and development (www.wri.org)
	The consortium's goal is to make the expectations clear for issuers of bonds as well as the risks
Goal	transparent to investors. The initial phase of this Water Infrastructure Criteria for certifying climate honds
	was limited to traditional gray water infrastructure investments (i.e. traditional "built" infrastructure)
Robustness	with the exclusion of hydronower. We crowd-sourced the criteria with several hundred technical experts
&	globally. Our assumption was that we needed to address both aspects of resilience: robustness and
Flexibility	flexibility. As a result, the criteria explore the level of technical analysis as well as the water governance
	and allocation context. Some of these elements are within the control of the issuer (e.g. the denth of the
	technical and planning analysis) while others are largely about how stakeholders interact to resolve or avoid
	conflicts — especially as water conditions shift from climate impacts over time
	In early 2016 with support from the Rockefeller Foundation and the US Department of Agriculture's
Nature-Based	Natural Resources Conservation Service, the same NGO consortium began developing supplemental
Solutions	criteria to evaluate and qualify nature-based solutions. Investments in nature-based solutions depend
	explicitly on the use of ecosystems to provide gray infrastructure-like services — such as water treatment
Hybrid	and flood protection — through so-called natural or "green" and hybrid (green-gray) infrastructure. The
Solutions	expanded version of the Water Infrastructure Criteria was released in May 2018. Our work in this area has
	continued forward, particularly with WRL around nature-based solutions and public utilities
	At the same time, one of the most critical components when planning for resilient water infrastructure
Organational	(or any type, really) involves a reframing of the timescales used in climate finance. Traditionally the
Operational	finance period has been used as the standard for evaluating the sustainability period of an investment. To
Lifetime	encourage long-term thinking. Water Infrastructure Criteria requires evaluating the sustainability of gray
	and hybrid (a combination of gray and nature-based) investments over the operational lifetime of the
	investment in question rather than over the finance period. For nature-based infrastructure, operational
	lifetimes should be evaluated over at least a 100-year period
	A Scorecard for Resilience
Resilience	The Water Infrastructure Criteria were designed to evaluate and correspondingly reward the resilience
Rewarded	of water-related assets and projects. Although the Criteria were designed by technical experts to be
newarded	scientifically rigorous they were also designed with the end user in mind. As such the evaluation process
	is fairly straightforward. Most if not all of the required documentation is already generated as part of a
	typical project planning and design cycle. Indeed, we've found in talking with issuers that the Criteria
	describe the emerging state of the art in how we envision risk in a climate-shifting world
	The Criteria is comprised of a mitigation component and an adaptation and resilience component
Mitigation	The mitigation component works to provide transparency into the project's impacts on greenhouse gas
Component	(GHG) emissions and the degree of mitigation that will be delivered over the project's operational lifetime
-	Baseline and project emissions must be stated as well as methods for tracking and monitoring actual
	emissions over the life of the bond. In order to pass the mitigation component, projects must either have no
Adaptation	net GHG emissions expected or a negative net GHG emissions impact
Component	The adaptation and resilience component of the Water Infrastructure Criteria require that a
Component	vulnerability assessment be undertaken to diagnose climate impacts and potential climate risks. If the
	vulnerability assessment finds that climate change will have a significant impact on the project or asset
	the bond issuer will also need to provide an adaptation plan laving out the proposed courses of action that
	would address the identified climate risks

	In the Adaptation and Resilience Section of the Water Infrastructure Criteria, all projects are evaluated
Climate	using a basic checklist type scoring system consisting of a series of binary questions, plus supplementary
Desiliance	documentation as required by the Criteria Document.
Kesilience	The Criteria scorecard covers five categories:
	(1) Allocation
Criteria	(2) Governance
Categories	(3) Technical Diagnostics
	(4) Nature-Based Solutions (if applicable)
	(5) Adaptation Plans (II applicable) Each question in the secrecerd will result in a "was" or "no" answer with "was" corresponding to 1
	point. Projects must score at least 60% for each category to meet the requirements of the Adaptation and
	Resilience components Issuers first self-assess using the scorecard. Then bond issuers hire independent
	verifiers (certified by Climate Bonds Initiative) to check the information using evidence provided.
	As mentioned earlier, water infrastructure assets evaluated by the Criteria can be engineered, nature-
Engineered,	based, or hybrid systems. They cover a wide range of services and categories. Generally speaking,
Nature-Based,	the Criteria are applicable to water infrastructure or water-use systems that monitor, collect, treat, or
or Hybrid	distribute water, or that protect against (or mitigate the impacts of) floods and droughts. Examples of
, in the second s	engineered infrastructure could include wastewater treatment plants or drip irrigation systems. Nature-
	based infrastructure could include the use of wetlands for water treatment or aquifers for water storage.
	An example of hybrid infrastructure would be a "room for the river" flood control system that mixes
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	Financing Climate Resilient Water Management in the United States
	Since the first phase of the Water Infractructure Criteria was lounched in 2016, several large scale
Climate Bonds	bonds have been issued by utilities municipalities and banks. The San Francisco Public Utilities
Issued	Commission (SFPUC) was the first to issue a climate bond under the Water Infrastructure Criteria, with
	subsequent climate bonds going towards financing new infrastructure assets or system improvements
	and upgrades. To date, SFPUC has issued over USD 1.4 billion in certified Climate Bonds for water
	infrastructure.
Sewer	SFPUC's initial climate bond of USD 240 million was issued in May 2016 to finance sustainable
Improvement	stormwater management and wastewater projects as part of the city's Sewer System Improvement Program
	The climate bonds were dedicated to increasing seismic and system reliability and reducing neighborhood
	flooding for existing SFPUC sewer infrastructure.
Water System	The second Climate Bonds Certified water issuance in December 2016 helped raise USD 259 million
Program	projects in SFFOC's water System improvement Flogram — one of the largest water infrastructure
	greatly in size and scope. They included improvements to pipelines, storage reservoirs, and pump stations
	Larger scale projects included upgrades to, and addition of, new treatment, storage, and transmission
	facilities.
	These facilities are designed to:
	Increase System Reliability
Benefits	Reduce Seismic Vulnerability
	Improve Water Quality
	• Recover and Store Groundwater
	• Protect Crucial Freshwater Resources for San Francisco and seven surrounding counties
	A year fater, in December 2017, SFPUC issued a series of bonds totaling over USD 303 million.
	Projects were aimed at water recycling water treatment and water supply including numn station
	upgrades, seismic upgrades, dam improvements, and myriad other programs.
	In August 2018, SFPUC issued another USD 408 million worth of bonds certified under the Water
Capital Projects	Infrastructure Criteria. The bonds were issued to finance and refinance capital projects of the Wastewater
Sup tur r tojeets	Enterprise under the same Sewer System Improvement Program mentioned earlier. Specifically, funds
	will go towards: upgrades and new assets for stormwater; flood resilience; sewage treatment; wastewater;
	and associated control system infrastructure. These measures are intended to address aging infrastructure,
	seismic reliability, combined sewer discharges, rising sea levels, and localized flooding.

Climate Resilience	San Francisco has been the largest issuer of certified climate bonds for water infrastructure in the United States, but it is not alone. Local governments and financial institutions across the country have begun to finance their climate-aligned water projects with these bonds. Last year in particular saw a large number of issuances. In April 2019, the Illinois Finance Authority issued USD 450 million in climate bonds to finance wastewater treatment, sanitary severage, and drinking water facilities. In November			
Increasing	2019, the Benton Washington Regional Public Water Authority in Arkansas issued USD 59 million in climate bonds for water infrastructure assets. Domestic examples continue to be added (see Table 1).			
Trend	Financing Climate Resilient Water Management Globally			
	The increasing use of certified climate bonds for water infrastructure is taking place outside the			
International Bonds	United States as well. In May 2018, the Water Infrastructure Criteria were expanded to include coverage of resilient nature-based solutions for water. Marking the first issuance against the newly expanded Water Infrastructure Criteria, the Dutch State Treasury Agency issued a nearly USD 6.8 billion bond for low-			

carbon development and sustainable water management, including financing natural infrastructure solutions for reducing flood risks in the Netherlands in early 2019. To date, these criteria have been applied and certified for at least USD 8 billion in assets for projects in the USA, Nigeria, South Africa, China, Chile, The Netherlands, Malaysia, and Australia, inclusive of climate-related risks with drought, inland and coastal flooding, snowpack changes, and other potential and

Broader Trends: Seeing New Risks, Institutionalizing Resilience

California's Resilience Shift Outside of climate finance, many other concurrent trends demonstrate a broader shift towards institutionalizing resilience within (and outside) the United States. California is again at the forefront of this movement. In April 2019, Governor Gavin Newsom made clear his state's commitment to water resilience. An executive order directed his administration to change the way they develop, manage, and think about water systems — including ecosystems. The order requires more strategic assessment and

 Table1: Bonds for Water Infrastructure in the United States

 Certified According CBI Standard

realized impacts.

This list is not exhaustive and	some numbers	have been	rounded.
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Issuer	Date Issued	Total Issuance (Million USD)
SFPUC	May 2016	240
SFPUC	December 2016	259
Capital Region Water (PA)	May 2017	44
SFPUC	December 2017	121
SFPUC	December 2017	384
SFPUC	August 2018	408
City of Santa Fe, NM	March 2019	14
Illinois Finance Authority	April 2019	450
Mississippi Development Bank	May 2019	13
City of Starkville, MS	May 2019	10
South Central Connecticut Regional Water Authority	July 2019	13
City of Portland, ME	August 2019	7
Town of York, NY	August 2019	4
San Lorenzo Valley California Special Water District	August 2019	14
Porter Special Utility District (TX)	October 2019	13
Benton Washington Regional Public Water Authority (AR)	November 2019	59
Southside Public Water Authority (AR)	February 2020	17

a deeper understanding of California's water challenges, ensuring that all state actions ensure safe and resilient water supplies for communities, the economy, and the environment. Agencies such as the California Natural Resources Agency, California Environmental Protection Agency, and the California Department of Food and Agriculture will work with scientists, engineers, environmental representatives, and local stakeholders to develop the state's water resilience portfolio. Private finance markets are doing their part to more adequately acknowledge climate risks. The CEO of BlackRock, a leading global investment management corporation with over USD 7 trillion in assets under management, recently declared that climate change must be a defining factor in how companies conduct their business. Signaling the potential beginnings of a sea change in private finance, BlackRock has laid out a set of climate-related initiatives including making sustainability integral to investment portfolios and divesting in fossil fuels.

2020: A Year for Water and Climate

The United Nations has declared 2020 to be the year of "Water and Climate Change." As part of the campaign, the UN's annual World Water Development Report (WWDR) for 2020 covers a range of topics associated with aspects of climate resilient water management (e.g., governance, finance, etc.). The report covers challenges, opportunities, and potential responses for adaptation, mitigation, and resilience that can be achieved through improved water management. The report was launched on World Water Day (March 23). See: www.unwater. org/publications/world-water-development-report-2020/. Under the coordination of the UN, World Water Day marked the official start of the "Water and Climate Change" campaign. The UN shared key messages on the links between water and climate change, as well as policy recommendations for achieving climate resilience through improved water management. The day served as a communication and awareness-building campaign, including a series of events (both virtual and in-person) highlighting the theme.

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	New Efforts from the Alliance for Global Water Adaptation
Climate	The Alliance for Global Water Adaptation (AGWA) is an international NGO working across technical
Resilience	and policy programs to mainstream resilient water resources management, focusing on the connections
Resilience	AGWA has wide-ranging initiatives that include:
Mainatus anaire a	• New Methodologies to mainstream technical risk assessment and reduction in water management
Mainstreaming	planning, design, and operations
Water	• Nature-Based Solutions: enabling and scaling up nature-based solutions for adaptation
Resilience	• Policy Role of Water: promoting the bridging role of water in global climate policy dialogues and negotiations, primarily through the UN Framework Convention on Climate Change (UNECCC)
	AGWA also works to mobilize funds for adaptation and mitigation through climate finance and climate
	bonds standards. Development of the Water Infrastructure Criteria for climate bonds (mentioned earlier)
	was led by AGWA.
Climate	Several new initiatives from AGWA are being developed in order to further mainstream climate resilience through water. First, AGWA recently convened a small group of business resilience and
Adaptation	sustainability leaders at Microsoft's headquarters near Seattle. Washington. For two days, participants
-	discussed what climate adaptation means for businesses, as well as the level of progress and state of needs
	now and in the near term. This effort is in its early days, though it is clear that businesses are willing and
	eager to receive help translating insights from the public sector and civil society into workable outcomes
	AGWA is also working to mainstream new best practices for adaptation with leaders of national
Best Practices	governments' climate adaptation programs. AGWA and the UNFCCC have begun working with three
Leadershin	globally oriented and interconnected universities to deliver a month-long coordinated course for national
Trainings	adaptation focal points and their teams. Divided loosely into Asia-Pacific, Africa-Europe, and the
Trainings	for national climate planning and adaptation teams. Courses with coordinated curricula and learning
	objectives should begin in 2021, under a five-year commitment. Major Course Topics are anticipated to
	include: Planning; Policy Frameworks; Governmental Coordination (across ministries and governance
	levels); Private Sector Engagement; Finance and Cost-Benefit Analyses; Ecosystems; Infrastructure;
	In working at a high level with government representatives, the project aims to greatly influence
	climate and water activities in the long-term and at a globally relevant scale.
	Crowdsourcing a New Wave of Climate-Water Efforts
Knowledge	number of resources are available. AGWA created a Knowledge Platform for capacity building around the
Platform	latest climate adaptation methodologies (see https://AGWAguide.org/). Publications, training materials,
	case studies, and a climate-water podcast are all hosted on the web-based platform. New resources are
	added often.
	Finally, AGWA is a members-based network. Anyone working around or interested in climate and
Member-Based	water is encouraged to join and sign onto its mailing list (see https://alliance4water.org/get-involved/).
Network	Topical newsletters are available on policy and governance, technical approaches and risk assessment
	methodologies, and general climate-water news. Each also includes noteworthy resources, opportunities,
	The secretariat works with members across the network through crowdsourcing knowledge products
	and publications, joint project development, and other collaborative efforts. You're encouraged to join
	a network of professionals already nearly 2,000-strong as we work to achieve climate resilience through
	water.
	FOR ADDITIONAL INFORMATION:
	FOR ADDITIONAL INFORMATION: JOHN MATTHEWS, AGWA, 703/623-1333 or johoma@alliance4water.org
	ALEX MAURONER, AGWA, 479-629-5272 or amauroner@ alliance4water.org
	Alliance for Global Water Adaptation website: https://alliance4water.org
John Matthews is the	co-founder and Executive Director of the Alliance for Global Water Adaptation. His work blends technical
and policy knowledge	e for climate adaptation and water management for practical implementation, primarily targeting decision-
Texas at Austin.	or adapting water initiastructure and ecosystems to climate impacts. The holds a Fild from the Offiversity of

Alex Mauroner is the Network Director at the Alliance for Global Water Adaptation, where he supports capacity building initiatives for new approaches to addressing risks in addition to network-wide collaboration with AGWA's 1800+ members. He holds a Professional Science Master's in Environmental Science and also hosts the "ClimateReady" podcast.

WATER BRIEFS

COVID-19 OPERATIONS EPA RESOURCES

US

On March 27, EPA) Administrator Wheeler sent a letter to Governors in all 50 states, territories and Washington, D.C. urging them to ensure that drinking water and wastewater employees are considered essential workers by state authorities when enacting restrictions such as shelter in place orders to curb the spread of COVID-19. Supporting water utilities as they work to provide clean water for drinking and handwashing is essential during the COVID-19 pandemic.

EPA has also posted new information and resources that water stakeholders-including states, municipalities, utilities and their work force can use to support operations during the pandemic. [See www.epa. gov/coronavirus/water-utility-resourcescovid-19-pandemic]. For example, on the website, the agency is summarizing resources that can support utilities, including by helping maintain adequate staffing and laboratory capacity. Included in the materials is an incident action checklist to support water utilities as they prepare for, respond to, and recover from a pandemic. While most water systems already have continuity plans in place as part of best-management practices, EPA recommends that states work with their utilities to review these plans and to keep up with the latest announcements on COVID-19.

EPA supports states and cities that are taking proactive measures to ensure continued access to clean water during the COVID-19 pandemic. Many drinking water systems are discontinuing service cut-offs, restoring service to customers whose service was previously cut-off, and refraining from imposing penalties for nonpayment. EPA recommends widespread adoption of these practices, which provide critical support for public health. **For info:** EPA website at: www.epa.

gov/coronavirus

EPA ENFORCEMENT coronavirus suspension

In a Memorandum dated March 26 from Susan Parker Bodine, EPA's top compliance official, EPA announced its decision to suspend enforcement of certain environmental laws and regulations during the coronavirus outbreak. Bodine referred to the need for EPA to "...adjust to the evolving COVID-19 pandemic" and then announcing the "temporary policy regarding EPA enforcement of environmental legal obligations during this time." Meanwhile, a coalition of environmental groups sent a letter to the agency objecting.

The Memorandum changes normal procedures that apply to pollution noncompliance. "The EPA will exercise the enforcement discretion specified below for noncompliance covered by this temporary policy and resulting from the COVID-19 pandemic, if regulated entities take the steps applicable to their situations, as set forth in this policy. For noncompliance that occurs during the period of time that this temporary policy is in effect, and that results from the COVID-19 pandemic, this policy will apply to such noncompliance in lieu of an otherwise applicable EPA enforcement response policy."

The new policy will apply retroactively beginning on March 13, 2020. Bodine's Memorandum also notes that, "Authorized states or tribes may take a different approach under their own authorities."

The seven-page Memorandum ends with the EPA general viewpoint of this temporary policy. "This temporary policy makes EPA offices and the EPA's governmental and private sector partners, as well as the general public, aware of how the EPA intends to exercise its enforcement discretion with respect to certain compliance situations during the exigencies of the COVID-19 pandemic. This memorandum does not alter any provision of any statute or regulation that contains legally binding requirements, and it is not itself a regulation."

Readers should refer to the Memorandum for a more specific idea of how it applies to the particular situation or regulated industry. **For info:** Memorandum available at: https://www.epa.gov/sites/ production/files/2020-03/documents/ oecamemooncovid19implications.pdf

WOTUS RULE

US

SCIENCE BOARD CRITIQUE

EPA's own Science Advisory Board (SAB) heavily criticized the proposed "Waters of the United States" (WOTUS) rule in a comment letter submitted February 27th. For additional information, *see* TWR's previous Water Brief on the draft SAB comments at Water Briefs, *TWR* #191.

Rebuking the EPA for its proposed rule, SAB's letter began with a succinct statement of what is at stake: "Establishing a sound, consistent, scientifically supported and clear definition of 'waters of the United States' (WOTUS) is a critical component of implementing the [law]... commonly known as the Clean Water Act (CWA). The Act itself does not provide such a definition. Achievement of the Act's overall objective 'to restore and maintain the chemical, physical and biological integrity of the Nation's waters requires a clear definition of the geographic and hydrologic scope of these waters. ... The Board concluded that the proposed WOTUS rule does not incorporate best available science and as such we find that a scientific basis for the proposed Rule, and its consistency with the objectives of the Clean Water Act, is lacking."

SAB's eight-page letter is recommended reading for its detailed review of the proposed rule's shortcomings and the basis for SAB's conclusion. The letter ends with a summary of the Board's position: "In summary, current scientific understanding of the connectivity of surface and ground water, which has been reviewed by the SAB previously, is not reflected in the proposed Rule. Specifically, the proposed definition of WOTUS excludes ground water, ephemeral streams, and wetlands which connect to navigable waters below the surface. The proposed Rule does not present new science to support this definition, thus the SAB finds that the proposed Rule lacks a scientific justification, while potentially introducing new risks to human and environmental health."

The final rule has been labeled by the agencies as the "Navigable Waters Protection Rule."

For info: SAB Letter available from: https://yosemite.epa.gov/sab/ sabproduct.nsf/WebBOARD/ (*Search*: "epa-sab-20-202")

CO

INSTREAM FLOWS

US

On March 30, the Colorado Water Trust (CWT) issued a press release heralding two new bipartisan bills that will help restore river water. HB 20-1037, the instream flow augmentation bill, will facilitate court-approved plans under which water users can add water back into heavily used rivers under the auspices of the Colorado Water Conservation Board (CWCB). Water added back to the river will be protected as "instream flow," or water that is designated for environmental purposes, but other water users can continue to divert water from the river for consumptive uses like agriculture and municipal delivery just as they always have. It's a new concept using augmentation plans for instream flow and required clarification of old law.

HB 20-1157, the instream flow loan bill, will add tools to a loan program that the CWCB has managed for some time. Previously, a water user could only loan their water right to CWCB to be used for instream flow use in 3 out of 10 years. This legislation increases that to 5 out of 10 years. Additionally, in the past, only one ten-year loan period was allowed, but now that loan period can be extended for two additional ten-year periods. In sum, a water user can now loan their water to the CWCB for up to fifteen out of thirty years. According to CWT, what the legislation boils down to is a big benefit to aquatic environments and flexibility for water users who want to engage in this program, often for compensation.

For info: Kate Ryan, CWT, 720/ 570-2897, kryan@coloradowatertrust.org or http://coloradowatertrust.org/

US

REUSE ACTION PLAN EPA RELEASE

On February 27, EPA and other federal, state, and local water leaders announced the release of the National Water Reuse Action Plan: Collaborative Implementation (Version 1). The National Water Reuse Action Plan (WRAP) is a coordinated and collaborative effort across the water user community to advance consideration of water reuse to ensure the security, sustainability, and resilience of our nation's water resources. Safe and reliable water supplies for human consumption, agriculture, business, industry, recreation, and healthy ecosystems are critical to our nation's communities and economy. Water reuse can improve the security, sustainability, and resilience of our nation's water resources, especially when considered at the watershed or basin scale.

The Action Plan builds on more than four decades of water reuse experience and practice in the United States. It frames the business case that water reuse is a viable and growing

The Water Report

WATER BRIEFS

means of supporting our economy and improving the freshwater portfolio of farmers, industry, communities, and ecosystems. The WRAP identifies action leaders, partners, and target completion dates for 37 actions across 11 strategic themes, while generating action through more than 200 initial implementation milestones. These actions represent initial momentum and serve as a catalyst for additional partnerships and subsequent actions to strengthen and diversify the Nation's water resources.

Information for the actions included in the WRAP is available in two forms: Printed Publication: A print version that profiles actions with committed leaders and summary-level information about each action implementation plan; and WRAP Online Platform: A web-based repository that includes all actions (developed and undeveloped) and full action implementation plan text, where applicable. The WRAP Online Platform will undergo routine updates on each action's progress and serve a variety of functions, including communicating ongoing activity and creating accountability for action progress. For info: EPA website at: www.epa. gov/waterreuse/water-reuse-action-plan

SUCTION DREDGE MINING WA PROHIBITING LAW SIGNED

On March 18, Governor Jay Inslee signed into law a bill (HB 1261) prohibiting suction dredge mining in rivers and streams that provide important habitat for endangered salmon, steelhead, and bull trout. The bipartisan law allows Washington to join other West Coast states in restricting the harmful recreational mining practice. It also requires all suction dredge miners to obtain a water quality permit.

HB 1261, in Section 1, states that the legislature "...finds that Washington state, unlike other states and the environmental protection agency, has taken no action to regulate or limit water quality impacts from motorized or gravity siphon aquatic mining. The legislature also finds that federal courts have determined that discharges from this activity require regulation under the clean water act and...that harmful water quality impacts are occurring in areas designated as critical habitat for threatened or endangered steelhead, salmon, and bull trout, including spawning areas for chinook salmon relied on by southern resident orcas."

Suction dredge mining is a recreational mining practice that vacuums up stream bottoms and riverbeds in search of gold, destroying vital wildlife habitat, increasing sedimentation, and suspending toxic mercury in waterways, according to the Center for Biological Diversity (CBD).

Washington's new law follows in the footsteps of California, Idaho, and Oregon, as well as other western states, in putting reasonable measures in place to protect fish and water quality from the damaging form of mining. Washington has spent nearly \$1 billion to restore salmon habitat in the state, but previously the state did not have measures in place to track and prevent suction dredge mining's impact to salmonids and their habitat. For info: HB 1261 available from: https://apps.leg.wa.gov/billinfo/; Sophia Ressler, CBD, 206/ 399-4004, sressler@ biologicaldiversity.org or https:// biologicaldiversity.org

WATER USE MITIGATION WA WATER RIGHT PURCHASE

The Washington Department of Ecology (Ecology) announced that as part of Ecology's commitment to find water solutions for Skagit Basin landowners, water is now available for some landowners in Skagit and Snohomish counties affected by a 2013 state Supreme Court ruling. On October 3, 2013, the Washington State Supreme Court ruled in Swinomish Indian Tribal Community v. Department of Ecology that Ecology exceeded its authority in establishing water reservations. [See https://ecology. wa.gov/Water-Shorelines/Water-supply/ Protecting-stream-flows/Instream-flowimplementation/Skagit-River-basinprojects]. A new continuous release of water into the Skagit River also provides additional water for fish in the river. For additional information about the Swinomish case and mitigation programs, see Water Briefs, TWR #117 and Sessions & Christensen, TWR #175.

The Skagit River Basin Mitigation program, established by Ecology, provides a legal right to water for approximately 340 affected landowners who did not have a legal water source for nearly seven years. It also provides water for limited new domestic uses in Skagit County. To establish this mitigation program, in 2019 Ecology agreed to purchase water from Seattle City Light near Newhalem, in the upper Skagit River watershed. The purchased water is tied to a senior water right owned by Seattle City Light and used at their Skagit River Hydroelectric Project.

Ecology will work directly with affected landowners to provide documentation that records their legal water source and partner with Skagit County to provide documentation needed for county building permits. The program is part of Ecology's ongoing water supply work in the Skagit basin. Ecology continues to look for opportunities to implement long-term water solutions in the Skagit River Basin through collaboration with watershed partners.

For info: Keeley Belva, Ecology, 360/ 480-5722, keeley.belva@ecy.wa.gov or https://ecology.wa.gov/

TRANSFER OF FACILITIES US RECLAMATION TITLE

On March 23, the US Bureau of Reclamation (Reclamation) released its final guidelines to streamline the transfer of eligible Reclamation facilities to local ownership. Title transfer is a voluntary conveyance of federal ownership of water projects or facilities, such as small dams, canals and associated lands, to local water users. Local ownership can leverage more capital funding and reduce federal paperwork requirements and costs while reducing federal liability. "This Administration's title transfer process embodies the President's goals of streamlining bureaucratic processes and making our government more efficient and accountable," said Secretary of the Interior David Bernhardt, "Title transfers are a win for local communities and a win for the American taxpayer. The Department looks forward to continuing our work with local water users to reduce title transfer costs, stimulate infrastructure investment through local ownership with the bottom-line goal of making this streamlined approach a major success."

The title transfer streamlining guidelines will complement this Administration's Categorical Exclusion (CE) process under the National Environmental Policy Act (NEPA). The title transfer CE lists criteria that will determine if simple or uncomplicated facility transfer can be expedited under NEPA. Reclamation, water users and other stakeholders are already working together on pending title transfers

The Water Report

WATER BRIEFS

across the western states. The John D. Dingell, Jr. Conservation, Management and Recreation Act (P.L. 116-9) gives Reclamation the programmatic authority to transfer title of certain Reclamation facilities without additional authorizing legislation. Each title transfer is voluntary and done on a case-by-case basis.

These guidelines, called directives and standards, can be found on the Reclamation Manual site at www. usbr.gov/recman. To learn more about the title transfer process, contact your regional title transfer coordinator by visiting www.usbr.gov/title. **For info:** Linda Friar, Reclamation, 202/ 513-0544 or lfriar@usbr.gov

PFAS PROGRESS REPORT US SITES ASSESSMENTS INCREASE

In March, the US Department of Defense (DoD) released its "Per- and Polyfluoroalkyl Substances (PFAS) Task Force Progress Report (March 2020)" regarding DoD's response to PFAS contamination at various military sites. "PFAS is a national issue that requires national solutions. PFAS is found in everyday consumer items – from nonstick cookware to water-resistant clothing. DoD's use of PFAS started in the 1970s, with the introduction of aqueous film forming foam (AFFF) for fuel firefighting purposes." Report at 2.

Notable in the Progress Report is the increase in the total number of sites now being investigated by the military for potential releases of PFAS chemicals to 651 sites. "As DoD continues to progress through the cleanup process, the consolidated inventory of DoD and National Guard installations where the Department is performing an assessment of PFAS use or potential release has increased from 401 to 651 as of the end of FY 2019. These installations represent locations where PFAS may have been used or released and further investigations are being conducted. While our initial focus was on installations with potentially significant historic AFFF use, this is a more comprehensive estimate of installations where PFAS may have been used or released. The preponderance of this increase represents smaller installations across the Army and Army National Guard."

For info: Report available from: www. defense.gov/explore/spotlight/pfas/

DAKOTA ACCESS PIPELINE US ORDER TO PREP EIS

On March 25, the United States District Court, District of Columbia (Court), remanded a pipeline access case to the US Army Corps of Engineers and ordered the agency to prepare an Environmental Impact Statement. *Standing Rock Sioux Tribe, et al., v. U.S. Army Corps of Engineers, et al.,* Civil Action No. 16-1534 (JEB), 2020 WL 1441923 (3/25/2020). The case involves a segment of the Dakota Access Pipeline running under the Missouri River and to its effects on the Indian Tribes living nearby.

The Court previously held that the agency's decision "not to issue an EIS largely complied with NEPA." Standing Rock Sioux Tribe v. U.S. Army Corps of Eng'rs (Standing Rock III), 255 F. Supp. 3d 101, 147 (D.D.C. 2017). "Yet there [we]re substantial exceptions" to such compliance, one of which being the agency's failure to address expert comments noting that the pipeline suffered from serious flaws that could result in extensive environmental harm in the event of a spill. Id. The Court accordingly ordered the Corps to consider those issues on remand. Id. at 160. That remand was completed and the Tribes strongly disagreed with the Corps' most recent conclusions.

The Court succinctly summarized its decision on the first page: "In analyzing those conclusions, this Court has received significant guidance from a recent case decided by the D.C. Circuit, National Parks Conservation Association v. Semonite, 916 F.3d 1075, 1082 (D.C. Cir. 2019). The appeals court there clarified the inquiry to be conducted by a district court when determining whether an agency has adequately dealt with expert criticisms such as these. Applying Semonite, this Court ultimately concludes that too many questions remain unanswered. Unrebutted expert critiques regarding leak-detection systems, operator safety records, adverse conditions, and worstcase discharge mean that the easement approval remains 'highly controversial' under NEPA. As the Court thus cannot find that the Corps has adequately discharged its duties under that statute, it will remand the matter to the agency to prepare an Environmental Impact Statement."

For info: Decision at: www.narf. org/nill/bulletins/federal/documents/ standingrock_v_usengineers.html

CALENDAR

WEB April 15 **Environmental Compliance** and Enforcement During the **COVID-19 Pandemic: Live** Webinar, WEB. Presented by the Environmental Law Education Center; 11 am - Noon PST. For info: Holly Duncan, 5023/282-5220 or www.elecenter.com

April 16

CLEE Environmental Awards Banquet, Berkeley. Bancroft Hotel. Presented by the Center for Law, Energy + the Environment. For info: www.law.berkeley. edu/research/clee/

April 17-18	OR
Oregon Environmental Just	ice
Pathways Summit, Eugene.	
University of Oregon: Gerling	ger
& Straub Halls. Presented by	
Beyond Toxics & NAACP	
Eugene-Springfield. For info:	
https://ejpsummit.org/	

April 20

Nevada Water Law Conference, Reno. Peppermill Resort. For info: CLE Int'l, 800/ 873-7130, live@cle.com or www.cle.com

WEB April 21 **Mvoing Towards a Global** Awakening? - The Climate & Biodiversity Crisis Event, WEB. Zoom Web Conferencing. Presented by Boulder Faculty Climate Science and Education Committee. For info: https:// cuboulder.zoom.us/j/695215622

April 21 ТХ 9th Annual Gulf Coast Water **Conservation Symposium: Integrating Water Management** on the Texas Gulf Coast - Moving Forward with a One Water Approach, Houston. United Way of Greater Houston, 50 Waugh Drive, 8 am - 3:30 pm. For info: www. harcresearch.org/sites/default/ files/documents/2020 GCWCS Program.pdf

<u>April 22</u> WEB **Stormwater Permmitting** at Schools and Community Colleges, WEB. Presented by Best Best & Krieger. For info: www.bbklaw.com/news-events/ webinars#sortBy=upcoming

April 22-23

CA

NV

CA WSWC - NASA Western Water **Applications Office (WWAO) Research to Operations (R2O)** Workshop, Irvine. National Academy of Sciences Beckman Center. Presented by the Western States Water Council. For info: www.westernstateswater. org/upcoming-meetings/

April 22-25 \mathbf{IL} **49th Spring Conference** - Section of Environment, Energy & Resources, Chicago. Swissotel. Presented by the American BAR Association. For info: www.americanbar. org/groups/environment energy resources/events cle/

MI April 27-28 **Project Management for Water** and Wastewater Utilities Workshops, Detroit. DoubleTree by Hilton Detroit Downtown. For info: www.euci.com/events/

OR April 28 Water Café - Calculating the **BPI for the Columbia River** Basin (Part II), Corvallis. Oregon State University, 10 am - 12 pm. For info: Lynn Porta, portae@oregonstate.edu

May 5-8

CA Association of California Water Agencies Spring Conference & Exhibition, Monterry. Monterey Conference Center. For info: www.acwa.com/events/

May 6

ТХ **Texas Rainmaker Award Dinner** - Postponed Until Sept. 16th, Austin. The Bullock Texas State History Museum, 1800 Congress Avenue; 6:30 - 9:30 pm. Presented by the Texas Water Foundation. For info: texaswater. org

May 7-8 NM **Public Land Law, Regulation** and Management Conference, Santa Fe. The Eldorado Hotel. 309 W. San Francisco Street. Presented by Rocky Mountain Mineral Law Foundation. For info: www.rmmlf.org/conferences

May 8

POSTPONED -- Native **American Rights Fund 50th Anniversary Gala: Celebrating** Fifty Years of Fighting for Native Rights, Aurora. Gaylord Rockies Resort & Convention Center. For info: www.narf. org/50thgala/

CO

WEB **May 12 Groundwater Sustainability** Plans: What We Now Know and What is Yet to be Learned. WEB. 10-11 am PDT. Presented by Best Best & Krieger. For info: www.bbklaw.com/news-events/ webinars#sortBy=upcoming

WY May 12 Land-to-Sea Stewardship **Through Education, Advocacy** & Leading by Example - Water Forum, Chevenne, Water Development Office, 6920 Yellowtail Road, 10 am - Noon.

Presented by Wyoming State Engineer's Office. For info: Jeff Cowley, WSEO, 307/777-7641, jeff.cowley@wyo.gov or https:// sites.google.com/a/wyo.gov/seo/ interstate-streams/water-forum Contact RE: Remote Access

May 12-13 NC **Eastern US Annual Power Plant** Water Treatment Conference. Charlotte. Crowne Plaza Charlotte Executive Park. For info: https://lmnpower.com/

CA May 14-15 Western Water Law **Conference: Emerging Issues &** Concerns, San Diego. US Grant Hotel. For info: CLE Int'l, 800/ 873-7130, live@cle.com or www. cle.com

May 14-15

Clean Water & Wetlands in California Seminar, Los Angeles. DoubleTree by Hilton Los Angeles Downtown. For info: Law Seminars International, 206/ 567-4490, registrar@lawseminars. com or www.lawseminars.com

CA

May 18-20 CA WSWC-CDWR 2020 Sub-Seasonal to Seasonal **Precipitation Forecasting** Workshop, San Diego. DoubleTree by Hilton San Diego Downtown, 1646 Front Street. Presented by the Western States Water Council. For info: www.westernstateswater. org/upcoming-meetings/

May 20 WEB Applying for a WIFIA Loan Webinar, 2:00 - 3:30 pm ET. Presented by EPA. For info: www.

epa.gov/wifia **May 21** MT **Conservation Easements** Seminar, Bozeman. Best Western Grantree Inn, 1325 N. 7th Avenue. For info: The Seminar Group. 800/ 574-4852. info@

May 27-28 NM The Second Colorado River **Basin Data and Modeling Roundtable Meeting**, Albuquerque. Sheraton Albuquerque Airport Hotel. Hosted by the Western States

theseminargroup.net or www.

theseminargroup.net

Water Council. For info: www.westernstateswater. org/upcoming-meetings/

ID May 28-29 NGWA Workshop on Groundwater in the Northwest, Boise. Boise Watershed Center, 11818 West Joplin Road. Presented by National Groundwater Assoc. For info: www.ngwa.org/detail/ event/2020/05/28/defaultcalendar/20may5043

Note: Events are being rescheduled, canceled, or adapted online due to coronavirus. Check with event organizers.



260 N. Polk Street • Eugene, OR 97402

CALENDAR -

CO

(continued from previous page)

May 29 CA CEQA and the NEPA Re-Write Seminar, San Diego. Latham & Watkins Conference Center. For info: Law Seminars International, 206/567-4490, registrar@ lawseminars.com or www. lawseminars.com

June 3-5ChinaAquatech China - 13th EditionTrade Show, Shanghai. NationalExhibition & Convention Center.For info: Annelie Koomen, 31(0)20-549 3019 or a.koomen@rai.nl

AZ

June 4-5

Seventh Annual Tribal Water in the Southwest Conference, Scottsdale. We-Ko-Pa Resort & Conference Center. For info: www.lawseminars.com/seminars/ 2020/20TRIBWAZ.php June 4-6 India World Environment Expo 2020 - International Exhibition, Conference & Awards, New Delhi. Pragati Maidan. Concurrent with World Environment Conference (WEC 20). For info: http:// worldenvironment.in/

June 9

RESCHEDULED TO SEPT. 15TH -- Riverbank 2020, Denver. Denver Botanic Gardens. Fundraiser for Colorado Water Trust. For info: http:// coloradowatertrust.org/riverbank-2020?mc_cid=edac123877&mc_ eid=54a069fd94

June 11-12ORThe Mighty ColumbiaConference, Portland. WorldTrade Center, 121 SW SalmonStreet. For info: The SeminarGroup, 800/ 574-4852, info@theseminargroup.net or www.theseminargroup.net

June 14-17CAACE20 Conference: GlobalWater Experts in EverySegment of the Water Industry,Orlando. Orange CountyConvention Center. Presented byAmerican Water Works Assoc.For info: www.awwa.org/Events-Education/Events-Calendar

June 15-16COGreen Infrastructure Course,Denver. EUCI ConferenceCenter. Concepts, Planning &ImplementationFor info:unusure

Implementation. For info: www. euci.com/events/

June 18 WA Celebrate Waters Event, Seattle. Ivars Salmon House. Presented by the Center for Environmental Law & Policy. For info: www.celp.org

June 18-19 MI PFAS Litigation in the Midwest Seminar, Detroit. Southfield Town Center. For info: Law Seminars International, 206/ 567-4490, registrar@lawseminars.com or www.lawseminars.com June 22-23WATribal Consultations Seminar,Seattle. 901 Fifth AvenueBuilding. RE: ConductingProjects Effecting Tribal Lands.For info: www.LawSeminars.com

June 22-23NDBakken Oil & Gas Shale WaterManagement 2020: Cost-Effective Water Strategies forNorth Dakota Exhibition &Conference, Williston. TBD. Forinfo: www.bakken.shale-water-management.com/?join=VR

June 25-26 WA Water Law in Washington Seminar, Seattle. Westin Seattle Hotel. For info: Law Seminars International, 206/ 567-4490, registrar@lawseminars.com or www.lawseminars.com

June 28-July 2NDWestern Governors' Association2020 Annual Meeting, Medora.TBA. For info: https://westgov.org/